

Proxy Voting Report

Jan 01, 2021 to Dec 31, 2021

Vote Against Management (VAM) Summary

Number of Meetings	Number of Proposals
107	880
Number of Countries (Country of Origin)	Number of Countries (Country of Trade)
1	1
Number of Meetings With VAM	% of All Meetings Voted
36	33.6%
Number of Proposals With VAM	% of All Proposals Voted
89	10.5%
Number of Meetings With Votes For Mgmt	% of All Meetings Voted
105	98.1%
Number of Proposals With Votes For Mgmt	% of All Proposals Voted
762	89.5%
Number of Abstain Votes	% of All Proposals Voted
15	1.8%
Number of No Votes Cast	% of All Proposals Voted
0	NA

Votes Against Policy (VAP) Summary

Number of Meetings	Number of Proposals
107	880
Number of Countries (Country of Origin)	Number of Countries (Country of Trade)
1	1
Number of Meetings With VAP	% of All Meetings Voted
14	13.1%
Number of Proposals With VAP	% of All Proposals Voted
39	4.6%
Number of Meetings With Votes For Policy	% of All Meetings Voted
104	97.2%
Number of Proposals With Votes For Policy	% of All Proposals Voted
812	95.4%
Number of Abstain Votes	% of All Proposals Voted
15	1.8%
Number of No Votes Cast	% of All Proposals Voted

0	NA
Number of Proposals with Votes with GL	% of All Proposals Voted
812	95.4%

Proposal Summary

Number of Meetings:	107
Number of Mgmt Proposals:	851
Number of Shareholder Proposals:	0

Mgmt Proposals Voted FOR	% of All Mgmt Proposals
762	89.5%
Mgmt Proposals Voted Against/Withhold	% of All Mgmt Proposals
74	8.7%
Mgmt Proposals Voted Abstain	% of All Mgmt Proposals
15	1.8%
Mgmt Proposals With No Votes Cast	% of All Mgmt Proposals
0	NA
Mgmt Proposals Voted 1 Year	% of All Mgmt Proposals
0	NA
Mgmt Proposals Voted 2 Years	% of All Mgmt Proposals
0	NA
Mgmt Proposals Voted 3 Years	% of All Mgmt Proposals
0	NA

ShrHldr Proposal Voted FOR	% of All ShrHldr Proposals
0	NA
ShrHldr Proposals Voted Against/Withhold	% of All ShrHldr Proposals
0	NA
ShrHldr Proposals Voted Abstain	% of All ShrHldr Proposals
0	NA
ShrHldr Proposals With No Votes Cast	% of All ShrHldr Proposals
0	NA

Anhui Conch Cement Company Limited

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/28/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y01373110

Special Meeting Agenda (02/03/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect ZHANG Xiaorong	For	For	For

Anhui Conch Cement Company Limited

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/24/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y01373110

Annual Meeting Agenda (05/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Directors' Report	For	For	For
2	Supervisors' Report	For	For	For
3	Accounts and Reports	For	For	For
4	Appointment of Auditor and Authority to Set Fees	For	For	For
5	Allocation of Profits/Dividends	For	For	For
6	Authority to Give Guarantees	For	For	For
7	Elect Wang Cheng	For	For	For
8	Authority to Issue Shares w/o Preemptive Rights	For	Against	Against

Vote Note:Excessive issuance; Issue price discount not disclosed

Anker Innovations Technology Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 12/24/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y01425100

Special Meeting Agenda (12/30/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	For	For	For

AVIC Jonhon Optron Technology Co Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 01/11/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y14228103**Special Meeting Agenda (01/15/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 Connected Transaction Regarding Borrowing from AVIC Aerospace System Co., Ltd.	For	For	For

Beijing Kingsoft Office Software Inc**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 11/10/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y0R9YP102**Special Meeting Agenda (11/16/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 CHANGE OF 2021 FINANCIAL AUDIT FIRM AND INTERNAL CONTROL AUDIT FIRM	For	For	For

Beijing New Building Material Public Limited Company**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 07/20/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y07708103**Special Meeting Agenda (07/26/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 Elect SONG Bolu	For	For	For
2 Amendments to Articles	For	For	For
3 Amendments to Procedural Rules of Shareholders General Meetings	For	For	For
4 Amendments to Procedural Rules of Board Meetings	For	For	For
5 Amendments to Procedural Rules of Supervisory Committee Meetings	For	For	For

China Merchants Bank

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/21/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y14896107

Annual Meeting Agenda (06/25/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Directors' Report	For	For	For
2 Supervisors' Report	For	For	For
3 Annual Report	For	For	For
4 Financial Statements	For	For	For
5 Allocation of Profits/Dividends	For	For	For
6 Appointment of Auditor and Authority to Set Fees	For	For	For
7 2020 Related Party Transaction Report	For	For	For
8 Elect LI Chaoxian	For	For	For
9 Elect SHI Yongdong	For	For	For
10 Elect GUO Xikun as a Shareholder Supervisor	For	For	For
11 Medium-Term Capital Management Plan (2021-2023)	For	For	For
12 REDEMPTION OF CAPITAL BONDS	For	For	For
13 Authority to Issue Capital Bonds	For	For	For
14 Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	For	Against	Against

Vote Note:Excessive issuance; Issue price discount not disclosed

China Pacific Insurance (Group) Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/24/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1505Z111

Annual Meeting Agenda (05/28/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Directors' Report	For	For	For
2 Supervisors' Report	For	For	For
3 2020 Annual Reports	For	For	For
4 Accounts and Reports	For	For	For
5 Allocation of Profits/Dividends	For	For	For
6 Appointment of Auditor	For	For	For
7 Directors' Due Diligence Report	For	For	For
8 Report on Performance of Independent Directors	For	For	For
9 Authority to Issue Shares w/o Preemptive Rights	For	Against	Against
Vote Note: Excessive issuance; Issue price discount not disclosed			
10 Increase in Registered Capital	For	For	For
11 Amendments to Articles	For	For	For
12 Amendments to Procedural Rules of Shareholder General Meetings	For	For	For
13 2021 Charitable Donations	For	For	For

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/23/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Special Meeting Agenda (03/29/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	INVESTMENT IN CONSTRUCTION OF A PROJECT BY A COMPANY	For	For	For
3	PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	Against	Against
	Vote Note: Guarantees exceed net assets			
4	2021 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	For
5	2021 Employee Stock Ownership Plan	For	Against	Against
	Vote Note: Issue price discount not disclosed			
6	Authorization to the Board to Handle Matters Regarding 2021 Employee Stock Ownership Plan	For	Against	Against
	Vote Note: Not in shareholders" best interests			
7	Provision of Guarantee for Subsidiary	For	Against	Against
	Vote Note: Guarantees exceed net assets			

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/30/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Special Meeting Agenda (04/08/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Joint Investment in Setting Up a Company with a Company	For	For	For

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/04/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Annual Meeting Agenda (05/10/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
2	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
3	2020 AUDIT REPORT	For	For	For
4	2020 Profit Distribution Plan	For	For	For
5	2020 ANNUAL ACCOUNTS	For	For	For
6	SPECIAL REPORT ON THE DEPOSIT AND USE OF RAISED FUNDS	For	For	For
7	2021 REMUNERATION AND APPRAISAL PLAN FOR DIRECTORS AND SENIOR MANAGEMENT	For	For	For
8	REAPPOINTMENT OF AUDIT FIRM	For	For	For
9	Repurchase and Cancellation of Some Restricted Stocks and Cancellation of Some Stock Options	For	For	For
10	Amendments to the Company's Articles of Association	For	For	For
11	FORMULATION OF THE MANAGEMENT SYSTEM FOR PROVISION OF EXTERNAL FINANCIAL AID	For	For	For
12	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
13	2021 REMUNERATION PLAN FOR SUPERVISORS	For	For	For

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/03/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Special Meeting Agenda (06/09/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Subsidiaries Launching Financial Leasing Business	For	For	For
2	GUARANTEE FOR SUBSIDIARIES	For	For	For
3	CHANGE OF THE PURPOSE OF SOME RAISED FUNDS	For	For	For

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/05/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Special Meeting Agenda (08/11/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Contract to be Signed Between a Subsidiary and Jingmen High-Tech Zone Management Committee	For	For	For
2	Provision of Guarantees for Subsidiaries	For	For	For
3	Setting Up a Joint Venture With a Company	For	For	For
4	Non-Voting Meeting Note			

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/24/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Special Meeting Agenda (08/30/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	MATTERS REGARDING THE ISSUANCE OF CORPORATE BONDS	For	For	For
2	PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	Against	Against

Vote Note:Guarantees exceed net assets

EVE Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 10/11/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109

Special Meeting Agenda (10/18/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Approve Adjustment and Additional Guarantee Provision Plan	For	Against	Against
Vote Note: Guarantees exceed net assets				
2	AMEND ARTICLES OF ASSOCIATION	For	For	For

EVE Energy Co., Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 11/16/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109**Special Meeting Agenda (11/22/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 Third Phase Restricted Stock Incentive Plan	For	For	For
2 Constitution of Procedural Rules	For	For	For
3 Ratification of Board Implementation of Restricted Stock Incentive Plan	For	For	For
4 Authority to Give Guarantees	For	Against	Against

Vote Note:Guarantees exceed net assets

EVE Energy Co., Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 12/21/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2303F109**Special Meeting Agenda (12/27/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 Connected Transaction Regarding Capital Increase and Share Expansion of a Subsidiary	For	For	For
2 PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	Against	Against

Vote Note:Guarantees exceed net assets

GoerTek Inc**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 11/19/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y27360109**Special Meeting Agenda (11/25/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 The Spin-Off Listing of Goer Microelectronics Co., Ltd. on the GEM Board is in Compliance with Relevant Laws and Regulations	For	For	For

2	The Spin-Off Listing of Goer Microelectronics Co., Ltd. on the GEM Board and Initial Public Offering	For	For	For
3	Preliminary Plan of The Spin-Off Listing of Goer Microelectronics Co., Ltd. on the GEM Board (Revised)	For	For	For
4	Preliminary Plan of The Spin-Off Listing of Goer Microelectronics Co., Ltd. on the GEM Board is in Compliance with the Several Issues Concerning the Regulation of Trial Domestic Spin-Off Listing of Subsidiaries of Domestically Listed Companies	For	For	For
5	Preliminary Plan of The Spin-Off Listing of Goer Microelectronics Co., Ltd. on the GEM Board is in the Rights and Interests of Shareholders and Creditors	For	For	For
6	Statement on the Company's Sustainable Profitability and Prospects	For	For	For
7	Statement on Goer Microelectronics Co., Ltd. that it is Capable of Conducting Business in Compliance with Laws and Regulations	For	For	For
8	Statement on the Compliance and Completeness of the Legal Procedural of the Spin-off Listing of Goer Microelectronics Co., Ltd. and the Validity of the Legal Documents Submitted	For	For	For
9	Purpose, Commercial Reasonability, Necessity and Feasibility Analysis of the Spin-Off Listing of Goer Microelectronics Co., Ltd.	For	For	For
10	Statement on Whether the Company's Stock Price Fluctuation Meets Relevant Standards Specified by Article 5 of the Notice of Regulation of Information Disclosure of Listed Companies and Conduct of Relevant Parties	For	For	For
11	Board Authorization to Handle Matters of the Spin-Off Listing of Goer Microelectronics Co., Ltd. on the GEM Board	For	For	For
12	AMENDMENTS TO THE WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	For
13	AMENDMENTS TO THE ANNUAL REPORT WORK SYSTEM FOR INDEPENDENT DIRECTORS	For	For	For
14	Establishment of the Management Measures for Securities and Derivatives Trading	For	For	For

GoerTek Inc

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 12/10/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y27360109

Special Meeting Agenda (12/16/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	For	For

Gree Electrical Appliances Inc. Of Zhuhai

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/24/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y2882R102

Annual Meeting Agenda (06/30/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
3	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
4	2020 FINANCIAL REPORTS	For	For	For
5	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	2020 Profit Distribution Plan	For	For	For
7	2021 APPOINTMENT OF AUDIT FIRM	For	Against	Against

Vote Note: Failure to disclose breakdown of fees

8	LAUNCHING FOREIGN EXCHANGE DERIVATIVES TRANSACTIONS IN 2021	For	For	For
9	USE OF PROPRIETARY IDLE FUNDS FOR INVESTMENT AND FINANCIAL MANAGEMENT	For	For	For
10	ESTIMATION OF CONTINUING CONNECTED TRANSACTIONS	For	For	For
11	Amendments to the Company's Articles of Association, the Rules of Procedure Governing Shareholders General Meetings, and Rules of Procedure Governing the Board Meetings	For	For	For
12	AMENDMENTS TO THE RULES OF PROCEDURE GOVERNING THE SUPERVISORY COMMITTEE	For	For	For
13	Approve Employee Share Ownership Plan (Draft) and Summary	For	Against	Against

Vote Note: Purchase Price with Substantial Discount Rate; Potential conflict of interests

14	Approve Authorization of Board to Handle All Related Matters	For	Against	Against
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Vote Note: Not in shareholders' best interests

Gree Electrical Appliances Inc. Of Zhuhai

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/16/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y2882R102

Special Meeting Agenda (08/20/2021)

Mgmt Rec Green Policy Vote Cast

1	Change of Domicile and Amendments to Articles	For	For	For
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Gree Electrical Appliances Inc. Of Zhuhai

Voted	Ballot Status	Confirmed	Decision Status	Approved
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Vote Deadline Date	09/23/2021	Share Blocking	No
Country Of Trade	CN		
Ballot Sec ID	CINS-Y2882R102		

Special Meeting Agenda (09/29/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Change the Purpose and Cancellation of Repurchased Shares	For	For	For
2	Non-Voting Meeting Note			

Haier Smart Home Co Ltd

Voted	Ballot Status	Confirmed	Decision Status	Approved
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Vote Deadline Date	03/01/2021	Share Blocking	No
Country Of Trade	CN		
Ballot Sec ID	CINS-Y716ED100		

Other Meeting Agenda (03/05/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Authority to Repurchase H Shares	For	For	For
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			

Haier Smart Home Co Ltd

Voted	Ballot Status	Confirmed	Decision Status	Approved
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Vote Deadline Date	03/01/2021	Share Blocking	No
Country Of Trade	CN		
Ballot Sec ID	CINS-Y716ED100		

Special Meeting Agenda (03/05/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Articles	For	For	For
2	Appointment of International Accounting Standards Auditor for 2020	For	For	For
3	Authority to Repurchase H Shares	For	For	For
4	Elect XIE Juzhi	For	For	For

5	Elect YU Hon To		For	Against	Against
		Vote Note: Serves on too many boards			
6	Elect LI Kam Fun		For	For	For
7	Elect LI Shipeng		For	For	For
8	Non-Voting Meeting Note				
9	Non-Voting Meeting Note				

Haier Smart Home Co Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/21/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y716ED100

Other Meeting Agenda (06/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	General Authorisation to the Board to Repurchase No More than 10% of the Company's Issued H Shares	For	For	For
2	General Authorisation to the Board to Repurchase No More than 10% of the Company's Issued D Shares	For	For	For
3	Non-Voting Meeting Note			
4	Non-Voting Meeting Note			

Haier Smart Home Co Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/21/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y716ED100

Annual Meeting Agenda (06/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 Accounts and Reports	For	For	For
3	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2020 Directors' Report	For	For	For
5	2020 Supervisors' Report	For	For	For
6	2020 INTERNAL CONTROL AUDIT REPORT	For	For	For
7	2020 Allocation of Dividends	For	For	For
8	2021 Estimated Guarantees Provided to Subsidiaries	For	For	For
9	Approval of Foreign Exchange Derivatives Transactions	For	For	For
10	Adjustment to Directors' Fees	For	For	For
11	Termination of Some Investment Projects Financed by Raised Funds from Convertible Corporate Bonds and Permanently Replenish Working Capital Using Excess Raised Funds	For	For	For

12	General Authorisation to the Board to Issue H Shares	For	Against	Against
	Vote Note: Issue price discount not disclosed			
13	General Authorisation to the Board to Issue D Shares	For	Against	Against
	Vote Note: Issue price discount not disclosed			
14	General Authorisation to the Board to Repurchase No More than 10% of the Company's Issued H Shares	For	For	For
15	General Authorisation to the Board to Repurchase No More than 10% of the Company's Issued D Shares	For	For	For
16	Amendments to Articles	For	For	For
17	Amendments to Procedural Rules: Board Meetings	For	For	For
18	Amendments to Procedural Rules: Supervisory Board Meetings	For	For	For
19	Amendments to Management System for External Guarantees	For	For	For
20	Re-appointment of Auditor for PRC Accounting Standards	For	For	For
21	Re-appointment of Auditor for International Accounting Standards	For	For	For
22	Approval to Renew Financial Service Framework Agreement with Haier Group Corporation and Estimated Related Party Transactions	For	Against	For
	Vote Note: Deposit services with a member of the group			
23	A Share Core Employee Stock Ownership Plan (2021-2025) (Draft) and Its Summary	For	Against	For
	Vote Note: Issue price discount not disclosed			
24	H Share Core Employee Stock Ownership Plan (2021-2025) (Draft) and Its Summary	For	Against	For
	Vote Note: Issue price discount not disclosed			
25	Board Authorisation to Handle Matters Related to Core Employee Stock Ownership Plan	For	Against	For
	Vote Note: Not in shareholders' best interests			
26	H Share Restricted Share Unit Scheme (2021-2025) (Draft)	For	For	For
27	Board Authorisation to Handle Matters Related to H Share Restricted Share Unit Plan	For	For	For
28	Elect WU Qi	For	For	For
29	Elect LIU Dalin	For	Against	Against
	Vote Note: Supervisors are not sufficiently independent			
30	Elect MA Yingjie	For	For	For
31	Non-Voting Meeting Note			
32	Non-Voting Meeting Note			

Haier Smart Home Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 09/09/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y716ED100

Special Meeting Agenda (09/15/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 A-share stock option incentive plan (draft) and summary	For	For	For
2 Appraisal Management Measures for the Implementation of A-share stock option Incentive Plan	For	For	For
3 Authorization to the Board to Handle Matters Regarding A-share stock option incentive plan	For	For	For
4 Non-Voting Meeting Note			
5 Non-Voting Meeting Note			

Haier Smart Home Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 09/09/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y716ED100

Other Meeting Agenda (09/15/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Non-Voting Meeting Note			
2 A-share stock option incentive plan (draft) and summary	For	For	For
3 Appraisal Management Measures for the Implementation of A-share stock option Incentive Plan	For	For	For
4 Authorization to the Board to Handle Matters Regarding A-share stock option incentive plan	For	For	For

Hongfa Technology Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 12/29/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9716T105

Special Meeting Agenda (01/05/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 The Company's Eligibility for Public Issuance of Convertible Corporate Bonds	For	For	For
2 Type of Securities to Be Issued	For	For	For
3 Issuing Scale	For	For	For
4 Par Value and Issue Price	For	For	For

5	Bond Duration	For	For	For
6	Interest Rate	For	For	For
7	Repayment	For	For	For
8	Conversion Period	For	For	For
9	Determination and Adjustment of the Conversion Price	For	For	For
10	Downward Adjustment of Conversion Price	For	For	For
11	Determining Method for the Number of Converted Shares	For	For	For
12	Redemption Clauses	For	For	For
13	Resale Clauses	For	For	For
14	Attribution of Related Dividends for Conversion Years	For	For	For
15	Issuing Targets and Method	For	For	For
16	Arrangement for Placement to Existing A-share Shareholders	For	For	For
17	Provisions Related to Bondholders and Bondholders Meetings	For	For	For
18	Purpose of the Raised Funds	For	For	For
19	Deposit and Management of the Raised Funds	For	For	For
20	Guarantee Matters	For	For	For
21	Valid Period of the Plan for Issuance	For	For	For
22	PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	For	For	For
23	Feasibility Analysis Report on the Use of Funds to Be Raised from the Public Issuance of Convertible Corporate Bonds	For	For	For
24	NO NEED TO PREPARE A REPORT ON USE OF PREVIOUSLY RAISED FUNDS	For	For	For
25	Diluted Immediate Return After the Public Issuance of Convertible Corporate Bonds, Filling Measures and Commitments of Relevant Parties	For	For	For
26	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
27	Formulation of the Rules Governing the Meetings of Bondholders of the Company's Convertible Bonds	For	For	For
28	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Public Issuance of Convertible Corporate Bonds	For	For	For

Hongfa Technology Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 02/19/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9716T105

Annual Meeting Agenda (02/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 Work Report Of The Board Of Directors	For	For	For
2	2020 Work Report Of The Supervisory Committee	For	For	For
3	2020 Annual Accounts And 2021 Financial Budget Report	For	For	For
4	2020 Annual Report And Its Summary	For	For	For

5	2020 Work Report Of Independent Directors	For	For	For
6	2020 Profit Distribution Plan: The Detailed Profit Distribution Plan Are As Follows: 1) Cash Dividend/10 Shares (Tax Included):Cny3.40000000 2) Bonus Issue From Profit (Share/10 Shares):None 3) Bonus Issue From Capital Reserve (Share/10 Shares):None	For	For	For
7	Reappointment Of Financial And Internal Control Audit Firm And Payment Of Audit Fees	For	For	For
8	Confirmation And Estimate Of 2021 Continuing Connected Transactions	For	For	For
9	2021 Application For Comprehensive Credit Line To Banks By A Controlled Subsidiary	For	For	For
10	The Above Controlled Subsidiary S Provision Of Guarantee For The Bank Comprehensive Credit Line Applied For By Its Controlled Subsidiaries In 2021	For	For	For
11	The Above Controlled Subsidiary S Provision Of Loans For Wholly-Owned And Controlled Subsidiaries In 2021	For	Abstain	Abstain
Vote Note: Insufficient information provided				
12	Amendments To The Raised Funds Management System	For	For	For

HUAYU Automotive Systems Company Limited

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/24/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y3750U102

Annual Meeting Agenda (06/30/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
3	2020 Work Report of the Board of Supervisors	For	For	For
4	2020 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
5	2020 ANNUAL ACCOUNTS	For	For	For
6	2020 Profit Distribution Plan	For	For	For
7	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
8	Renewal of the Continuing Connected Transactions Framework Agreement and Estimated Amount of 2021 Continuing Connected Transactions	For	For	For
9	Reappointment of 2021 Financial Audit Firm	For	For	For
10	Reappointment of 2021 Internal Control Audit Firm	For	For	For
11	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2021 TO 2023	For	For	For
12	Amendments to Articles	For	For	For
13	Amendments to Procedural Rules Governing the General Meeting of Shareholders	For	For	For
14	Amendments to Procedural Rules Governing the Board of Directors	For	For	For

15	Amendments to Procedural Rules Governing the Supervisory Committee	For	For	For
16	Elect CHEN Hong	For	For	For
17	Elect WANG Xiaoqiu	For	For	For
18	Elect ZHANG Haitao	For	For	For
19	Elect ZHANG Weijiong	For	For	For
20	Elect YIN Yande	For	For	For
21	Elect YU Zhuoping	For	For	For
22	Elect RUI Mingjie	For	For	For
23	Elect LV Qiuping	For	For	For
24	Elect ZHOU Langhui	For	For	For
25	Elect ZHUANG Jingxiong	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
26	Non-Voting Meeting Note			

Hubei Feilihua Quartz Glass Co.Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 01/05/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y374C6105

Special Meeting Agenda (01/11/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Connected Transactions Regarding Capital Increase in the Wholly-owned Subsidiary	For	For	For

Hubei Feilihua Quartz Glass Co.Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/11/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y374C6105

Annual Meeting Agenda (05/17/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2020 ANNUAL ACCOUNTS	For	For	For
5	2020 Profit Distribution Plan	For	For	For
6	APPLICATION FOR COMPREHENSIVE CREDIT LINE TO BANKS	For	For	For
7	2021 REAPPOINTMENT OF AUDIT FIRM	For	For	For
8	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2021 TO 2023	For	For	For

Inner Mongolia Yili Industrial Group Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/13/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y408DG116

Annual Meeting Agenda (05/20/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
2	2020 Directors' Report	For	For	For
3	2020 Supervisors' Report	For	For	For
4	2021 Business and Investment Plan	For	Against	Against
Vote Note: Insufficient information provided				
5	2020 Accounts and Reports and 2021 Financial Budget	For	For	For
6	2020 Allocation of Profits/Dividends	For	For	For
7	2020 Independent Directors' Report	For	For	For
8	Authority to Authorize A Wholly-Owned Subsidiaries to Give Guarantees for Clients	For	Against	Against
Vote Note: Insufficient information provided				
9	Registration and Issuance of Ultra Short Term Commercial Papers	For	For	For
10	Authority to Give Guarantees for Wholly- Owned Subsidiaries	For	For	For
11	Authority to Give Guarantees for Hohhot City Yixing Milk Industry Investment Management Co., Ltd.	For	For	For
12	Repurchase and Cancellation of Some Restricted Shares of 2019 Restricted Shares Incentive Plan	For	For	For
13	Amendments to Articles	For	For	For
14	Work System for Independent Directors	For	For	For
15	Management System for Connected Transactions	For	For	For
16	Re-Appointment of Auditor	For	For	For

Inner Mongolia Yili Industrial Group Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/22/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y408DG116

Special Meeting Agenda (06/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility for Non-public A- share Offering	For	Against	Against
Vote Note: Not in shareholders' best interests				

2	Stock Type and Par Value	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
3	Issuing Method and Date	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
4	Pricing Base Date, Pricing Principles and Issue Price	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
5	Issuing Volume	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
6	Issuing Targets and Subscription Method	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
7	Amount and Purpose of the Raised Funds	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
8	Lockup Period Arrangement	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
9	Listing Place	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
10	Arrangement for the Accumulated Retained Profits Before the Non-public Offering	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
11	The Valid Period of the Resolution on This Offering	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
12	PREPLAN FOR 2021 NON-PUBLIC A-SHARE OFFERING	For	Against	Against
	Vote Note: Discount exceeds reasonable limits			
13	Feasibility Analysis Report on the Use of Funds to Be Raised from the 2021 Non-public A-share Offering	For	Against	Against
	Vote Note: Not in shareholders" best interests			
14	NO NEED TO PREPARE A REPORT ON USE OF PREVIOUSLY RAISED FUNDS	For	For	For
15	Diluted Immediate Return After 2021 Non-public A-share Offering and Filing Measures, and Commitments of Relevant Parties	For	Against	Against
	Vote Note: Not in shareholders" best interests			
16	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2021 TO 2023	For	For	For
17	Full Authorization to the Board to Handle Matters Regarding the Non-public A-share Offering	For	Against	Against
	Vote Note: Not in shareholders" best interests			
18	Amendments to the Business Scope and the Articles of Association of the Company	For	For	For

Jiangsu Eastern Shenghong Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 04/12/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9717R108

Special Meeting Agenda (04/16/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2021 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	For

Jiangsu Eastern Shenghong Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/04/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9717R108

Annual Meeting Agenda (05/10/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
3	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
4	2020 ANNUAL ACCOUNTS AND 2021 FINANCIAL BUDGET REPORT	For	For	For
5	2020 Profit Distribution Plan	For	For	For
6	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
7	2021 APPOINTMENT OF FINANCIAL AUDIT FIRM AND INTERNAL CONTROL AUDIT FIRM	For	For	For
8	Approve Adjustment of Performance Commitment Period and Signing of Supplementary Agreement	For	For	For

Jiangsu Eastern Shenghong Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/25/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9717R108

Special Meeting Agenda (05/31/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	INVESTMENT IN AND CONSTRUCTION OF A PROJECT BY A COMPANY	For	For	For

Jiangsu Eastern Shenghong Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 07/29/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9717R108

Special Meeting Agenda (08/04/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Approval of a Subsidiary's Investment Project	For	For	For
2	Authority to Give Guarantees for a Subsidiary	For	Against	Against

Vote Note: Guarantees exceed net assets

Jiangsu Eastern Shenghong Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/17/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9717R108

Special Meeting Agenda (08/23/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Eligibility for the Company's Assets Purchase via Share Offering and Cash Payment and Matching Fund Raising	For	For	For
2	Overall Plan for the Transaction	For	For	For
3	Assets Purchase via Share Offering Plan: Counterparties	For	For	For
4	Assets Purchase via Share Offering Plan: Underlying Assets	For	For	For
5	Assets Purchase via Share Offering Plan: Pricing Basis and Transaction Price	For	For	For
6	Assets Purchase via Share Offering Plan: Payment Method	For	For	For
7	Assets Purchase via Share Offering Plan: Benchmark Date, Pricing Principle and Issuance Price of the Share Offering	For	For	For
8	Assets Purchase via Share Offering Plan: Issuance Method	For	For	For
9	Assets Purchase via Share Offering Plan: Types and Nominal Value	For	For	For
10	Assets Purchase via Share Offering Plan: Issuance Target and Subscription Method	For	For	For
11	Assets Purchase via Share Offering Plan: Issuance Size	For	For	For
12	Assets Purchase via Share Offering Plan: Listing Market	For	For	For
13	Assets Purchase via Share Offering Plan: Lockup Period	For	For	For

14	Assets Purchase via Share Offering Plan: Distribution Arrangement for Accumulated Profits	For	For	For
15	Assets Purchase via Share Offering Plan: Delivery of Underlying Assets	For	For	For
16	Assets Purchase via Share Offering Plan: Arrangement for the Profits and Losses During the Transitional Period	For	For	For
17	Assets Purchase via Share Offering Plan: Transfer of Ownership of the Underlying Assets and Liabilities for Breach of Contract	For	For	For
18	Assets Purchase via Share Offering Plan: Validity of the Resolution	For	For	For
19	Matching Fund Raising Plan: Type, Nominal Value and Listing Market for Shares	For	For	For
20	Matching Fund Raising Plan: Issuance Method, Issuance Target and Subscription Method	For	For	For
21	Matching Fund Raising Plan: Benchmark Date and Issuance Price	For	For	For
22	Matching Fund Raising Plan: Amount of the Matching Funds and Issuance Size	For	For	For
23	Matching Fund Raising Plan: Lockup Period	For	For	For
24	Matching Fund Raising Plan: Use of Proceeds	For	For	For
25	Matching Fund Raising Plan: Distribution Arrangement for Accumulated Profits	For	For	For
26	Matching Fund Raising Plan: Validity of the Resolution	For	For	For
27	Matching Fund Raising Plan: Performance Commitments and Compensation	For	For	For
28	Connected Transactions Regarding the Assets Purchase via Share Offering and Cash Payment and Matching Fund Raising	For	For	For
29	Report on the Connected Transactions Regarding Assets Purchase via Share Offering and Cash Payment and Matching Fund Raising and its Summary	For	For	For
30	Conditional Agreement on Assets Purchase via Share Offering and Cash Payment and its Supplemental Agreement to be Signed	For	For	For
31	Conditional Agreement on Profit Forecast and compensation for the Assets Purchase via Share Offering and Cash Payment and its Supplemental Agreement to be Signed	For	For	For
32	The Transaction is in Compliance with Articles 11 and 43 of the Management Measures on Major Assets Restructuring of Listed Companies and Article 4 of the Provisions on Several Issues Concerning the Regulation of Major Assets Restructuring of Listed Companies	For	For	For
33	The Transaction does not Constitute a Listing via Restructuring as Defined by Article 13 of the Management Measures on Major Assets Restructuring of Listed Companies	For	For	For
34	The Relevant Parties of the Transactions are Qualified to Participate in the Major Assets Restructuring According to Article 13 of the Provisional Regulations on Enhancing Supervision on Abnormal Stock Trading Regarding Major Assets Restructuring of Listed Companies	For	For	For

Jiangsu Hengrui Medicine Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 05/05/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y4446S105**Annual Meeting Agenda (05/11/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 2020 Directors' Report	For	For	For
2 2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
3 2020 Supervisors' Report	For	For	For
4 2020 Accounts and Reports	For	For	For
5 Allocation of Profits/Dividends	For	For	For
6 2021 Re- Appointment of Financial and Internal Control Auditor and Authority to Set Fees	For	For	For
7 Amendments to Articles of Association	For	For	For
8 Repurchase and Cancellation of Some Granted Stocks Under the Incentive Plan	For	For	For
9 Elect DONG Jiahong	For	For	For

Jiangsu Hengrui Pharmaceuticals Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 08/16/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y4446S105**Special Meeting Agenda (08/20/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 Elect JIANG Sumei	For	For	For

Jiangsu Hengrui Pharmaceuticals Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 12/21/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y4446S105**Special Meeting Agenda (12/27/2021)**

	Mgmt Rec	Green Policy	Vote Cast
1 Termination of 2020 Restricted Stock Incentive Plan and Cancellation of Stocks	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 02/19/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y7742H103

Special Meeting Agenda (02/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Share Repurchase by Means of Centralized Bidding: Objective of the Share Repurchase	For	For	For
2	Share Repurchase by Means of Centralized Bidding: Type of Shares to be Repurchased	For	For	For
3	Share Repurchase by Means of Centralized Bidding: Method of the Share Repurchase	For	For	For
4	Share Repurchase by Means of Centralized Bidding: Time Limit of the Share Repurchase	For	For	For
5	Share Repurchase by Means of Centralized Bidding: Number and Percentage to the Total Capital of Shares to be Repurchased and Total Amount	For	For	For
6	SHARE REPURCHASE BY MEANS OF CENTRALIZED BIDDING: PRICE RANGE OF SHARES TO BE REPURCHASED AND THE PRICING PRINCIPLES	For	For	For
7	SHARE REPURCHASE BY MEANS OF CENTRALIZED BIDDING: SOURCE OF THE FUNDS FOR THE REPURCHASE	For	For	For
8	AUTHORIZATION TO THE BOARD TO HANDLE MATTERS REGARDING THE SHARE REPURCHASE	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/14/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y7742H103

Annual Meeting Agenda (05/21/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 Supervisors' Report	For	For	For
2	2020 Directors' Report	For	For	For
3	2020 Accounts and Reports	For	For	For
4	2020 Allocation of Dividends	For	For	For
5	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	2020 Internal Control Audit Report	For	For	For
7	2020 Audit Report on the Occupation of Non-Operating Capital and Other Related Capital Transactions	For	For	For
8	Approval of Line of Credit and Authority to Give Guarantees for Subsidiaries' Loans	For	Against	Against
	Vote Note: Guarantees exceed net assets			
9	Re-appointment of Auditor for 2021	For	For	For

10	Extension of an Investment Project Financed with Raised Funds	For	For	For
11	Change of the Company's Registered Capital	For	For	For
12	Amendments to Articles	For	For	For
13	Approval to Purchase Liability Insurance for Directors, Supervisors and Senior Managers	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/23/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7742H103

Special Meeting Agenda (06/29/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Procedural Rules: Supervisory Board Meetings	For	For	For
2	An Additional Investment Method for Projects Financed with Raised Funds	For	For	For
3	Approval for the Company's Controlled Subsidiary Livzon Pharm to Provide Guarantees to its Controlled Subsidiary Livzon Mabpharm and Approval for the Company to Provide Counter Guarantees	For	For	For
4	Phase I Medium-to-Long-Term Business Partner Stock Ownership Plan (Draft) and Its Summary	For	For	For
5	Board Authorisation to Handle Matters Related to Phase I Mid-to-Long-Term Business Partner Stock Ownership Plan	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 08/23/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7742H103

Special Meeting Agenda (08/27/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Articles of Association	For	For	For
2	Adjustment to Directors' Fees	For	For	For
3	Amendments to Work System for Independent Directors	For	Against	Against
Vote Note: Insufficient information provided				
4	Adjustment to Fees for Supervisors	For	For	For
5	Elect ZHU Baoguo	For	For	For
6	Elect LIU Guangxia	For	For	For
7	Elect YU Xiong	For	For	For

8	Elect QIU Qingfeng	For	For	For
9	Elect LIN Nanqi	For	For	For
10	Elect HUO Jing	For	For	For
11	Elect PENG Juan	For	For	For
12	Elect CUI Liguo	For	For	For
13	Elect TAN Yezhi	For	For	For
14	Elect PENG Jinhua	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
15	Elect XIE Youguo	For	For	For

Kweichow Moutai Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/03/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y5070V116

Annual Meeting Agenda (06/09/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2020 Work Report of the Board of Supervisors	For	For	For
3	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2020 ANNUAL ACCOUNTS	For	For	For
5	2021 FINANCIAL BUDGET REPORT	For	For	For
6	2020 Profit Distribution Plan	For	For	For
7	2020 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
8	2021 APPOINTMENT OF FINANCIAL AUDIT FIRM AND INTERNAL CONTROL AUDIT FIRM	For	For	For

Kweichow Moutai Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/17/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y5070V116

Special Meeting Agenda (09/24/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect DING Xiongjun	For	For	For
2	Amendments to Articles of Association	For	For	For
3	Amendments to the Rules of Procedure Governing Shareholders General Meetings	For	For	For
4	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	For
5	Amendments to the Company's Rules of Procedure Governing Meetings of the Supervisory Committee	For	For	For

6	A TRADEMARK LICENSE AGREEMENT TO BE SIGNED WITH RELATED PARTIES	For	For	For
7	CONTINUING CONNECTED TRANSACTIONS WITH A COMPANY	For	For	For

Livzon Pharmaceutical Group Inc.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 12/06/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9890T111

Special Meeting Agenda (12/10/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2022-2024 Continuing Guarantee Support Framework Agreement	For	For	For

Midea Group Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 01/19/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6S40V103

Special Meeting Agenda (01/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Approval of Repurchase and Cancellation of Some Restricted Shares of 2017 Restricted Shares Incentive Plan	For	For	For
2	Approval of Repurchase and Cancellation of Some Restricted Shares of 2018 Restricted Shares Incentive Plan	For	For	For
3	Approval of Repurchase and Cancellation of Some Restricted Shares of 2019 Restricted Shares Incentive Plan	For	For	For
4	Approval of Repurchase and Cancellation of Some Restricted Shares of 2020 Restricted Shares Incentive Plan	For	For	For

Midea Group Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/14/2021 **Share Blocking** No
Country Of Trade CN

Annual Meeting Agenda (05/21/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 Directors' Report	For	For	For
3	2020 Supervisors' Report	For	For	For
4	2020 Accounts and Reports	For	For	For
5	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	Allocation of Profits/Dividends	For	For	For
7	8th Phase of the Stock Option Incentive Plan (Draft) And Its Summary	For	For	For
8	Formulation of the Measures of Implementation And Appraisal of the 8th Stock Option Incentive Plan	For	For	For
9	Authorization To the Board To Handle Matters Regarding the 8th Stock Option Incentive Plan	For	For	For
10	2021 RESTRICTED STOCK INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	For	For	For
11	Appraisal Measures for the Implementation of the 2021 Restricted Stock Incentive Plan	For	For	For
12	Authorization To the Board To Handle Matters Regarding the 2021 Restricted Stock Incentive Plan	For	For	For
13	Key Management Team Stock Ownership Plan And the Midea Global Partners 7th Phase Stock Ownership Plan (Draft) And Its Summary	For	Against	Against
	Vote Note: Potential Conflict of Interest; Purchase Price Not Disclosed			
14	MANAGEMENT MEASURES ON THE MIDEA GLOBAL PARTNERS STOCK OWNERSHIP PLAN	For	Against	Against
	Vote Note: Plan is not in best interests of shareholders			
15	Authorization To the Board to Handle Matters Regarding The Midea Global Partners Stock Ownership Plan	For	Against	Against
	Vote Note: Not in shareholders" best interests			
16	Key Management Team Stock Ownership Plan And The Midea Business Partners 4th Phase Stock Ownership Plan (Draft) And Its Summary	For	Against	Against
	Vote Note: Potential Conflict of Interest; Purchase Price Not Disclosed			
17	Management Measures On The Midea Business Partners 4th Phase Stock Ownership Plan	For	Against	Against
	Vote Note: Plan is not in best interests of shareholders			
18	Authorization Of the Board To Handle Matters Regarding The Midea Business Partners 4th Phase Stock Ownership Plan	For	Against	Against
	Vote Note: Not in shareholders" best interests			
19	2021 PROVISION OF GUARANTEE FOR CONTROLLED SUBSIDIARIES	For	Against	Against
	Vote Note: Guarantees exceed net assets			
20	SPECIAL REPORT ON 2021 FOREIGN EXCHANGE DERIVATIVE TRADING BUSINESS	For	For	For
21	CONNECTED TRANSACTIONS WITH BANKS IN 2021	For	For	For

22	Re- Appointment of Auditor		For	Against	Against
		Vote Note: Failure to disclose breakdown of fees			
23	Amendments to Articles Of Association		For	For	For
24	PLAN FOR REPURCHASE OF PUBLIC SHARES		For	For	For

Midea Group Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/21/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6S40V103

Special Meeting Agenda (06/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Repurchase and Cancellation of Some Restricted Shares of 2018 Restricted Shares Incentive Plan	For	For	For
2	Repurchase and Cancellation of Some Restricted Shares of 2019 Restricted Shares Incentive Plan	For	For	For
3	Repurchase and Cancellation of Some Restricted Shares of 2020 Restricted Shares Incentive Plan	For	For	For

Midea Group Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/13/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6S40V103

Special Meeting Agenda (09/17/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Articles	For	For	For
2	Elect FANG Hongbo	For	For	For
3	Elect YIN Bitong	For	For	For
4	Elect Gu Yanmin	For	For	For
5	Elect Wang Jianguo	For	For	For
6	Elect HE Jianfeng	For	For	For
7	Elect YU Gang	For	For	For
8	Elect XUE Yunkui	For	For	For
9	Elect GUAN Qingyou	For	For	For
10	Elect HAN Jian	For	For	For
11	Elect DONG Wentao	For	For	For
12	Elect ZHAO Jun	For	Against	Against
		Vote Note: Supervisors are not sufficiently independent		
13	Remuneration Standards of Independent Directors and Outside Directors	For	For	For

New Hope Liuhe Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 01/25/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7933V101

Special Meeting Agenda (01/29/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Approval of 2021 Restricted Shares Incentive Plan (Draft) and its Summary	For	For	For
2	Approval of the Assessment and Management Measures of 2021 Restricted Shares Incentive Plan	For	For	For
3	Board Authorization to Implement 2021 Restricted Shares Incentive Plan and Relevant Matters	For	For	For
4	Approval of 2021 Core Backbones Employee Stock Purchase Plan (Draft) and its Summary Vote Note: Issue price discount not disclosed	For	Against	For
5	Approval of the Management Measures of 2021 Core Backbones Employee Stock Purchase Plan Vote Note: Not in shareholders" best interests	For	Against	For
6	Board Authorization to Implement the 2021 Core Backbones Employee Stock Purchase Plan and Relevant Matters Vote Note: Not in shareholders" best interests	For	Against	For
7	Cancellation of Some Stock Options and Repurchase and Cancellation of Some Restricted Shares	For	For	For

New Hope Liuhe Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/24/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7933V101

Annual Meeting Agenda (05/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
3	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For

4	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
5	2020 Profit Distribution Plan: the Detailed Profit Distribution Plan Are as Follows: 1) Cash Dividend/10 Shares (Tax Included):CNY0.00000000 2) Bonus Issue From Profit (Share/10 Shares):none 3) Bonus Issue From Capital Reserve (Share/10 Shares):none	For	For	For
6	APPOINTMENT OF 2021 FINANCIAL AUDIT FIRM	For	For	For
7	PROVISION OF GUARANTEE FOR PAYMENT FOR RAW MATERIALS PURCHASED BY SUBORDINATE COMPANIES	For	For	For
8	2021 ESTIMATED FINANCING GUARANTEE QUOTA	For	For	For
9	THE FRAMEWORK AGREEMENT ON CONTINUING CONNECTED TRANSACTIONS TO BE SIGNED AND ESTIMATION OF 2021 CONTINUING CONNECTED TRANSACTIONS	For	For	For
10	Amendments to the Company's Articles of Association	For	For	For
11	AMENDMENTS TO THE RULES OF PROCEDURE GOVERNING THE BOARD MEETINGS	For	For	For
12	Amendments to the Rules of Procedure Governing Shareholders General Meetings	For	For	For
13	Amendments to the Company's Rules of Procedure Governing Meetings of the Supervisory Committee	For	For	For
14	Termination of the 2021 Restricted Stock Incentive Plan (Draft) and Relevant Proposals	For	For	For

Oriental Energy Co., Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 07/26/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y988AN107

Special Meeting Agenda (07/30/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Approval of the Investment in Oriental Energy (Maoming) Acrylonitrile Project	For	For	For
2	Board Authorization to Implement the Investment in Oriental Energy (Maoming) Acrylonitrile Project and Relevant Matters	For	For	For

Oriental Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 09/17/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y988AN107

Special Meeting Agenda (09/24/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Cash Management with Idle Funds	For	For	For
2 Authority to Give Guarantees Regarding the Line of Credit and Syndicated Bank Loans	For	Against	For

Vote Note:Guarantees exceed net assets

Oriental Energy Co., Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 10/08/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y988AN107

Special Meeting Agenda (10/15/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 The Company's Eligibility for Public Issuance of Corporate Bonds	For	For	For
2 Par Value and Issuing Volume	For	For	For
3 Arrangement for Placement to Existing Shareholders	For	For	For
4 Bond Duration	For	For	For
5 Interest Rate of the Bond	For	For	For
6 Purpose of the Raised Funds	For	For	For
7 Guarantee Clauses	For	For	For
8 Listing Place	For	For	For
9 Underwriting Method	For	For	For
10 The Valid Period of the Resolution on the Corporate Bond Issuance	For	For	For
11 Authorization for the Public Issuance of Corporate Bonds	For	For	For
12 REPAYMENT GUARANTEE MEASURES FOR THE PUBLIC ISSUANCE OF CORPORATE BONDS	For	For	For

Ping AN Insurance (Group) Co. of China, Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/19/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y69790114

Annual Meeting Agenda (03/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Directors' Report	For	For	For
2	Supervisors' Report	For	For	For
3	2020 Annual Report	For	For	For
4	Accounts and Reports	For	For	For
5	Allocation of Profits/Dividends	For	For	For
6	Appointment of Auditor and Authority to Set Fees	For	For	For
7	Performance Evaluation Report of the Independent Non-executive Directors	For	For	For
8	Elect MA Mingzhe	For	For	For
9	Elect XIE Yonglin	For	For	For
10	Elect TAN Sin Yin	For	For	For
11	Elect Jason YAO Bo	For	For	For
12	Elect CAI Fangfang	For	For	For
13	Elect Soopakij Chearavanont XIE Jiren	For	Against	Against
	Vote Note: Serves on too many boards			
14	Elect YANG Xiaoping	For	Against	Against
	Vote Note: Insufficient audit committee independence; Serves on too many boards			
15	Elect WANG Yongjian	For	For	For
16	Elect HUANG Wei	For	For	For
17	Elect OUYANG Hui	For	For	For
18	Elect Kenneth NG Sing Yip	For	For	For
19	Elect CHU Yiyun	For	For	For
20	Elect LIU Hong	For	For	For
21	Elect JIN Li	For	For	For
22	Elect Albert NG Kong NG Kong Ping	For	For	For
23	Elect GU Liji as Supervisor	For	For	For
24	Elect HUANG Baikui as Supervisor	For	For	For
25	Elect ZHANG Wangjin as Supervisor	For	For	For
26	Authority to Issue Debt Financing Instruments	For	For	For
27	Authority to Issue Shares w/o Preemptive Rights	For	For	For
28	Amendments to Articles of Association	For	For	For

Poly Developments and Holdings Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/23/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7000Q100

Special Meeting Agenda (03/29/2021)

Mgmt Rec **Green Policy** **Vote Cast**

1	Amendments to Articles of Association	For	For	For
2	Amendments to Procedural Rules Governing Board Meetings	For	Abstain	Abstain
Vote Note: Insufficient information provided				
3	Amendments to Connected Transactions Decision Making System	For	Abstain	Abstain
Vote Note: Insufficient information provided				
4	Amendments to Dividend Management System	For	Abstain	Abstain
Vote Note: Insufficient information provided				

Poly Developments and Holdings Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/18/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7000Q100

Annual Meeting Agenda (06/24/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 Directors' Report	For	For	For
2	2020 Supervisors' Report	For	For	For
3	2021 INVESTMENT PLAN	For	For	For
4	2020 Accounts and Reports	For	For	For
5	Allocation of Profits/Dividends	For	For	For
6	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
7	Re-Appointment of the Auditor	For	For	For
8	2021 External Guarantees	For	For	For
9	CONNECTED TRANSACTIONS WITH JOINT VENTURES AND ASSOCIATED COMPANIES	For	For	For
10	Shareholder Return Plan For the Next Three Years (2021 To 2023)	For	For	For

Sany Heavy Industry Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 04/19/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y75268105

Annual Meeting Agenda (04/23/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2020 Work Report of the Board of Supervisors	For	For	For
3	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2020 ANNUAL ACCOUNTS	For	For	For
5	2020 Profit Distribution Plan	For	For	For

6	2020 REMUNERATION APPRAISAL FOR DIRECTORS AND SUPERVISORS	For	For	For
7	APPLICATION FOR BANK CREDIT LINE	For	For	For
8	2021 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	For
9	CONNECTED TRANSACTION REGARDING SETTING UP OF A TRUST PLAN	For	For	For
10	2021 REAPPOINTMENT OF AUDIT FIRM	For	For	For
11	LAUNCHING FINANCIAL DERIVATIVES BUSINESS	For	For	For
12	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH IDLE PROPRIETARY FUNDS	For	For	For
13	2020 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For

Sany Heavy Industry Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/14/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y75268105

Special Meeting Agenda (06/18/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Articles	For	For	For
2	Application for Registration and Issuance of Various Debt Financing Instruments ("DFIs")	For	For	For
3	Approval to Launch Financial Leasing Business via Sany Financial Leasing Co., Limited	For	For	For
4	Related Party Transaction regarding Provision of Guarantees for Financing from Financial Institutions Applied by Sany Financial Leasing Co., Limited	For	Against	Against
Vote Note: Guarantees exceed net assets				
5	Approval to Provide Guarantees to Wholly-Owned Subsidiaries	For	For	For
6	2021 EMPLOYEE STOCK OWNERSHIP PLAN (DRAFT) AND ITS SUMMARY	For	For	For
7	MANAGEMENT MEASURES FOR 2021 EMPLOYEE STOCK OWNERSHIP PLAN	For	For	For
8	Board Authorisation to Handle Matters Related to 2021 Employee Stock Ownership Plan	For	For	For
9	Approval to Provide Guarantees to an Overseas Wholly-Owned Subsidiary	For	For	For
10	Non-Voting Meeting Note			

Sany Heavy Industry Co

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/19/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y75268105

Special Meeting Agenda (08/25/2021)

- | | | Mgmt Rec | Green Policy | Vote Cast |
|---|---|----------|--------------|-----------|
| 1 | Connected Transactions Regarding a Controlled Subsidiary set up and apply for Issuance of Asset-backed Securities | For | For | For |

Sany Heavy Industry Co

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 09/27/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y75268105

Special Meeting Agenda (10/08/2021)

- | | | Mgmt Rec | Green Policy | Vote Cast |
|---|---|----------|--------------|-----------|
| 1 | Approve Amendments to Articles of Association | For | For | For |

Sany Heavy Industry Co

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 12/21/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y75268105

Special Meeting Agenda (12/27/2021)

- | | | Mgmt Rec | Green Policy | Vote Cast |
|---|--|----------|--------------|-----------|
| 1 | Elect YU Hongfu | For | For | For |
| 2 | Approval of Estimated Quota for Mortgage Business and Financial Leasing Business | For | Against | Against |
| | Vote Note: Insufficient information provided | | | |
| 3 | Approval of Deposit Services at An Affiliated Bank | For | Against | Against |
| | Vote Note: Deposit services with a member of the group | | | |
| 4 | Increased Caps for Connected Transactions 2021 | For | For | For |
| 5 | Authority to Give Guarantees | For | Against | Against |
| | Vote Note: Guarantees exceed net assets | | | |

SDIC Power Holdings Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/11/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100

Special Meeting Agenda (01/15/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Elect ZHANG Wenping	For	For	For

SDIC Power Holdings Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/04/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100

Special Meeting Agenda (03/10/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 APPOINTMENT OF OVERSEAS AUDIT FIRM	For	For	For

SDIC Power Holdings Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 04/09/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100

Special Meeting Agenda (04/15/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 REGISTRATION AND ISSUANCE OF MEDIUM-TERM NOTES	For	For	For

SDIC Power Holdings Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 04/27/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100

Special Meeting Agenda (05/06/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Elect XU Junli	For	For	For

SDIC Power Holdings Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/23/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100

Annual Meeting Agenda (06/29/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2 2020 Work Report of the Board of Supervisors	For	For	For
3 2020 ANNUAL ACCOUNTS	For	For	For
4 2021 BUSINESS PLAN	For	For	For
5 2020 Profit Distribution Plan	For	For	For
6 2021 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	Against	Against
Vote Note: Deposit services with a member of the group			
7 Change of the Company's Registered Capital after Listing on the London Stock Exchange and Amendments to the Company's Articles of Association	For	For	For

Shanghai Zhonggu Logistics Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 09/23/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-ADPV48484

Special Meeting Agenda (09/29/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Amendments to Articles	For	For	For
2 Amendments to Procedural Rules for Shareholder Meetings	For	For	For
3 Increasing Proprietary Funds in Cash Management	For	For	For
4 Elect LU Zongjun	For	For	For
5 Elect FANG Li	For	For	For

6	Elect XIA Guoqing	For	For	For
7	Elect SUN Rui	For	For	For
8	Elect LI Yonghua	For	For	For
9	Elect LI Dafa	For	For	For
10	Elect HE Jiale	For	For	For
11	Elect WANG Jiashui	For	For	For
12	Elect ZHOU Hu	For	For	For
13	Elect WU Huixin	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

14	Elect ZHAO Weihua	For	For	For
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Shengyi Technology Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 01/22/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102

Special Meeting Agenda (01/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Authority to Give Guarantees for Subsidiaries	For	For	For

Shengyi Technology Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 04/16/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102

Annual Meeting Agenda (04/22/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 ANNUAL ACCOUNTS	For	For	For
2	2020 Profit Distribution Plan	For	For	For
3	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
5	2020 Work Report of the Board of Supervisors	For	For	For
6	2020 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
7	REAPPOINTMENT OF 2021 AUDIT FIRM AND DETERMINATION OF 2021 AUDIT FEES	For	For	For
8	Reappointment of 2021 Internal Control Audit Firm and Determination of 2021 Internal Control Audit Fees	For	For	For
9	2021 Estimated Continuing Connected Transactions with a Company and Another Company and Its Subordinated Companies	For	For	For
10	2021 Estimated Continuing Connected Transactions with other 3 Companies	For	For	For

11	Amendments to the Company's Articles of Association	For	For	For
12	Elect LIU Shufeng	For	For	For
13	Elect CHEN Renxi	For	For	For
14	Elect DENG Chunhua	For	For	For
15	Elect XU Liqun	For	For	For
16	Elect TANG Yingmin	For	For	For
17	Elect ZHU Dan	For	For	For
18	Elect XIE Jingyun	For	For	For
19	Elect CHU Xiaoping	For	For	For
20	Elect LI Junyin	For	For	For
21	Elect WEI Jun	For	For	For
22	Elect LU Xin	For	For	For
23	Elect ZHUANG Dingding	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Shengyi Technology Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 08/25/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102

Special Meeting Agenda (08/31/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Settlement of Some Projects Financed with Raised Funds and Permanently Supplementing the Working Capital with the Surplus Funds	For	For	For
2	AMENDMENTS TO THE ARTICLES OF ASSOCIATIONS OF THE COMPANY	For	For	For

Shengyi Technology Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 10/25/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102

Special Meeting Agenda (10/29/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	By-Elect LIU Libin	For	For	For
2	By-Elect LI Shuhua	For	Against	Against

Vote Note:Serves on too many boards

Sichuan Chuantou Energy Co

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/13/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y7925C103

Annual Meeting Agenda (05/20/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2020 ANNUAL ACCOUNTS	For	For	For
4	2021 FINANCIAL BUDGET REPORT ON PRODUCTION AND OPERATION	For	For	For
5	2020 Profit Distribution Plan	For	For	For
6	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
7	2021 FINANCING WORK	For	Against	Against
	Vote Note: Insufficient information provided			
8	APPOINTMENT OF AUDIT FIRM AND DETERMINATION OF ITS AUDIT FEES	For	For	For
9	Amendments to the Company's Articles of Association	For	Against	Against
	Vote Note: Insufficient information provided			
10	Connected Transaction Regarding Acquisition of 20 Percent Equities in a Company Held by the Controlling Shareholders	For	For	For
11	Suspension of Acquisition of Equities in Another Company Held by the Controlling Shareholders	For	For	For
12	REPORT ON ADJUSTMENT OF ALLOWANCE FOR SUPERVISORS AND DIRECTORS	For	Against	Against
	Vote Note: Insufficient information provided			

Sinoma Science & Technology Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/11/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y80025102

Special Meeting Agenda (08/17/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Guarantee for subsidiaries	For	Against	For
	Vote Note: Guarantees exceed net assets			
2	Guarantee for a subsidiary	For	Against	For
	Vote Note: Guarantees exceed net assets			
3	Amendments to Articles of Association	For	For	For

Vote Deadline Date 04/12/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y806JL106

Annual Meeting Agenda (04/16/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2020 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
4	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5	2020 ANNUAL ACCOUNTS	For	For	For
6	2020 Profit Distribution Plan	For	For	For
7	2021 DETERMINATION OF THE REMUNERATION FOR DIRECTORS	For	For	For
8	2021 DETERMINATION OF REMUNERATION FOR SUPERVISORS	For	For	For
9	Connected Transactions with a Company	For	For	For
10	Connected Transactions with a 2nd Company	For	For	For
11	Connected Transactions with a 3rd Company	For	For	For
12	Connected Transactions with a 4th Company	For	For	For
13	Connected Transactions with a 5th Company	For	For	For
14	Connected Transactions with a 6th Company	For	For	For
15	Connected Transactions with a 7th Company	For	For	For
16	Application for Comprehensive Bank Credit Line by the Company and Subsidiaries	For	For	For
17	REAPPOINTMENT OF AUDIT FIRM	For	For	For
18	Change of the Company's Registered Capital and Amendments to the Company's Articles of Association	For	For	For
19	Elect MIAO Changwen	For	For	For
20	Elect LIU Jiaping	For	Against	Against
	Vote Note: Insufficient audit committee independence			
21	Elect ZHANG Jianxiong	For	For	For
22	Elect MAO Liangxi	For	For	For
23	Elect XU Yongmo	For	For	For
24	Elect LI Li	For	For	For
25	Elect WANG Ping	For	For	For
26	Elect ZHANG Yuexing	For	For	For
27	Elect JIANG Xianchen	For	Against	Against
	Vote Note: Supervisors are not sufficiently independent			
28	Non-Voting Meeting Note			

Vote Deadline Date 09/23/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y806JL106

Special Meeting Agenda (09/29/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 The Company's Eligibility for Public Issuance of Convertible Corporate Bonds	For	For	For
2 Type of Securities to Be Issued	For	For	For
3 Issuing Scale	For	For	For
4 Par Value and Issue Price	For	For	For
5 Issuance method and target	For	For	For
6 Bond Duration	For	For	For
7 Interest Rate of the Bond	For	For	For
8 Time Limit and Method for Repaying the Principal and Interest	For	For	For
9 Conversion Period	For	For	For
10 Determination and Adjustment to the Conversion Price	For	For	For
11 Provisions on Downward Adjustment of the Conversion Price	For	For	For
12 Determine the number of shares to be converted and how to deal with the amount of less than one share at the time of conversion	For	For	For
13 Redemption Clauses	For	For	For
14 Resale Clauses	For	For	For
15 Attribution of Related Dividends After the Conversion	For	For	For
16 Arrangement for placement to original A-share shareholders	For	For	For
17 Bondholders and Bondholders Meetings	For	For	For
18 Purpose of the Raised Funds	For	For	For
19 Guarantee Matters	For	For	For
20 Management of the Raised Funds and the Deposit Account	For	For	For
21 The Valid Period of the Issuing Plan	For	For	For
22 PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	For	For	For
23 Feasibility Analysis Report on the Use of Funds to Be Raised from the Public Issuance of Convertible Corporate Bonds	For	For	For
24 REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	For	For	For
25 Diluted Immediate Return After the Public Issuance of Convertible Corporate Bonds and Filling Measures and Relevant Commitments	For	For	For
26 Rules Governing the Meetings of Bondholders of the Company Convertible Bonds	For	For	For
27 SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2021 TO 2023	For	For	For
28 Authorization to the Board to Handle Matters Regarding the Public Issuance of Convertible Corporate Bonds	For	For	For
29 Foreign investment and construction of industrial bases	For	For	For

Spring Airlines Co.Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/11/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y8131G102

Special Meeting Agenda (01/15/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 EMPLOYEE STOCK OWNERSHIP PLAN (DRAFT) AND ITS SUMMARY Vote Note: Purchase price not disclosed	For	Against	Against
2	MANAGEMENT MEASURES FOR 2020 EMPLOYEE STOCK OWNERSHIP PLAN Vote Note: Not in shareholders" best interests	For	Against	Against
3	Board Authorisation to Implement 2020 Employee Stock Ownership Plan Vote Note: Not in best interests of shareholders	For	Against	Against
4	Authority to Give Guarantees to Trainee Pilots	For	For	For

Suzhou Maxwell Technologies Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/03/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y831GX101

Special Meeting Agenda (08/09/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility for A-share Offering to Specific Parties Vote Note: Not in shareholders" best interests	For	Against	For
2	Stock Type and Par Value Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold	For	Against	For
3	Issuing Method and Date Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold	For	Against	For
4	Pricing Base Date, Pricing Principles and Issue Price Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold	For	Against	For
5	Issuing Volume Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold	For	Against	For

6	Issuing Targets and Subscription Method	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
7	Lockup Period	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
8	Listing Place	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
9	The Valid Period of the Resolution on the Share Offering to Specific Parties	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
10	Amount and Purpose of the Raised Funds	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
11	Plan for Disposal of Accumulated Retained Profits Before the Issuance	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
12	Preplan for 2021 A-share Offering to Specific Parties	For	Against	For
	Vote Note: Discount exceeds reasonable limits; Potential dilution exceeds recommended threshold			
13	Feasibility Analysis Report on the Use of Funds to Be Raised from the 2021 A-share Offering to Specific Parties	For	Against	For
	Vote Note: Not in shareholders' best interests			
14	Demonstration Analysis Report on the Plan for 2021 A-share Offering to Specific Parties	For	Against	For
	Vote Note: Not in shareholders' best interests			
15	Report on the Use of Previously Raised Funds	For	For	For
16	Risk Warning on Diluted Immediate Return After the 2021 A-share Offering to Specific Parties and Filling Measures, and Commitments of Relevant Parties	For	Against	For
	Vote Note: Not in shareholders' best interests			
17	Full Authorization to the Board to Handle Matters Regarding the Share Offering to Specific Parties	For	Against	For
	Vote Note: Not in shareholders' best interests			
18	Shareholder Return Plan for the Next Three Years from 2021 to 2023	For	For	For
19	Change of the Company's Registered Capital and Amendments to the Company's Articles of Association	For	For	For

Wanhua Chemical Group Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/08/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9520G109

Special Meeting Agenda (01/14/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Transfer of Equities and Projects Financed with Raised Funds in a Wholly-owned Subsidiary	For	For	For

Wanhua Chemical Group Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 04/06/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9520G109

Annual Meeting Agenda (04/12/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 2020 Accounts and Reports	For	For	For
2 2020 Allocation of Dividends	For	For	For
3 Implementation Results of 2020 Investment Plans and 2021 Investment Plan Report	For	For	For
4 2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5 2020 Directors' Report	For	For	For
6 2020 Supervisors' Report	For	For	For
7 2020 Independent Directors' Report	For	For	For
8 Authority to Set Auditor's Fees	For	For	For
9 Re-Appointment of Auditor	For	For	For
10 Related Party Transactions	For	For	For
11 Authority to Give Guarantees to Subsidiaries	For	Against	Against

Vote Note: Guarantees exceed net assets

12 Authority to Give Guarantees to a Joint Venture	For	For	For
13 Change of Company's Registered Address and Amendments to Articles	For	For	For
14 Approval to Register for Non-Financial Company Debt Financing Instruments (DFI)	For	For	For
15 Approval to Increase Forward Settlement Quota for Company and Its Controlled Subsidiaries and Amendments to Relevant Systems	For	For	For
16 Approval to Provide Financial Support to a Joint Venture and Related Party Transactions	For	For	For
17 Approval to Merge the Wholly-Owned Subsidiary Yantai Zhuoneng Lithium Battery Co., Limited	For	For	For
18 Shareholder Returns Plan for the Next Three Years (2021-2023)	For	For	For

Wanhua Chemical Group Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 08/10/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9520G109**Special Meeting Agenda (08/16/2021)**

		Mgmt Rec	Green Policy	Vote Cast
1	Transfer Assets to a Wholly-owned Subsidiary and Provision of Guarantees	For	Against	Against
	Vote Note: Guarantees exceed net assets			
2	Provision of Guarantees to a Wholly-owned Subsidiary	For	Against	Against
	Vote Note: Guarantees exceed net assets			
3	Provision of Financial Support for a Controlling Subsidiary	For	Against	Against
	Vote Note: Guarantees exceed net assets			

Weichai Power Co**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 01/25/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9531A117**Special Meeting Agenda (01/29/2021)**

		Mgmt Rec	Green Policy	Vote Cast
1	Authority to Issue Shares w/o Preemptive Rights	For	Against	For
	Vote Note: Excessive issuance; Issue price discount not disclosed			
2	Company's Compliance with the Conditions for the Non-public Issuance of A Shares	For	For	For
3	class and par value of Shares to be issued	For	For	For
4	method and time of issuance	For	For	For
5	target subscribers and subscription method	For	For	For
6	Pricing Benchmark Date, issue price and pricing	For	For	For
7	number of Shares to be issued	For	For	For
8	lock-up period arrangement	For	For	For
9	place of listing of the new A Shares to be issued	For	For	For
10	arrangement of accumulated undistributed profits	For	For	For
11	validity period	For	For	For
12	use of Proceeds	For	For	For

13	Plan for the Non-public Issuance of A Shares	For	For	For
14	Feasibility Analysis Report on the Use of Proceeds from the Non-public Issuance of A Shares	For	For	For
15	Resolution on the absence of need to prepare a report on the use of previously raised proceeds	For	For	For
16	Resolution on the remedial measures for the dilution of immediate returns	For	For	For
17	Shareholders Return Plan (2021-2023)	For	For	For
18	Board Authorization in relation to Non-public Issuance of A Shares	For	For	For
19	Amendments to Management Measures on the Use of Raised Proceeds	For	For	For

Weichai Power Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/14/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9531A117

Special Meeting Agenda (05/21/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Resolution on the Spin-off and Listing of a Subsidiary being in Compliance with Relevant Laws and Regulations Vote Note: Insufficient information provided	For	Abstain	Abstain
2	Proposal for the Spin-off and Listing of a Subsidiary Vote Note: Insufficient information provided	For	Abstain	Abstain
3	Plan for the Spin-off and Listing of a Subsidiary Vote Note: Insufficient information provided	For	Abstain	Abstain
4	The Spin-off and Listing of the Subsidiary on the ChiNext Board of SSE in Compliance with the Regulation on Domestic Spin-off Listing of Subsidiaries of Domestically Listed Companies Vote Note: Insufficient information provided	For	Abstain	Abstain
5	The Spin-off and Listing of the Above Subsidiary on the ChiNext Board of the SSE for the Legitimate Rights and Interests of Shareholders and Creditors Vote Note: Insufficient information provided	For	Abstain	Abstain
6	Ability of the Company to Maintain Independence and Sustainable Profitability Vote Note: Insufficient information provided	For	Abstain	Abstain
7	THE SUBSIDIARY IS CAPABLE OF CONDUCTING LAW-BASED OPERATION Vote Note: Insufficient information provided	For	Abstain	Abstain

8	Statement on the Compliance and Completeness of the Legal Procedure of the Spin-off and the Validity of the Legal Documents Submitted	For	Abstain	Abstain
	Vote Note: Insufficient information provided			
9	Purpose, Commercial Rationality, Necessity and Feasibility Analysis of the Spin-off	For	Abstain	Abstain
	Vote Note: Insufficient information provided			
10	Authorization to the Board and Its Authorized Persons to Handle Matters regarding the Spin-off	For	Abstain	Abstain
	Vote Note: Insufficient information provided			
11	Amendments to Articles of Association	For	For	For
12	Continuing Connected Transaction between the Company and its subsidiaries and Shantui Construction Machinery Co., Ltd.	For	Abstain	Abstain
	Vote Note: Insufficient information provided			
13	CNHTC Purchase Agreement	For	For	For
14	CNHTC Supply Agreement	For	For	For

Weichai Power Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/22/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9531A117

Annual Meeting Agenda (06/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	Annual Report	For	For	For
3	Directors' Report	For	For	For
4	Supervisors' Report	For	For	For
5	Accounts and Reports	For	For	For
6	Final Financial Report	For	For	For
7	Financial Budget Report	For	For	For
8	Appointment of Auditor and Authority to Set Fees	For	For	For
9	Appointment of Internal Control Auditor	For	For	For
10	Merger and Absorption of Weichai Power (Weifang) Intensive Logistics Co., Ltd.	For	Abstain	For
	Vote Note: Insufficient information provided			
11	Merger and Absorption of Weichai Power (Weifang) Reconstruction Co., Ltd.	For	Abstain	For
	Vote Note: Insufficient information provided			
12	Allocation of Profits/Dividends	For	For	For
13	Authority to Declare Interim Dividends	For	For	For
14	Elect TAN Xuguang	For	Against	For
	Vote Note: Insider on compensation committee			
15	Elect ZHANG Liangfu	For	For	For
16	Elect JIANG Kui	For	For	For
17	Elect ZHANG Quan	For	For	For

18	Elect XU Xinyu	For	For	For
19	Elect SUN Shaojun	For	For	For
20	Elect YUAN Hongming	For	For	For
21	Elect YAN Jianbo	For	For	For
22	Elect Gordon Riske	For	For	For
23	Elect Michael Martin Macht	For	For	For
24	Elect LI Hongwu	For	Against	Against
Vote Note: No independent lead or presiding director				
25	Elect WEN Daocai	For	For	For
26	Elect JIANG Yan	For	For	For
27	Elect YU Zhuoping	For	For	For
28	Elect ZHAO Huifang	For	For	For
29	Elect LU Wenwu	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
30	Elect WU Hongwei	For	For	For

Weichai Power Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 10/20/2021 **Share Blocking** No

Country Of Trade CN

Ballot Sec ID CINS-Y9531A117

Special Meeting Agenda (10/26/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Supplemental Agreement to the Fast Transmission Sale Agreement	For	For	For
2	Supplemental Agreement to the Fast Transmission Purchase Agreement	For	For	For
3	Amendments to Articles of Association	For	For	For
4	Amendments to Procedural Rules for General Meetings	For	For	For
5	Amendments to Procedural Rules for Board Meetings	For	For	For
6	Amendments to Procedural Rules for Supervisory Committee Meetings	For	For	For
7	Amendments to the Terms of Reference of the Strategic Development and Investment Committee	For	For	For
8	Amendments to the Terms of Reference of the Audit Committee	For	For	For
9	Amendments to the Terms of Reference of the Remuneration Committee	For	For	For
10	Amendments to the Terms of Reference of the Nomination Committee	For	For	For
11	Amendments to the Decision Making Rules in respect of Connected Transactions	For	For	For
12	Amendments to the Decision Making Rules in respect of Investments and Operations	For	For	For
13	Proposed Increase in Share Capital of a Subsidiary of the Company	For	For	For

Yunnan Energy New Material Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/05/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (01/11/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	PROVISION OF GUARANTEE FOR A COMPANY	For	Against	Against

Vote Note:Guarantees exceed net assets

Yunnan Energy New Material Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/19/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (01/25/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Approve Signing of Changshou Economic and Technological Development Zone Project Investment Agreement	For	For	For

Yunnan Energy New Material Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/30/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Annual Meeting Agenda (04/08/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	2020 Directors' Report	For	For	For
2	2020 Supervisors' Report	For	For	For
3	2020 Accounts and Reports	For	For	For
4	2020 Allocation of Dividends	For	For	For
5	2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	Re-Appointment of Dahua Certified Public Accountants (Special General Partnership) as the Company's Auditor and Internal Control Auditor in 2021	For	For	For
7	Estimated Related Party Transactions in 2021	For	For	For
8	2020 Directors' Fees	For	For	For
9	2020 Supervisors' Fees	For	For	For
10	Approval of Line of Credit in 2021	For	For	For

11	Authority to Give Guarantees within the Limit of the Company's 2021 Consolidated Financial Statement	For	Against	For
Vote Note: Guarantees exceed net assets				
12	Estimated Deposit, Borrowing and Guarantee Transactions with a Related Bank in 2021	For	For	For
13	Performance Statement on Company's Issuance of Shares to Purchase Assets, Raising Supporting Funds and Related Party Transactions in 2020	For	For	For
14	Approval to Provide Financial Support to a Controlled Subsidiary and Its Subsidiaries	For	For	For
15	Approval of Adjustment to Directors and Senior Managers' Remuneration	For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 04/09/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (04/15/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	External Investment	For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 08/13/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (08/19/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Setting Up Joint Venture with A Company For Construction of a Project	For	For	For
2	Connected Transaction on an Acquisition Framework Agreement	For	For	For

Yunnan Energy New Material Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 10/19/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (10/25/2021)

- 1 Related Party Transactions

Mgmt Rec Green Policy Vote Cast

For Abstain For

Vote Note:Insufficient information provided

Yunnan Energy New Material Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 11/16/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (11/22/2021)

- 1 By-Elect MA Weihua

Mgmt Rec Green Policy Vote Cast

For For For

Yunnan Energy New Material Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 11/26/2021 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (12/02/2021)

- 1 ADDITIONAL GUARANTEE QUOTA FOR SUBSIDIARIES

Mgmt Rec Green Policy Vote Cast

For Against For

Vote Note:Guarantees exceed net assets

Zhejiang Satellite Petrochemical Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 02/02/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y98961116

Special Meeting Agenda (02/08/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 Draft and Summary of Performance Share Incentive Plan	For	For	For
2 Methods to Assess the Performance of Plan Participants	For	For	For
3 Authorization of the Board to Handle All Related Matters	For	For	For

Zhejiang Satellite Petrochemical Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/29/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y98961116

Special Meeting Agenda (04/07/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 THE FRAMEWORK AGREEMENT ON EXTERNAL INVESTMENT TO BE SIGNED	For	For	For
2 ADDITIONAL EXTERNAL GUARANTEE QUOTA	For	Against	For

Vote Note:Guarantees exceed net assets

Zhejiang Satellite Petrochemical Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/03/2021 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y98961116

Annual Meeting Agenda (05/07/2021)

	Mgmt Rec	Green Policy	Vote Cast
1 2020 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2 2020 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3 2020 ANNUAL ACCOUNTS	For	For	For
4 2020 ANNUAL REPORT AND ITS SUMMARY	For	For	For

5	2020 Profit Distribution Plan: the Detailed Profit Distribution Plan Are as Follows: 1) Cash Dividend/10 Shares (Tax Included):CNY1.70000000 2) Bonus Issue From Profit (Share/10 Shares):none 3) Bonus Issue From Capital Reserve (Share/10 Shares):4.000000	For	For	For
6	LAUNCHING COMMODITY DERIVATIVES TRADING BUSINESS IN 2021	For	For	For
7	2021 LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	For	For	For
8	2021 EXTERNAL GUARANTEE QUOTA OF THE COMPANY	For	Against	For
Vote Note: Guarantees exceed net assets				
9	2021 EXTERNAL GUARANTEE QUOTA OF SUBSIDIARIES	For	Against	For
Vote Note: Guarantees exceed net assets				
10	2021 Reappointment of Audit Firm	For	For	For

Zhejiang Satellite Petrochemical Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 07/12/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y98961116

Special Meeting Agenda (07/16/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Settlement of Projects and Permanently Supplementing the Working Capital with the Surplus Raised Funds	For	For	For
2	Repurchase and Cancellation of Some Restricted Shares	For	For	For
3	Amendments to Articles	For	For	For

Zhejiang Satellite Petrochemical Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 10/08/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y98961116

Special Meeting Agenda (10/15/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Change of Company Name and Stock Abbreviation	For	For	For
2	Amendments to Articles	For	For	For

3	Equity Compensation Plan		For	Against	For
		Vote Note: Purchase Price not Disclosed			
4	Ratification of Board Acts		For	Against	For
		Vote Note: Plan is not in best interests of shareholders			

Zoomlion Heavy Industry Science and Technology Co., Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/24/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1293Y102

Other Meeting Agenda (05/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Articles	For	For	For
2	Non-Voting Meeting Note			
3	Non-Voting Meeting Note			

Zoomlion Heavy Industry Science and Technology Co., Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/24/2021 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1293Y102

Annual Meeting Agenda (05/28/2021)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	Directors' Report	For	For	For
3	Supervisors' Report	For	For	For
4	Accounts and Reports	For	For	For
5	Annual Report of A Shares	For	For	For
6	Annual Report of H Shares	For	For	For
7	Allocation of Profits/Dividends	For	For	For
8	Provision for Asset Impairment	For	For	For
9	Appointment of Domestic Auditor	For	For	For
10	Appointment of International Auditor	For	For	For
11	Authority to Set Auditor's Fees	For	For	For
12	Application for Banking Credit Line	For	For	For
13	Authorization to Zoomlion Industrial Vehicles to Engage in Business relating to Banker's Acceptance Secured by Goods	For	For	For
14	Authorization to Agricultural Machinery Co.Ltd., a subsidiary of the Company, to engage in financial business with relevant financial institutions and downstream customers and provide external guarantees.	For	For	For
15	Authority to Give Guarantees	For	For	For
16	Investment and Financial Management	For	For	For

17	Development of Financial Derivatives Business	For	For	For
18	Authorization to Hunan Zhicheng Financing and Guarantee Company Limited to Provide Guarantees	For	For	For
19	approve (i) the registration of asset-backed securities products (ii) the undertaking of obligations to top up the shortfall (iii) provision of guarantee by the Company	For	For	For
20	Approve (i) the registration of supply chain asset-backed securities products in the amount of RMB6 billion by Zoomlion Business Factoring (China) Co., Ltd. (“Zoomlion Business Factoring (China)”) and (ii) the issue of necessary documents by the Company as joint debtor, and to authorise the Board or its authorised persons to determine and deal with matters in connection with the issue of such products.	For	For	For
21	Related Party Transactions of the disposal by the Company of 81% equity interest	For	For	For
22	Approve the continuing provision of guarantees	For	For	For
23	Authority to Give Buy-back Guarantees	For	For	For
24	Debt Financing Instruments	For	For	For
25	Approve the issue of medium-term notes by the Company with a principal amount of not more than RMB5 billion	For	For	For
26	Approve and confirm compliance by the Company with the conditions for the issue of bonds.	For	For	For
27	Approve the issue of bonds by the Company to professional investors by way of public offering with a principal amount of not more than RMB5 billion	For	For	For
28	Authorise the Board and its authorised persons to deal with all matters in connection with the Bond Issue	For	For	For
29	Amendments to Articles	For	For	For
30	Non-Voting Meeting Note			