

Board Statistics Report



Parameters Used:
 Location(s): All locations
 Account Group(s): All account groups
 Institution Account(s): AQR UCITS Funds - AQR China A Equity UCITS Fund
 Custodian Account(s): All custodian accounts
 Reporting Period: 1/1/21 to 12/31/21

Meeting Overview

Category	Number	Percentage
Number of votable meetings	411	
Number of meetings voted	411	100.00%
Number of meetings with at least 1 vote Against, Withhold or Abstain	175	42.58%

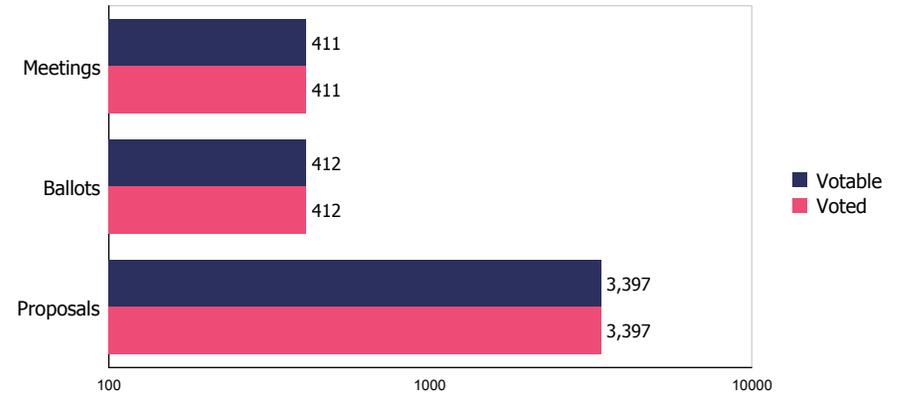
Ballot Overview

Category	Number	Percentage
Number of votable ballots	412	
Number of ballots voted	412	100.00%

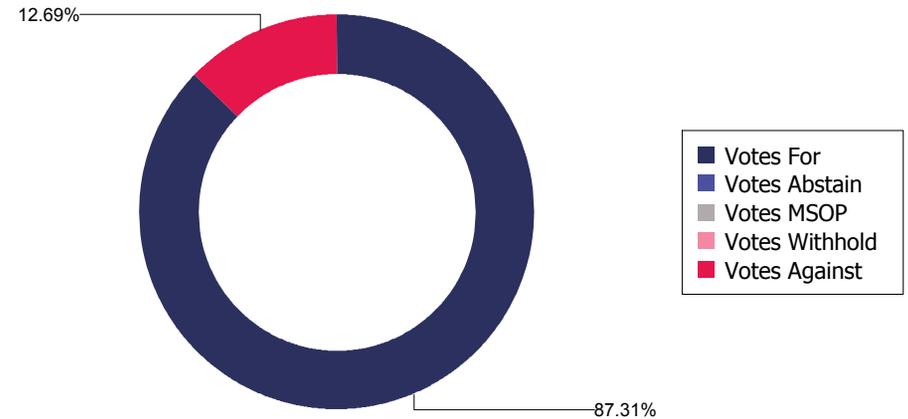
Proposal Overview

Category	Number	Percentage
Number of votable items	3,397	
Number of items voted	3,397	100.00%
Number of votes FOR	2,966	87.31%
Number of votes AGAINST	431	12.69%
Number of votes ABSTAIN	0	0.00%
Number of votes WITHHOLD	0	0.00%
Number of votes on MSOP	0	0.00%
Number of votes One Year	0	0.00%
Number of votes Two Years	0	0.00%
Number of votes Three Years	0	0.00%
Number of votes With Policy	3,397	100.00%
Number of votes Against Policy	0	0.00%
Number of votes With Mgmt	2,966	87.31%
Number of votes Against Mgmt	431	12.69%
Number of votes on Shareholder Proposals	241	7.09%

Voting Statistics



Vote Cast Statistics

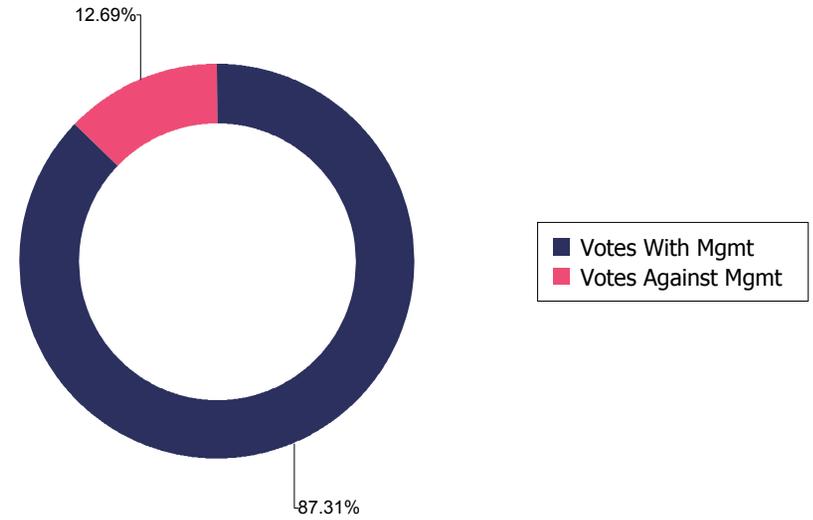


Note: Instructions of Do Not Vote are not considered voted, and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted by type may be higher than unique proposals voted.

Vote Alignment with Policy

No graphical representation provided.

Vote Alignment with Management



Market Breakdown

Market	Votable Meetings	Voted Meetings	Percentage
China	411	411	100.00%

Meetings Voted by Market

No graphical representation provided.

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Hengyi Petrochemical Co., Ltd.	08-Feb-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee and Mutual Guarantee	1
China State Construction Engineering Corp. Ltd.	15-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Signing of Financial Services Framework Agreement	1
C&S Paper Co., Ltd.	07-Jun-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
C&S Paper Co., Ltd.	07-Jun-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Shanghai Pharmaceuticals Holding Co., Ltd.	29-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve External Guarantees	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Does Not Constitute as Major Assets Restructuring of Listed Companies	1
Hangzhou Silan Microelectronics Co., Ltd.	15-Dec-21	China	Approve Stock Option Plan Grants	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Securities Investment and Derivatives Transaction Management System	1
Ganfeng Lithium Co., Ltd.	20-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to the Controlled Subsidiary	1
Tongwei Co., Ltd.	07-May-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1
Shanxi Coking Coal Energy Group Co., Ltd.	22-Jul-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendment of Company's Relevant Rules of Procedure	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Asset Delivery and Liability for Breach of Contract	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	1
Shenzhen S.C New Energy Technology Corp.	17-Nov-21	China	Company-Specific--Compensation-Related	SH-Compensation	Approve Draft and Summary of Performance Shares Incentive Plan	1
Hangzhou Robam Appliances Co., Ltd.	19-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Share Plan Grant	Non-Salary Comp.	Approve Grant of Awards to the Connected Selected Participants Under the 2021 H Share Award and Trust Scheme	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Yanzhou Coal Mining Company Limited	18-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	1
East Money Information Co., Ltd.	10-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Iflytek Co., Ltd.	13-Oct-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
LB Group Co., Ltd.	24-Dec-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Application of Bank Credit Lines	1
LB Group Co., Ltd.	24-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Continuing Provision of Guarantees in Favor of the Target Company after Completion of the Transaction	1
C&S Paper Co., Ltd.	07-Jun-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Ganfeng Lithium Co., Ltd.	31-Aug-21	China	Approve Investment in Another Company	Reorg. and Mergers	Approve Proposed Capital Increase in Ganfeng LiEnergy	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Management Method of Business Partner Plan	1
TCL Technology Group Corp.	06-Jul-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Management Method of Employee Share Purchase Plan	1
Guangzhou Kingmed Diagnostics Group Co., Ltd.	10-May-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration of Supervisors	1
Baoshan Iron & Steel Co., Ltd.	25-Jan-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendments to Articles of Association	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Decision-making System for Providing External Investments	1
Nanjing Securities Co., Ltd.	02-Jun-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Adjustment to Allowance of Independent Directors	1
EVE Energy Co., Ltd.	22-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Xiamen Tungsten Co., Ltd.	02-Sep-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Implementation Plan for Annual Remuneration System	1
Zhejiang Chint Electrics Co., Ltd.	07-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Additional External Guarantee	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee for Subsidiaries within the Scope of Consolidated Statements	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Humanwell Healthcare (Group) Co., Ltd.	06-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Protection Mechanism for Dissenting Shareholders	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Relevant Audit Reports, Review Report and Evaluation Report of this Transaction	1
Shanghai Pudong Development Bank Co., Ltd.	11-Jun-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Financial Statements and Financial Budget Report	1
Giga Device Semiconductor (Beijing), Inc.	26-Jul-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
GoerTek Inc.	25-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve to Formulate the Securities Investment and Derivatives Transaction Management System	1
EVE Energy Co., Ltd.	18-Oct-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Adjustment and Additional Guarantee Provision Plan	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Financial Assistance Provision Management System	1
Inner Mongolia Yili Industrial Group Co., Ltd.	20-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Hohhot Yixing Dairy Investment Management Co., Ltd.	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Manner and Target Subscribers	1
Hangzhou Silan Microelectronics Co., Ltd.	15-Dec-21	China	Approve Stock Option Plan Grants	Non-Salary Comp.	Approve Stock Option Incentive Plan and Its Summary	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Share Type and Par Value	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adjustment on Methods to Assess the Performance of Plan Participants	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Jiang Yan as Director	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Inner Mongolia Yili Industrial Group Co., Ltd.	20-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-party Transaction Management System	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Chongqing Brewery Co., Ltd.	13-Jan-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Guarantees	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Basis and Fairness of Pricing for This Transaction	1
Hangzhou Silan Microelectronics Co., Ltd.	15-Dec-21	China	Approve Stock Option Plan Grants	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Contemporary Amperex Technology Co., Ltd.	12-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Chongqing Zhifei Biological Products Co., Ltd.	17-Sep-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Funds for Cash Management	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Liu Chun as Director	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Authorization of the Chairman Lu Zhengfeng for Signing Documents Related to Bank Credit Guarantee Business	1
Jafron Biomedical Co., Ltd.	22-Feb-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Authorization of Board to Handle All Related Matters	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
EVE Energy Co., Ltd.	29-Mar-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
NAURA Technology Group Co., Ltd.	15-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction Management System	1
Angel Yeast Co., Ltd.	29-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1
Ganfeng Lithium Co., Ltd.	31-Aug-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Proposed Investment in Wealth Management Products with Self-Owned Funds	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Company Specific--Board-Related	Directors Related	Amend Code of Conduct of Directors, Supervisors and Senior Executives	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Distribution Arrangement of Undistributed Earnings	1
Fujian Sunner Development Co., Ltd.	13-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
China Shenhua Energy Company Limited	25-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Decrease of Registered Capital and Amend Articles of Association	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Ganfeng Lithium Co., Ltd.	20-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Application for Bank Facilities and Provision of Guarantees by the Company and Its Subsidiaries	1
Shanxi Taigang Stainless Steel Co., Ltd.	16-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Huaxin Cement Co., Ltd.	27-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
China Petroleum & Chemical Corp.	20-Oct-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Continuing Connected Transactions and Relevant Authorizations	1
Zhejiang Huayou Cobalt Co., Ltd.	01-Sep-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Weichai Power Co., Ltd.	26-Oct-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Price and Pricing Basis	1
Yanzhou Coal Mining Company Limited	01-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Change of Company Name and Amendments to the Articles of Association	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Management Measures of the 2021 Restricted Share Incentive Scheme	2
BYD Company Limited	16-Jun-21	China	Approve Executive Share Option Plan	Non-Salary Comp.	Approve Share Option Scheme of BYD Semiconductor Company Limited	1
Shaanxi Coal Industry Co., Ltd.	21-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction and Renewal of Daily Related Party Transaction Agreement	1
Will Semiconductor Co., Ltd. Shanghai	16-Sep-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve Dividend Distribution Policy	Routine/Business	Amend Dividend Distribution Policy	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Distribution Arrangement of Undistributed Earnings	1
Shenzhen Overseas Chinese Town Co., Ltd.	20-Apr-21	China	Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	Directors Related	Elect Supervisor	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Sep-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Additional Provision of Guarantee	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Rules and Procedures Regarding Investment Decision-making	1
EVE Energy Co., Ltd.	22-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
China Railway Group Limited	23-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of External Guarantee by the Company	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Guarantee System	1
Daqin Railway Co., Ltd.	25-May-21	China	Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	Directors Related	Elect Yu Feng as Supervisor	1
TCL Technology Group Corp.	30-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Matters Related to Securities Investment and Financial Management	1
Wuliangye Yibin Co., Ltd.	18-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Method of Merger by Absorption	1
EVE Energy Co., Ltd.	29-Mar-21	China	Company-Specific--Compensation-Related	SH-Compensation	Approve Authorization of Board to Handle All Related Matters	1
China Minsheng Banking Corp., Ltd.	11-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Shanxi Lu'An Environmental Energy Development Co., Ltd.	17-Nov-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Provision of Financial Support	1
TCL Technology Group Corp.	25-Aug-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Investment Management Method	1
Bank of Jiangsu Co., Ltd.	21-May-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Financial Statements and Financial Budget Report	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
TCL Technology Group Corp.	06-Jul-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Company's Eligibility for Private Placement of Shares	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Lock-up Period	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Beijing Dabeinong Technology Group Co., Ltd.	12-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Continued Use of Own Idle Funds to Purchase Low-risk Financial Products	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Hangzhou First Applied Material Co., Ltd.	15-Apr-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration Plan of Chairman of Board	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Performance Commitment Compensation Agreement	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Li Hongwu as Director	1
Contemporary Amperex Technology Co., Ltd.	21-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Plan	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Wang Zhenjun as Director	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Interim Measures for the Management of Fund Transactions	1
Power Construction Corporation of China, Ltd.	27-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions and Signing of Daily Related Party Transaction Agreement	1
Iflytek Co., Ltd.	13-Oct-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Type and Par Value	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Pricing Reference Date	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Usage of Raised Funds	1
China Resources Sanjiu Medical & Pharmaceutical Co., Ltd.	16-Nov-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Purchase of Bank Financial Products	1
Sany Heavy Industry Co., Ltd.	27-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Shanghai Pharmaceuticals Holding Co., Ltd.	29-Jun-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Final Accounts Report and Financial Budget	1
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
China National Nuclear Power Co., Ltd.	27-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Kweichow Moutai Co., Ltd.	24-Sep-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Hengli Petrochemical Co., Ltd.	07-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Kingfa Sci. & Tech. Co., Ltd.	20-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Credit Line Application	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees in Favour of 46 Subsidiaries and Authorize Board to Adjust the Available Guarantee Amount Between the Guaranteed Parties	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Non-Routine	Routine/Business	Approve Amendments to Articles of Association	1
Jafron Biomedical Co., Ltd.	22-Feb-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Signing of Strategic Cooperation Agreement	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Law Cheuk Kin Stephen as Director	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Measures for the Administration of Performance Shares Incentive Plan	1
Wuliangye Yibin Co., Ltd.	18-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related-party Transactions	1
BYD Company Limited	08-Jun-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Authorization to the Board to Determine the Proposed Plan for the Issuance of Debt Financing Instruments	1
Sansteel MinGuang Co., Ltd., Fujian	14-Jan-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Development of Bill Pool Business	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Business Partner Plan	1
Power Construction Corporation of China, Ltd.	27-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Jiangxi Copper Company Limited	08-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	SH-Dirs' Related	Elect Zheng Gaoqing as Director	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Shenzhen Overseas Chinese Town Co., Ltd.	20-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Credit Line Application	1
Beijing Dabeinong Technology Group Co., Ltd.	12-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Controlled Subsidiary	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for External Financial Assistance	1
Apeloa Pharmaceutical Co., Ltd.	19-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Financial Investment	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Target Subscribers and Subscription Method	1
LB Group Co., Ltd.	20-Aug-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Shenzhen S.C New Energy Technology Corp.	17-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
TCL Technology Group Corp.	06-Jul-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Draft of Employee Share Purchase Plan	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Authorize Board and/or the Delegatee to Handle Matters Pertaining to the 2021 H Share Award and Trust Scheme with Full Authority	1
Shanxi Taigang Stainless Steel Co., Ltd.	09-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Industrial Bank Co., Ltd.	15-Nov-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Weichai Power Co., Ltd.	29-Jan-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Grant of the General Mandate to Issue Shares to the Board	1
Hunan Valin Steel Co., Ltd.	28-Oct-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Business Related Transactions with Hunan Valin Steel Group Finance Co., Ltd.	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Securities Investment Management System and Abolition of Risk Investment Management System	1
Chongqing Changan Automobile Co. Ltd.	17-Sep-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement with Bingqi Zhuangbei Group Finance Co., Ltd.	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Weichai Power Co., Ltd.	26-Oct-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve Amendments to the Decision Making Rules in Respect of Investments and Operations	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Subsidiaries	1
Sany Heavy Industry Co., Ltd.	23-Apr-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction in Connection to Establishment of Sanyi Jinpiao Supply Chain Collective Fund Trust Plan	1
Inner Mongolia Junzheng Energy & Chemical Group Co., Ltd.	27-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Sungrow Power Supply Co., Ltd.	20-Dec-21	China	Approve Joint Venture Agreement	Reorg. and Mergers	Approve Capital Injection in Controlled Subsidiary, Implementation of Equity Incentive Plan and Related Party Transaction	1
China Minsheng Banking Corp., Ltd.	11-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Zhejiang Huahai Pharmaceutical Co., Ltd.	18-May-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Weichai Power Co., Ltd.	26-Oct-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Authorization of Board to Handle All Related Matters	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Fair Decision-making System	1
Shanghai Pharmaceuticals Holding Co., Ltd.	29-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction Management System	1
Weichai Power Co., Ltd.	26-Oct-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve Amendments to the Decision Making Rules in Respect of Connected Transactions	1
Guangdong Hongda Blasting Co., Ltd.	05-Jan-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Guangzhou Kingmed Diagnostics Group Co., Ltd.	10-May-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration of Directors and Senior Management Members	1
Humanwell Healthcare (Group) Co., Ltd.	06-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Decision-making System	1
Zhejiang Dahua Technology Co. Ltd.	11-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Additional Daily Related Party Transaction	1
Huayu Automotive Systems Co., Ltd.	30-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Chongqing Brewery Co., Ltd.	13-Jan-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Complies with Article IV of Provisions on Issues Concerning Regulating the Material Asset Restructuring of Listed Companies	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Signing of Share Subscription Agreement	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Yu Zhuoping as Director	1
Hangzhou Robam Appliances Co., Ltd.	19-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Funds for Investment in Financial Products	1
GoerTek Inc.	25-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend the Independent Directors Annual Report Work System	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	2
Hubei Jumpcan Pharmaceutical Co., Ltd.	07-May-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration of Directors and Supervisors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Complies with Articles 11 and 43 of the Measures for the Administration of Major Asset Restructuring of Listed Companies	1
Hubei Biocause Pharmaceutical Co., Ltd.	21-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
XCMG Construction Machinery Co., Ltd.	11-May-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Remuneration System of Senior Management Members	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Bank of Jiangsu Co., Ltd.	21-May-21	China	Authorize Board to Ratify and Execute Approved Resolutions	Routine/Business	Approve Adjustment of Authorization Plan of the Board of Directors	1
Weichai Power Co., Ltd.	26-Oct-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Merger by Absorption in Accordance with Relevant Laws and Regulations	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Shandong Hualu-Hengsheng Chemical Co., Ltd.	22-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds for Structured Deposits	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Investment Management System	1
Yanzhou Coal Mining Company Limited	18-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Financial Guarantees to Subsidiaries and Grant of Authorization to Yancoal Australia Limited and Its Subsidiaries to Provide Guarantees in Relation to Daily Operations to the Subsidiaries of the Company in Australia	1
Guangzhou Wondfo Biotech Co., Ltd.	15-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Stock Price Volatility Does Not Achieve the Standard of Article 5 Notice on Regulating the Information Disclosure of Listed Companies and the Behavior of Related Parties	1
Shandong Buchang Pharmaceuticals Co., Ltd.	25-Jun-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
Shandong Buchang Pharmaceuticals Co., Ltd.	25-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Application for Financing and Guarantee Provision	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Appraisal Management Measures for Implementation of the 2021 Restricted Share Incentive Scheme	2
Muyuan Foods Co., Ltd.	20-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Transaction Constitutes as Related-party Transaction	1
Sinotrans Limited	10-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Jerry Hsu as Director	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Zijin Mining Group Co., Ltd.	28-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Arrangement of Guarantees to Company's Subsidiaries, Associates and Other Party	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Transaction Constitute as Related-party Transaction	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve 2021 Restricted Share Incentive Scheme and Its Summary	2
Nanjing Securities Co., Ltd.	02-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendments to Articles of Association and Rules and Procedures Regarding General Meetings of Shareholders	1
Yanzhou Coal Mining Company Limited	20-Aug-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Zhu Qingrui as Director	1
Hangzhou First Applied Material Co., Ltd.	15-Apr-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Allowance of Independent Directors	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Code of Conduct for Controlling Shareholders and Ultimate Controller	1
Wanhua Chemical Group Co. Ltd.	16-Aug-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Provision of Financing Support	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Issue Price and Pricing Basis	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adjustment on Draft and Summary of Performance Shares Incentive Plan	1
Beijing Dabeinong Technology Group Co., Ltd.	12-May-21	China	Company Specific--Board-Related	Directors Related	Approve Authorization to the Chairman of the Board	1
Humanwell Healthcare (Group) Co., Ltd.	06-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Huaneng Power International, Inc.	22-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares and/or Overseas Listed Foreign Shares	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Amendment of Related Party Transaction	1
China Petroleum & Chemical Corp.	25-May-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Draft and Summary of Employee Share Purchase Plan	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
FangDa Carbon New Material Co., Ltd.	27-Dec-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Funds to Purchase Financial Product	1
Unigroup Guoxin Microelectronics Co., Ltd.	01-Feb-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Shanxi Coking Coal Energy Group Co., Ltd.	26-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement	1
Ping An Insurance (Group) Co. of China Ltd.	25-Mar-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	1
Kingfa Sci. & Tech. Co., Ltd.	20-Apr-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Provision Plan	1
Huadian Power International Corporation Limited	14-Dec-21	China	Approve Sale of Company Assets	Reorg. and Mergers	Approve Transaction Agreements, Disposals and Related Transactions	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Related Taxes	1
Sinotrans Limited	10-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Listing Exchange	1
Angel Yeast Co., Ltd.	29-Apr-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendments to Articles of Association	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Decision-making System	1
East Money Information Co., Ltd.	17-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Investment Management System	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Feasibility Analysis Report on the Use of Proceeds	1
Muyuan Foods Co., Ltd.	03-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Ecovacs Robotics Co., Ltd.	16-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Longsheng Group Co., Ltd.	11-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Yanzhou Coal Mining Company Limited	20-Aug-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Li Wei as Director	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Management Method of Employee Share Purchase Plan	1
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Thunder Software Technology Co., Ltd.	06-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Raised Funds for Cash Management	1
Power Construction Corporation of China, Ltd.	27-May-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Authorization of the Board to Issue Debt Financing Instruments	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Credit Line Application	1
Zhejiang Longsheng Group Co., Ltd.	19-Feb-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
XCMG Construction Machinery Co., Ltd.	02-Aug-21	China	Approve Joint Venture Agreement	Reorg. and Mergers	Approve Establishment of Xuzhou Xugong Industrial Investment Partnership (Limited Partnership) and Related Party Transaction	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adoption of the 2021 H Share Award and Trust Scheme	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Authorize Board to Handle Matters Pertaining to the 2021 Shareholder Alignment Incentive H Share Scheme	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Information Disclosure Management System	1
Zhejiang Jingsheng Mechanical & Electrical Co., Ltd.	28-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Kweichow Moutai Co., Ltd.	24-Sep-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Guangzhou Wondfo Biotech Co., Ltd.	15-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Lock-Up Period Arrangement	1
Contemporary Amperex Technology Co., Ltd.	21-May-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Application of Credit Lines	1
Hunan Valin Steel Co., Ltd.	21-Apr-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related-Party Transactions with Hunan Valin Iron and Steel Group Co., Ltd.	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve External Guarantee	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Bank of Hangzhou Co., Ltd.	21-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Special Report on Related Party Transactions	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Guarantees	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Iflytek Co., Ltd.	13-Oct-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Draft Report and Summary of Merger by Absorption and Related Party Transaction	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Signing of Conditional Merger by Absorption Agreement and Its Supplementary Agreement	1
Sinotrans Limited	10-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Estimated Guarantees of the Company	1
Sungrow Power Supply Co., Ltd.	18-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Total Loan Amount and Authorization	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Management Method of the Performance Shares Incentive Plan	1
Shandong Nanshan Aluminum Co., Ltd.	18-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction with Finance Company	1
Weifu High-Technology Group Co. Ltd.	20-May-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Senior Management Remuneration Management Measures	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Carrying Out of Low Risk Investments and Financial Management and Authorize the Chairman to Make All Investment Decisions and Execute Relevant Contracts and Agreements	1
Shanghai Bairun Investment Holding Group Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Will Semiconductor Co., Ltd. Shanghai	16-Sep-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Formulation of Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Listing Exchange	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Muyuan Foods Co., Ltd.	20-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Will Semiconductor Co., Ltd. Shanghai	16-Sep-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Options and Performance Share Incentive Plan	1
COSCO SHIPPING Holdings Co., Ltd.	29-Oct-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Revision of Annual Caps of the Financial Services Agreement	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Placement of Employees	1
Shenzhen S.C New Energy Technology Corp.	17-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Investments	1
PetroChina Company Limited	10-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantees to be Provided to the Subsidiaries and Affiliated Companies of the Company and Relevant Authorization to the Board	1
Ecovacs Robotics Co., Ltd.	16-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Shanxi Taigang Stainless Steel Co., Ltd.	16-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Anhui Conch Cement Company Limited	28-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Zhejiang Huayou Cobalt Co., Ltd.	04-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
TCL Technology Group Corp.	30-Apr-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement	1
Inner Mongolia Yili Industrial Group Co., Ltd.	20-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
HuaAn Securities Co., Ltd.	02-Apr-21	China	Approve Issuance of Warrants/Convertible Debentures	Capitalization	Approve Downward Adjustment of the Conversion Price of Convertible Corporate Bonds	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Dongfang Electric Corporation Limited	28-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve 2022 Financial Services Framework Agreement	1
Weichai Power Co., Ltd.	21-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve the Continuing Connected Transaction between the Company and Its Subsidiaries	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Weichai Power Co., Ltd.	28-Jun-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
Shanxi Taigang Stainless Steel Co., Ltd.	09-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Signing of Financial Services Agreement	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Decision-making System	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Issuance of Internal Loan to Controlled Subsidiary Beijing Medical Technology Co., Ltd and Related Party Transaction	1
Suofeiyi Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
Suofeiyi Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve External Guarantee Management Regulations	1
BYD Company Limited	08-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance by BYD Electronic (International) Company Limited of Equity or Equity-Linked Securities without Preemptive Rights	1
Angel Yeast Co., Ltd.	08-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Adjustment of Provision of Guarantee	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Dec-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Resolution Validity Period	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Tongwei Co., Ltd.	07-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Jiangsu Zhongnan Construction Group Co., Ltd.	23-Feb-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Jiangsu Ganglong Huayang Real Estate Co., Ltd	1
Industrial & Commercial Bank of China Limited	21-Jun-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Extension of Validity Period of Undated Capital Bonds	1
SAIC Motor Corp. Ltd.	30-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee by SAIC Anji Logistics Co., Ltd. to Guangzhou Port Haijia Automobile Terminal Co., Ltd.	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Guarantee Management System	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Issue Manner and Issue Time	1
Zhejiang Longsheng Group Co., Ltd.	19-Feb-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Draft of Employee Share Purchase Plan	1
Fujian Sunner Development Co., Ltd.	13-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds to Invest in Entrusted Financial Products	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Wen Daocai as Director	1
China Shenhua Energy Company Limited	25-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve 2021-2023 Financial Services Agreement with China Energy Finance Co., Ltd., Proposed Annual Caps and Related Transactions	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Liu Ruizhong as Director	1
Angel Yeast Co., Ltd.	29-Apr-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
JA Solar Technology Co., Ltd.	27-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee between Company and Subsidiaries	1
Yintai Gold Co., Ltd.	21-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Idle Funds to Invest in Entrusted Financial Products	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Bank of Beijing Co., Ltd.	21-May-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Special Report on Related-party Transactions	1
Bank of Chengdu Co., Ltd.	28-May-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Financial Statements and Financial Budget Plan	1
Jiangsu Zhongnan Construction Group Co., Ltd.	19-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Authorization of Entrusted Asset Management	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Attribution of Profit and Loss During the Transition Period	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction Management System	1
China Merchants Bank Co., Ltd.	25-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adoption of the 2021 Shareholder Alignment Incentive H Share Scheme	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Financial Management System	1
Chongqing Changan Automobile Co. Ltd.	17-Sep-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement with Chang'an Auto Finance Co., Ltd.	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Resolution Validity Period	1
Zijin Mining Group Co., Ltd.	28-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and/or H Shares	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Issue Amount	1
Sany Heavy Industry Co., Ltd.	23-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Financial Products	1
Ecovacs Robotics Co., Ltd.	16-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Weifu High-Technology Group Co. Ltd.	20-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Entrusted Asset Management	1
Guangzhou Wondfo Biotech Co., Ltd.	15-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Registration of Debt Financing Instruments and Related Transactions	1
Baoshan Iron & Steel Co., Ltd.	18-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Sanquan Foods Co., Ltd.	07-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Investment in Financial Products	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Zhao Huifang as Director	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Investment Decision Management System	1
Tangshan Jidong Cement Co. Ltd.	02-Mar-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Deposit Transactions with BBMG Finance Co., Ltd.	1
Yanzhou Coal Mining Company Limited	20-Aug-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Huang Xiaolong as Director	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Issuance of Internal Loan to Wholly-Owned Subsidiaries and Controlled Subsidiaries	1
Zhejiang Huahai Pharmaceutical Co., Ltd.	18-May-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Metallurgical Corp. of China Ltd.	25-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Plan	1
Chongqing Brewery Co., Ltd.	13-Jan-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Investment in Short-Term Financial Products	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
Jafron Biomedical Co., Ltd.	22-Feb-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Dec-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction System	1
Huadian Power International Corporation Limited	14-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Framework Agreement, Deposit Service, Proposed Annual Caps and Related Transactions	1
Shaanxi Coal Industry Co., Ltd.	10-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Target Assets and Transaction Parties	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Hithink RoyalFlush Information Network Co., Ltd.	22-Mar-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Cancellation of Use of Idle Raised Funds for Cash Management and Adjustment on Amount and Term of Financial Products Purchased by Idle Own Funds	1
Zhejiang Huahai Pharmaceutical Co., Ltd.	18-May-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Bank of Beijing Co., Ltd.	21-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
Bank of Beijing Co., Ltd.	16-Sep-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Approve to Formulate Measures for Supervision of Board of Supervisors	1
Shanghai M&G Stationery, Inc.	20-Apr-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration Standard of Directors	1
GoerTek Inc.	25-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Price	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Dec-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Shanxi Taigang Stainless Steel Co., Ltd.	24-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Dahua Technology Co. Ltd.	22-Jan-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Core Staff Venture Capital New Business Management Measures	1
BYD Company Limited	08-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee by the Group	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Disposal of Credits' Rights and Debts, and Protection of Creditors	1
Contemporary Amperex Technology Co., Ltd.	12-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Options and Performance Share Incentive Plan	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Share Plan Grant	Non-Salary Comp.	Approve Grant of SAI Awards to the SAI Connected Selected Participants Under the 2021 Shareholder Alignment Incentive H Share Scheme	1
East Money Information Co., Ltd.	10-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of Board to Handle All Related Matters	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Authorization of Board to Handle All Related Matters	1
Giga Device Semiconductor (Beijing), Inc.	26-Jul-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Giga Device Semiconductor (Beijing), Inc.	26-Jul-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Jiangsu Zhongnan Construction Group Co., Ltd.	23-Feb-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Shandong Zhinan Bang Education and Culture Consulting Co., Ltd	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve that the Company Does Not Need to Produce a Report on the Usage of Previously Raised Funds	1
EVE Energy Co., Ltd.	22-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Shandong Nanshan Aluminum Co., Ltd.	18-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Interim Measures for the Management of Fund Transactions	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Performance Commitment and Compensation Arrangement	1
Bank of Hangzhou Co., Ltd.	27-Aug-21	China	Approve Issuance of Warrants/Convertible Debentures	Capitalization	Approve Downward Adjustment of the Conversion Price of A-share Convertible Corporate Bonds	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Use of Proceeds	1
Guangzhou Tinci Materials Technology Co., Ltd.	05-Jul-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve External Guarantee Management Regulations	1
China Railway Group Limited	30-Dec-21	China	Approve Share Plan Grant	Non-Salary Comp.	Approve Grant of Restricted Shares to Connected Persons under the 2021 Restricted Share Incentive Scheme	1
China State Construction Engineering Corp. Ltd.	15-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
China State Construction Engineering Corp. Ltd.	15-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
BYD Company Limited	08-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Guarantees	1
EVE Energy Co., Ltd.	29-Mar-21	China	Company-Specific--Compensation-Related	SH-Compensation	Approve Employee Share Purchase Plan (Draft) and Summary	1
China National Nuclear Power Co., Ltd.	27-Dec-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
China National Nuclear Power Co., Ltd.	27-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Signing of Daily Related Party Transaction Framework Agreement	1
China Petroleum & Chemical Corp.	25-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Mandate to the Board of Directors to Handle the Relevant Matters in Respect of the 2021 Restricted Share Incentive Scheme	2
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Investment Risk Management System	1
East Money Information Co., Ltd.	17-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Xiamen Tungsten Co., Ltd.	30-Dec-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Implementation Plan of Annual Remuneration System	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Amount	1
China Industrial Securities Co. Ltd.	29-Jun-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Authorization of Domestic Debt Financing Instruments	1
COSCO SHIPPING Holdings Co., Ltd.	28-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
SAIC Motor Corp. Ltd.	30-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee to SAIC General Motors Finance Co., Ltd.	1
Contemporary Amperex Technology Co., Ltd.	12-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Private Placement of Shares	1
Zhejiang Longsheng Group Co., Ltd.	19-Feb-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Management Method of Employee Share Purchase Plan	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds to Purchase Financial and Trust Products	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Bank of Jiangsu Co., Ltd.	31-Aug-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve to Adjust the Issuance of Financial Bonds and Extension of Resolution Validity Period of Financial Bonds Issuance	1
East Money Information Co., Ltd.	10-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Issuance of Internal Loan to Controlled Subsidiary Beijing Tianxing Puxin Bio-Pharmaceutical Co., Ltd and Related Party Transaction	1
Dongfang Electric Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Liu Dengqing as Director	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Hengyi Petrochemical Co., Ltd.	11-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Application of Credit Line, Various Types of Investment and Financing, Various Derivatives and Other Business	1
Intco Medical Technology Co., Ltd.	12-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1

Analysis of Votes Against Policy

There are no votes against policy.

Analysis of Votes Against Management

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Hengyi Petrochemical Co., Ltd.	08-Feb-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee and Mutual Guarantee	1
China State Construction Engineering Corp. Ltd.	15-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Signing of Financial Services Framework Agreement	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
C&S Paper Co., Ltd.	07-Jun-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
C&S Paper Co., Ltd.	07-Jun-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Shanghai Pharmaceuticals Holding Co., Ltd.	29-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve External Guarantees	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Does Not Constitute as Major Assets Restructuring of Listed Companies	1
Hangzhou Silan Microelectronics Co., Ltd.	15-Dec-21	China	Approve Stock Option Plan Grants	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Securities Investment and Derivatives Transaction Management System	1
Ganfeng Lithium Co., Ltd.	20-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to the Controlled Subsidiary	1
Tongwei Co., Ltd.	07-May-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1
Shanxi Coking Coal Energy Group Co., Ltd.	22-Jul-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendment of Company's Relevant Rules of Procedure	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Asset Delivery and Liability for Breach of Contract	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Impact of Dilution of Current Returns on Major Financial Indicators and the Relevant Measures to be Taken	1
Shenzhen S.C New Energy Technology Corp.	17-Nov-21	China	Company-Specific-Compensation-Related	SH-Compensation	Approve Draft and Summary of Performance Shares Incentive Plan	1
Hangzhou Robam Appliances Co., Ltd.	19-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Share Plan Grant	Non-Salary Comp.	Approve Grant of Awards to the Connected Selected Participants Under the 2021 H Share Award and Trust Scheme	1
Yanzhou Coal Mining Company Limited	18-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	1
East Money Information Co., Ltd.	10-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Iflytek Co., Ltd.	13-Oct-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
LB Group Co., Ltd.	24-Dec-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Application of Bank Credit Lines	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
LB Group Co., Ltd.	24-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Continuing Provision of Guarantees in Favor of the Target Company after Completion of the Transaction	1
C&S Paper Co., Ltd.	07-Jun-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Ganfeng Lithium Co., Ltd.	31-Aug-21	China	Approve Investment in Another Company	Reorg. and Mergers	Approve Proposed Capital Increase in Ganfeng LiEnergy	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Management Method of Business Partner Plan	1
TCL Technology Group Corp.	06-Jul-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Management Method of Employee Share Purchase Plan	1
Guangzhou Kingmed Diagnostics Group Co., Ltd.	10-May-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration of Supervisors	1
Baoshan Iron & Steel Co., Ltd.	25-Jan-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendments to Articles of Association	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Decision-making System for Providing External Investments	1
Nanjing Securities Co., Ltd.	02-Jun-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Adjustment to Allowance of Independent Directors	1
EVE Energy Co., Ltd.	22-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Xiamen Tungsten Co., Ltd.	02-Sep-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Implementation Plan for Annual Remuneration System	1
Zhejiang Chint Electrics Co., Ltd.	07-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Additional External Guarantee	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee for Subsidiaries within the Scope of Consolidated Statements	1
Humanwell Healthcare (Group) Co., Ltd.	06-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Protection Mechanism for Dissenting Shareholders	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Relevant Audit Reports, Review Report and Evaluation Report of this Transaction	1
Shanghai Pudong Development Bank Co., Ltd.	11-Jun-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Financial Statements and Financial Budget Report	1
Giga Device Semiconductor (Beijing), Inc.	26-Jul-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
GoerTek Inc.	25-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve to Formulate the Securities Investment and Derivatives Transaction Management System	1
EVE Energy Co., Ltd.	18-Oct-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Adjustment and Additional Guarantee Provision Plan	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Financial Assistance Provision Management System	1
Inner Mongolia Yili Industrial Group Co., Ltd.	20-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Hohhot Yixing Dairy Investment Management Co., Ltd.	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Manner and Target Subscribers	1
Hangzhou Silan Microelectronics Co., Ltd.	15-Dec-21	China	Approve Stock Option Plan Grants	Non-Salary Comp.	Approve Stock Option Incentive Plan and Its Summary	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Share Type and Par Value	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adjustment on Methods to Assess the Performance of Plan Participants	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Jiang Yan as Director	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Inner Mongolia Yili Industrial Group Co., Ltd.	20-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-party Transaction Management System	1
Chongqing Brewery Co., Ltd.	13-Jan-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Guarantees	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Basis and Fairness of Pricing for This Transaction	1
Hangzhou Silan Microelectronics Co., Ltd.	15-Dec-21	China	Approve Stock Option Plan Grants	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Contemporary Amperex Technology Co., Ltd.	12-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Chongqing Zhifei Biological Products Co., Ltd.	17-Sep-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Funds for Cash Management	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Liu Chun as Director	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Authorization of the Chairman Lu Zhengfeng for Signing Documents Related to Bank Credit Guarantee Business	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Jafron Biomedical Co., Ltd.	22-Feb-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Authorization of Board to Handle All Related Matters	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
EVE Energy Co., Ltd.	29-Mar-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
NAURA Technology Group Co., Ltd.	15-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction Management System	1
Angel Yeast Co., Ltd.	29-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1
Ganfeng Lithium Co., Ltd.	31-Aug-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Proposed Investment in Wealth Management Products with Self-Owned Funds	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Company Specific--Board-Related	Directors Related	Amend Code of Conduct of Directors, Supervisors and Senior Executives	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Distribution Arrangement of Undistributed Earnings	1
Fujian Sunner Development Co., Ltd.	13-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
China Shenhua Energy Company Limited	25-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Decrease of Registered Capital and Amend Articles of Association	1
Ganfeng Lithium Co., Ltd.	20-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Application for Bank Facilities and Provision of Guarantees by the Company and Its Subsidiaries	1
Shanxi Taigang Stainless Steel Co., Ltd.	16-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Huaxin Cement Co., Ltd.	27-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
China Petroleum & Chemical Corp.	20-Oct-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Continuing Connected Transactions and Relevant Authorizations	1
Zhejiang Huayou Cobalt Co., Ltd.	01-Sep-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Weichai Power Co., Ltd.	26-Oct-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Price and Pricing Basis	1
Yanzhou Coal Mining Company Limited	01-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Change of Company Name and Amendments to the Articles of Association	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Management Measures of the 2021 Restricted Share Incentive Scheme	2

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
BYD Company Limited	16-Jun-21	China	Approve Executive Share Option Plan	Non-Salary Comp.	Approve Share Option Scheme of BYD Semiconductor Company Limited	1
Shaanxi Coal Industry Co., Ltd.	21-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction and Renewal of Daily Related Party Transaction Agreement	1
Will Semiconductor Co., Ltd. Shanghai	16-Sep-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve Dividend Distribution Policy	Routine/Business	Amend Dividend Distribution Policy	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Distribution Arrangement of Undistributed Earnings	1
Shenzhen Overseas Chinese Town Co., Ltd.	20-Apr-21	China	Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	Directors Related	Elect Supervisor	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Sep-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Additional Provision of Guarantee	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Rules and Procedures Regarding Investment Decision-making	1
EVE Energy Co., Ltd.	22-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
China Railway Group Limited	23-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of External Guarantee by the Company	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Guarantee System	1
Daqin Railway Co., Ltd.	25-May-21	China	Appoint Internal Statutory Auditor(s) [and Approve Auditor's/Auditors' Remuneration]	Directors Related	Elect Yu Feng as Supervisor	1
TCL Technology Group Corp.	30-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Matters Related to Securities Investment and Financial Management	1
Wuliangye Yibin Co., Ltd.	18-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Method of Merger by Absorption	1
EVE Energy Co., Ltd.	29-Mar-21	China	Company-Specific-Compensation-Related	SH-Compensation	Approve Authorization of Board to Handle All Related Matters	1
China Minsheng Banking Corp., Ltd.	11-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Shanxi Lu'An Environmental Energy Development Co., Ltd.	17-Nov-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Provision of Financial Support	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
TCL Technology Group Corp.	25-Aug-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Investment Management Method	1
Bank of Jiangsu Co., Ltd.	21-May-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Financial Statements and Financial Budget Report	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
TCL Technology Group Corp.	06-Jul-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Company's Eligibility for Private Placement of Shares	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Lock-up Period	1
Beijing Dabeinong Technology Group Co., Ltd.	12-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Continued Use of Own Idle Funds to Purchase Low-risk Financial Products	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Hangzhou First Applied Material Co., Ltd.	15-Apr-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration Plan of Chairman of Board	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Performance Commitment Compensation Agreement	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Li Hongwu as Director	1
Contemporary Amperex Technology Co., Ltd.	21-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Plan	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Wang Zhenjun as Director	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Interim Measures for the Management of Fund Transactions	1
Power Construction Corporation of China, Ltd.	27-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions and Signing of Daily Related Party Transaction Agreement	1
Iflytek Co., Ltd.	13-Oct-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Type and Par Value	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Pricing Reference Date	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Usage of Raised Funds	1
China Resources Sanjiu Medical & Pharmaceutical Co., Ltd.	16-Nov-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Purchase of Bank Financial Products	1
Sany Heavy Industry Co., Ltd.	27-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Shanghai Pharmaceuticals Holding Co., Ltd.	29-Jun-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Final Accounts Report and Financial Budget	1
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
China National Nuclear Power Co., Ltd.	27-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Kweichow Moutai Co., Ltd.	24-Sep-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Hengli Petrochemical Co., Ltd.	07-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Kingfa Sci. & Tech. Co., Ltd.	20-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Credit Line Application	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees in Favour of 46 Subsidiaries and Authorize Board to Adjust the Available Guarantee Amount Between the Guaranteed Parties	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Non-Routine	Routine/Business	Approve Amendments to Articles of Association	1
Jafron Biomedical Co., Ltd.	22-Feb-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Signing of Strategic Cooperation Agreement	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Law Cheuk Kin Stephen as Director	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Measures for the Administration of Performance Shares Incentive Plan	1
Wuliangye Yibin Co., Ltd.	18-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related-party Transactions	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
BYD Company Limited	08-Jun-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Authorization to the Board to Determine the Proposed Plan for the Issuance of Debt Financing Instruments	1
Sansteel MinGuang Co., Ltd., Fujian	14-Jan-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Development of Bill Pool Business	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Business Partner Plan	1
Power Construction Corporation of China, Ltd.	27-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Jiangxi Copper Company Limited	08-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	SH-Dirs' Related	Elect Zheng Gaoqing as Director	1
Shenzhen Overseas Chinese Town Co., Ltd.	20-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Credit Line Application	1
Beijing Dabeinong Technology Group Co., Ltd.	12-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Controlled Subsidiary	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for External Financial Assistance	1
Apeloa Pharmaceutical Co., Ltd.	19-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Financial Investment	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Target Subscribers and Subscription Method	1
LB Group Co., Ltd.	20-Aug-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Shenzhen S.C New Energy Technology Corp.	17-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
TCL Technology Group Corp.	06-Jul-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Draft of Employee Share Purchase Plan	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Authorize Board and/or the Delegatee to Handle Matters Pertaining to the 2021 H Share Award and Trust Scheme with Full Authority	1
Shanxi Taigang Stainless Steel Co., Ltd.	09-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Industrial Bank Co., Ltd.	15-Nov-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Weichai Power Co., Ltd.	29-Jan-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Grant of the General Mandate to Issue Shares to the Board	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Hunan Valin Steel Co., Ltd.	28-Oct-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Business Related Transactions with Hunan Valin Steel Group Finance Co., Ltd.	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Securities Investment Management System and Abolition of Risk Investment Management System	1
Chongqing Changan Automobile Co. Ltd.	17-Sep-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement with Bingqi Zhuangbei Group Finance Co., Ltd.	1
Weichai Power Co., Ltd.	26-Oct-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve Amendments to the Decision Making Rules in Respect of Investments and Operations	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Subsidiaries	1
Sany Heavy Industry Co., Ltd.	23-Apr-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction in Connection to Establishment of Sanyi Jinpiao Supply Chain Collective Fund Trust Plan	1
Inner Mongolia Junzheng Energy & Chemical Group Co., Ltd.	27-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Sungrow Power Supply Co., Ltd.	20-Dec-21	China	Approve Joint Venture Agreement	Reorg. and Mergers	Approve Capital Injection in Controlled Subsidiary, Implementation of Equity Incentive Plan and Related Party Transaction	1
China Minsheng Banking Corp., Ltd.	11-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Zhejiang Huahai Pharmaceutical Co., Ltd.	18-May-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Weichai Power Co., Ltd.	26-Oct-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Authorization of Board to Handle All Related Matters	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Fair Decision-making System	1
Shanghai Pharmaceuticals Holding Co., Ltd.	29-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction Management System	1
Weichai Power Co., Ltd.	26-Oct-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve Amendments to the Decision Making Rules in Respect of Connected Transactions	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Guangdong Hongda Blasting Co., Ltd.	05-Jan-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1
Guangzhou Kingmed Diagnostics Group Co., Ltd.	10-May-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration of Directors and Senior Management Members	1
Humanwell Healthcare (Group) Co., Ltd.	06-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Decision-making System	1
Zhejiang Dahua Technology Co. Ltd.	11-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Additional Daily Related Party Transaction	1
Huayu Automotive Systems Co., Ltd.	30-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Chongqing Brewery Co., Ltd.	13-Jan-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Complies with Article IV of Provisions on Issues Concerning Regulating the Material Asset Restructuring of Listed Companies	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Signing of Share Subscription Agreement	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Yu Zhuoping as Director	1
Hangzhou Robam Appliances Co., Ltd.	19-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Funds for Investment in Financial Products	1
GoerTek Inc.	25-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend the Independent Directors Annual Report Work System	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	2
Hubei Jumpcan Pharmaceutical Co., Ltd.	07-May-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration of Directors and Supervisors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Transaction Complies with Articles 11 and 43 of the Measures for the Administration of Major Asset Restructuring of Listed Companies	1
Hubei Biocause Pharmaceutical Co., Ltd.	21-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
XCMG Construction Machinery Co., Ltd.	11-May-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Remuneration System of Senior Management Members	1
Bank of Jiangsu Co., Ltd.	21-May-21	China	Authorize Board to Ratify and Execute Approved Resolutions	Routine/Business	Approve Adjustment of Authorization Plan of the Board of Directors	1
Weichai Power Co., Ltd.	26-Oct-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Merger by Absorption in Accordance with Relevant Laws and Regulations	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Shandong Hualu-Hengsheng Chemical Co., Ltd.	22-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds for Structured Deposits	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Investment Management System	1
Yanzhou Coal Mining Company Limited	18-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Financial Guarantees to Subsidiaries and Grant of Authorization to Yancoal Australia Limited and Its Subsidiaries to Provide Guarantees in Relation to Daily Operations to the Subsidiaries of the Company in Australia	1
Guangzhou Wondfo Biotech Co., Ltd.	15-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Stock Price Volatility Does Not Achieve the Standard of Article 5 Notice on Regulating the Information Disclosure of Listed Companies and the Behavior of Related Parties	1
Shandong Buchang Pharmaceuticals Co., Ltd.	25-Jun-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
Shandong Buchang Pharmaceuticals Co., Ltd.	25-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Application for Financing and Guarantee Provision	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Appraisal Management Measures for Implementation of the 2021 Restricted Share Incentive Scheme	2
Muyuan Foods Co., Ltd.	20-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Transaction Constitutes as Related-party Transaction	1
Sinotrans Limited	10-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Jerry Hsu as Director	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Zijin Mining Group Co., Ltd.	28-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Arrangement of Guarantees to Company's Subsidiaries, Associates and Other Party	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Transaction Constitute as Related-party Transaction	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve 2021 Restricted Share Incentive Scheme and Its Summary	2
Nanjing Securities Co., Ltd.	02-Jun-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendments to Articles of Association and Rules and Procedures Regarding General Meetings of Shareholders	1
Yanzhou Coal Mining Company Limited	20-Aug-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Zhu Qingrui as Director	1
Hangzhou First Applied Material Co., Ltd.	15-Apr-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Allowance of Independent Directors	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Code of Conduct for Controlling Shareholders and Ultimate Controller	1
Wanhua Chemical Group Co. Ltd.	16-Aug-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Provision of Financing Support	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Issue Price and Pricing Basis	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adjustment on Draft and Summary of Performance Shares Incentive Plan	1
Beijing Dabeinong Technology Group Co., Ltd.	12-May-21	China	Company Specific--Board-Related	Directors Related	Approve Authorization to the Chairman of the Board	1
Humanwell Healthcare (Group) Co., Ltd.	06-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Huaneng Power International, Inc.	22-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for Domestic Shares and/or Overseas Listed Foreign Shares	1
Shandong Nanshan Aluminum Co., Ltd.	15-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Amendment of Related Party Transaction	1
China Petroleum & Chemical Corp.	25-May-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Authorize Board to Determine the Proposed Plan for Issuance of Debt Financing Instruments	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Draft and Summary of Employee Share Purchase Plan	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
FangDa Carbon New Material Co., Ltd.	27-Dec-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Funds to Purchase Financial Product	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Unigroup Guoxin Microelectronics Co., Ltd.	01-Feb-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Shanxi Coking Coal Energy Group Co., Ltd.	26-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement	1
Ping An Insurance (Group) Co. of China Ltd.	25-Mar-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	1
Kingfa Sci. & Tech. Co., Ltd.	20-Apr-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Provision Plan	1
Huadian Power International Corporation Limited	14-Dec-21	China	Approve Sale of Company Assets	Reorg. and Mergers	Approve Transaction Agreements, Disposals and Related Transactions	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Related Taxes	1
Sinotrans Limited	10-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Listing Exchange	1
Angel Yeast Co., Ltd.	29-Apr-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Amendments to Articles of Association	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Decision-making System	1
East Money Information Co., Ltd.	17-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Investment Management System	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Independence of Appraiser, the Validity of Hypothesis, the Relevance of Valuation Purpose and Approach as Well as the Fairness of Pricing	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Feasibility Analysis Report on the Use of Proceeds	1
Muyuan Foods Co., Ltd.	03-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Ecovacs Robotics Co., Ltd.	16-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Longsheng Group Co., Ltd.	11-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Yanzhou Coal Mining Company Limited	20-Aug-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Li Wei as Director	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Management Method of Employee Share Purchase Plan	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Thunder Software Technology Co., Ltd.	06-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Raised Funds for Cash Management	1
Power Construction Corporation of China, Ltd.	27-May-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Authorization of the Board to Issue Debt Financing Instruments	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Credit Line Application	1
Zhejiang Longsheng Group Co., Ltd.	19-Feb-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
XCMG Construction Machinery Co., Ltd.	02-Aug-21	China	Approve Joint Venture Agreement	Reorg. and Mergers	Approve Establishment of Xuzhou Xugong Industrial Investment Partnership (Limited Partnership) and Related Party Transaction	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adoption of the 2021 H Share Award and Trust Scheme	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Authorize Board to Handle Matters Pertaining to the 2021 Shareholder Alignment Incentive H Share Scheme	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Information Disclosure Management System	1
Zhejiang Jingsheng Mechanical & Electrical Co., Ltd.	28-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Kweichow Moutai Co., Ltd.	24-Sep-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1
Guangzhou Wondfo Biotech Co., Ltd.	15-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Lock-Up Period Arrangement	1
Contemporary Amperex Technology Co., Ltd.	21-May-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Application of Credit Lines	1
Hunan Valin Steel Co., Ltd.	21-Apr-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related-Party Transactions with Hunan Valin Iron and Steel Group Co., Ltd.	1
Luzhou Laojiao Co., Ltd.	29-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve External Guarantee	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Bank of Hangzhou Co., Ltd.	21-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Special Report on Related Party Transactions	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Guarantees	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Iflytek Co., Ltd.	13-Oct-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Draft Report and Summary of Merger by Absorption and Related Party Transaction	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Signing of Conditional Merger by Absorption Agreement and Its Supplementary Agreement	1
Sinotrans Limited	10-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Estimated Guarantees of the Company	1
Sungrow Power Supply Co., Ltd.	18-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Total Loan Amount and Authorization	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Management Method of the Performance Shares Incentive Plan	1
Shandong Nanshan Aluminum Co., Ltd.	18-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction with Finance Company	1
Weifu High-Technology Group Co. Ltd.	20-May-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Senior Management Remuneration Management Measures	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Carrying Out of Low Risk Investments and Financial Management and Authorize the Chairman to Make All Investment Decisions and Execute Relevant Contracts and Agreements	1
Shanghai Bairun Investment Holding Group Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System of Raised Funds	1
Will Semiconductor Co., Ltd. Shanghai	16-Sep-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Formulation of Methods to Assess the Performance of Plan Participants	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Listing Exchange	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Bill Pool Business	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Muyuan Foods Co., Ltd.	20-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees	1
Will Semiconductor Co., Ltd. Shanghai	16-Sep-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Options and Performance Share Incentive Plan	1
COSCO SHIPPING Holdings Co., Ltd.	29-Oct-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Revision of Annual Caps of the Financial Services Agreement	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Placement of Employees	1
Shenzhen S.C New Energy Technology Corp.	17-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Investments	1
PetroChina Company Limited	10-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantees to be Provided to the Subsidiaries and Affiliated Companies of the Company and Relevant Authorization to the Board	1
Ecovacs Robotics Co., Ltd.	16-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Shanxi Taigang Stainless Steel Co., Ltd.	16-Nov-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Daily Related Party Transactions	1
Anhui Conch Cement Company Limited	28-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Zhejiang Huayou Cobalt Co., Ltd.	04-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
TCL Technology Group Corp.	30-Apr-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement	1
Inner Mongolia Yili Industrial Group Co., Ltd.	20-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
HuaAn Securities Co., Ltd.	02-Apr-21	China	Approve Issuance of Warrants/Convertible Debentures	Capitalization	Approve Downward Adjustment of the Conversion Price of Convertible Corporate Bonds	1
Shanghai Bairun Investment Holding Group Co., Ltd.	16-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Dongfang Electric Corporation Limited	28-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve 2022 Financial Services Framework Agreement	1
Weichai Power Co., Ltd.	21-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve the Continuing Connected Transaction between the Company and Its Subsidiaries	1
Muyuan Foods Co., Ltd.	22-Dec-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Weichai Power Co., Ltd.	28-Jun-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
Shanxi Taigang Stainless Steel Co., Ltd.	09-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Signing of Financial Services Agreement	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related Party Transaction Decision-making System	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Issuance of Internal Loan to Controlled Subsidiary Beijing Medical Technology Co., Ltd and Related Party Transaction	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve External Guarantee Management Regulations	1
BYD Company Limited	08-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance by BYD Electronic (International) Company Limited of Equity or Equity-Linked Securities without Preemptive Rights	1
Angel Yeast Co., Ltd.	08-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Adjustment of Provision of Guarantee	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Dec-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Resolution Validity Period	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Tongwei Co., Ltd.	07-May-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Jiangsu Zhongnan Construction Group Co., Ltd.	23-Feb-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Jiangsu Ganglong Huayang Real Estate Co., Ltd	1
Industrial & Commercial Bank of China Limited	21-Jun-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Extension of Validity Period of Undated Capital Bonds	1
SAIC Motor Corp. Ltd.	30-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee by SAIC Anji Logistics Co., Ltd. to Guangzhou Port Haijia Automobile Terminal Co., Ltd.	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend External Guarantee Management System	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Issue Manner and Issue Time	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Zhejiang Longsheng Group Co., Ltd.	19-Feb-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Draft of Employee Share Purchase Plan	1
Fujian Sunner Development Co., Ltd.	13-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds to Invest in Entrusted Financial Products	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Wen Daocai as Director	1
China Shenhua Energy Company Limited	25-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve 2021-2023 Financial Services Agreement with China Energy Finance Co., Ltd., Proposed Annual Caps and Related Transactions	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Liu Ruizhong as Director	1
Angel Yeast Co., Ltd.	29-Apr-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
JA Solar Technology Co., Ltd.	27-Dec-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee between Company and Subsidiaries	1
Yintai Gold Co., Ltd.	21-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Idle Funds to Invest in Entrusted Financial Products	1
Bank of Beijing Co., Ltd.	21-May-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Special Report on Related-party Transactions	1
Bank of Chengdu Co., Ltd.	28-May-21	China	Accept Financial Statements and Statutory Reports	Routine/Business	Approve Financial Statements and Financial Budget Plan	1
Jiangsu Zhongnan Construction Group Co., Ltd.	19-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Authorization of Entrusted Asset Management	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Attribution of Profit and Loss During the Transition Period	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction Management System	1
China Merchants Bank Co., Ltd.	25-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Adoption of the 2021 Shareholder Alignment Incentive H Share Scheme	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Financial Management System	1
Chongqing Changan Automobile Co. Ltd.	17-Sep-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Agreement with Chang'an Auto Finance Co., Ltd.	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Resolution Validity Period	1
Zijin Mining Group Co., Ltd.	28-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for A Shares and/or H Shares	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Issue Amount	1
Sany Heavy Industry Co., Ltd.	23-Apr-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Financial Products	1
Ecovacs Robotics Co., Ltd.	16-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Option Plan and Performance Share Incentive Plan	1
Weifu High-Technology Group Co. Ltd.	20-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Entrusted Asset Management	1
Guangzhou Wondfo Biotech Co., Ltd.	15-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Zoomlion Heavy Industry Science and Technology Co., Ltd.	28-May-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Registration of Debt Financing Instruments and Related Transactions	1
Baoshan Iron & Steel Co., Ltd.	18-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction	1
Sanquan Foods Co., Ltd.	07-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Own Funds for Investment in Financial Products	1
Weichai Power Co., Ltd.	28-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Zhao Huifang as Director	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Investment Decision Management System	1
Tangshan Jidong Cement Co. Ltd.	02-Mar-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Deposit Transactions with BBMG Finance Co., Ltd.	1
Yanzhou Coal Mining Company Limited	20-Aug-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Huang Xiaolong as Director	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Issuance of Internal Loan to Wholly-Owned Subsidiaries and Controlled Subsidiaries	1
Zhejiang Huahai Pharmaceutical Co., Ltd.	18-May-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Metallurgical Corp. of China Ltd.	25-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Plan	1
Chongqing Brewery Co., Ltd.	13-Jan-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Investment in Short-Term Financial Products	1
Suofeiya Home Collection Co., Ltd.	13-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
Jafron Biomedical Co., Ltd.	22-Feb-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Guangzhou Tinci Materials Technology Co., Ltd.	13-Dec-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Related-Party Transaction System	1
Huadian Power International Corporation Limited	14-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Financial Services Framework Agreement, Deposit Service, Proposed Annual Caps and Related Transactions	1
Shaanxi Coal Industry Co., Ltd.	10-Jun-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Target Assets and Transaction Parties	1
Hithink RoyalFlush Information Network Co., Ltd.	22-Mar-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Cancellation of Use of Idle Raised Funds for Cash Management and Adjustment on Amount and Term of Financial Products Purchased by Idle Own Funds	1
Zhejiang Huahai Pharmaceutical Co., Ltd.	18-May-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Bank of Beijing Co., Ltd.	21-May-21	China	Approve Provisionary Budget and Strategy for Fiscal Year 20XX	Routine/Business	Approve Financial Budget Report	1
Bank of Beijing Co., Ltd.	16-Sep-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Approve to Formulate Measures for Supervision of Board of Supervisors	1
Shanghai M&G Stationery, Inc.	20-Apr-21	China	Approve Remuneration of Directors and/or Committee Members	Directors Related	Approve Remuneration Standard of Directors	1
GoerTek Inc.	25-Nov-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Working System for Independent Directors	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Price	1
Guangzhou Tinci Materials Technology Co., Ltd.	13-Dec-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Shanxi Taigang Stainless Steel Co., Ltd.	24-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Related Party Transaction	1
Angel Yeast Co., Ltd.	10-Mar-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Zhejiang Dahua Technology Co. Ltd.	22-Jan-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Core Staff Venture Capital New Business Management Measures	1
BYD Company Limited	08-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee by the Group	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Disposal of Credits' Rights and Debts, and Protection of Creditors	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Contemporary Amperex Technology Co., Ltd.	12-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Stock Options and Performance Share Incentive Plan	1
WuXi AppTec Co., Ltd.	30-Aug-21	China	Approve Share Plan Grant	Non-Salary Comp.	Approve Grant of SAI Awards to the SAI Connected Selected Participants Under the 2021 Shareholder Alignment Incentive H Share Scheme	1
East Money Information Co., Ltd.	10-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of Board to Handle All Related Matters	1
Jiangxi Zhengbang Technology Co., Ltd.	09-Feb-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Authorization of Board to Handle All Related Matters	1
Giga Device Semiconductor (Beijing), Inc.	26-Jul-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Giga Device Semiconductor (Beijing), Inc.	26-Jul-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
China Galaxy Securities Co., Ltd.	29-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
Jiangsu Zhongnan Construction Group Co., Ltd.	23-Feb-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantees to Shandong Zhinan Bang Education and Culture Consulting Co., Ltd	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve that the Company Does Not Need to Produce a Report on the Usage of Previously Raised Funds	1
EVE Energy Co., Ltd.	22-Nov-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
Shandong Nanshan Aluminum Co., Ltd.	18-May-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Interim Measures for the Management of Fund Transactions	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Performance Commitment and Compensation Arrangement	1
Bank of Hangzhou Co., Ltd.	27-Aug-21	China	Approve Issuance of Warrants/Convertible Debentures	Capitalization	Approve Downward Adjustment of the Conversion Price of A-share Convertible Corporate Bonds	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Company-Specific -- Miscellaneous	SH-Routine/Business	Approve Use of Proceeds	1
Guangzhou Tinci Materials Technology Co., Ltd.	05-Jul-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Shenzhen Inovance Technology Co., Ltd.	16-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Approve External Guarantee Management Regulations	1
China Railway Group Limited	30-Dec-21	China	Approve Share Plan Grant	Non-Salary Comp.	Approve Grant of Restricted Shares to Connected Persons under the 2021 Restricted Share Incentive Scheme	1
China State Construction Engineering Corp. Ltd.	15-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Articles of Association	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
China State Construction Engineering Corp. Ltd.	15-Dec-21	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
BYD Company Limited	08-Jun-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights for H Shares	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	1
Jiangsu Hengli Hydraulic Co., Ltd.	22-Nov-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Management System for Providing External Guarantees	1
EVE Energy Co., Ltd.	29-Mar-21	China	Company-Specific-Compensation-Related	SH-Compensation	Approve Employee Share Purchase Plan (Draft) and Summary	1
China National Nuclear Power Co., Ltd.	27-Dec-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
China National Nuclear Power Co., Ltd.	27-Dec-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Signing of Daily Related Party Transaction Framework Agreement	1
China Petroleum & Chemical Corp.	25-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Grant to the Board a General Mandate to Issue New Domestic Shares and/or Overseas-Listed Foreign Shares of Sinopec Corp.	1
China Railway Group Limited	30-Dec-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Mandate to the Board of Directors to Handle the Relevant Matters in Respect of the 2021 Restricted Share Incentive Scheme	2
Muyuan Foods Co., Ltd.	29-Sep-21	China	Amend Articles/Bylaws/Charter -- Organization-Related	Reorg. and Mergers	Amend Investment Risk Management System	1
East Money Information Co., Ltd.	17-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Xiamen Tungsten Co., Ltd.	30-Dec-21	China	Amend Articles/Charter Compensation-Related	Non-Salary Comp.	Amend Implementation Plan of Annual Remuneration System	1
XCMG Construction Machinery Co., Ltd.	17-Nov-21	China	Approve Merger by Absorption	Reorg. and Mergers	Approve Issue Amount	1
China Industrial Securities Co. Ltd.	29-Jun-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve Authorization of Domestic Debt Financing Instruments	1
COSCO SHIPPING Holdings Co., Ltd.	28-May-21	China	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	Capitalization	Approve Issuance of Equity or Equity-Linked Securities without Preemptive Rights	1
SAIC Motor Corp. Ltd.	30-Jun-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee to SAIC General Motors Finance Co., Ltd.	1
Contemporary Amperex Technology Co., Ltd.	12-Nov-21	China	Approve Omnibus Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Zhejiang Dahua Technology Co. Ltd.	23-Apr-21	China	Miscellaneous -- Equity Related	SH-Corp Governance	Approve Private Placement of Shares	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Zhejiang Longsheng Group Co., Ltd.	19-Feb-21	China	Approve Qualified Employee Stock Purchase Plan	Non-Salary Comp.	Approve Management Method of Employee Share Purchase Plan	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds to Purchase Financial and Trust Products	1
Suzhou Gold Mantis Construction & Decoration Co., Ltd.	21-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Implementing Rules for Cumulative Voting System	1
Shenzhen Mindray Bio-Medical Electronics Co., Ltd.	19-May-21	China	Approve/Amend Regulations on General Meetings	Routine/Business	Amend Rules and Procedures Regarding General Meetings of Shareholders	1
Bank of Jiangsu Co., Ltd.	31-Aug-21	China	Authorize Issuance of Bonds/Debentures	Capitalization	Approve to Adjust the Issuance of Financial Bonds and Extension of Resolution Validity Period of Financial Bonds Issuance	1
East Money Information Co., Ltd.	10-Aug-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
New Hope Liuhe Co., Ltd.	29-Jan-21	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of the Board to Handle All Related Matters	1
China National Medicines Co., Ltd.	23-Apr-21	China	Approve Loan Agreement	Reorg. and Mergers	Approve Issuance of Internal Loan to Controlled Subsidiary Beijing Tianxing Puxin Bio-Pharmaceutical Co., Ltd and Related Party Transaction	1
Dongfang Electric Co., Ltd.	29-Jun-21	China	Elect Director (Cumulative Voting or More Nominees Than Board Seats)	Directors Related	Elect Liu Dengqing as Director	1
Muyuan Foods Co., Ltd.	29-Sep-21	China	Approve/Amend Regulations on Board of Directors	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Supervisors	1
Hengyi Petrochemical Co., Ltd.	11-May-21	China	Approve Transaction with a Related Party	Reorg. and Mergers	Approve Application of Credit Line, Various Types of Investment and Financing, Various Derivatives and Other Business	1
Intco Medical Technology Co., Ltd.	12-Nov-21	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1

Unvoted Meetings

There are no unvoted meetings.