

Board Statistics Report



Parameters Used:
 Location(s): All locations
 Account Group(s): All account groups
 Institution Account(s): AQR UCITS Funds - AQR China A Equity UCITS Fund
 Custodian Account(s): All custodian accounts
 Reporting Period: 1/1/19 to 12/31/19

Meeting Overview

Category	Number	Percentage
Number of votable meetings	75	
Number of meetings voted	75	100.00%
Number of meetings with at least 1 vote Against, Withhold or Abstain	15	20.00%

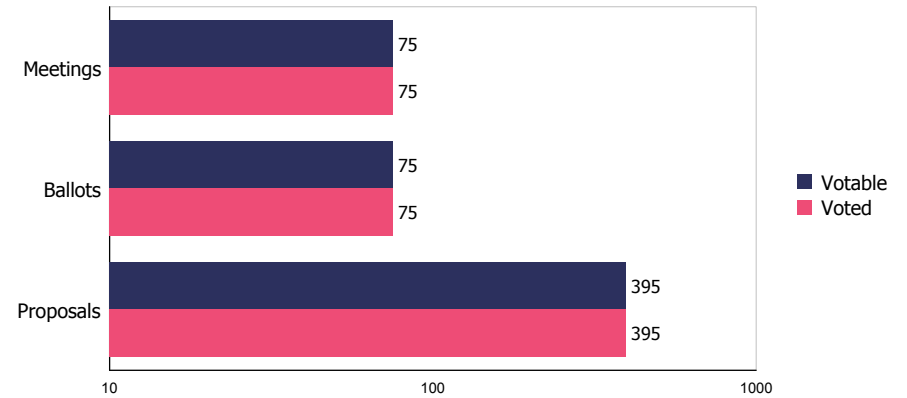
Ballot Overview

Category	Number	Percentage
Number of votable ballots	75	
Number of ballots voted	75	100.00%

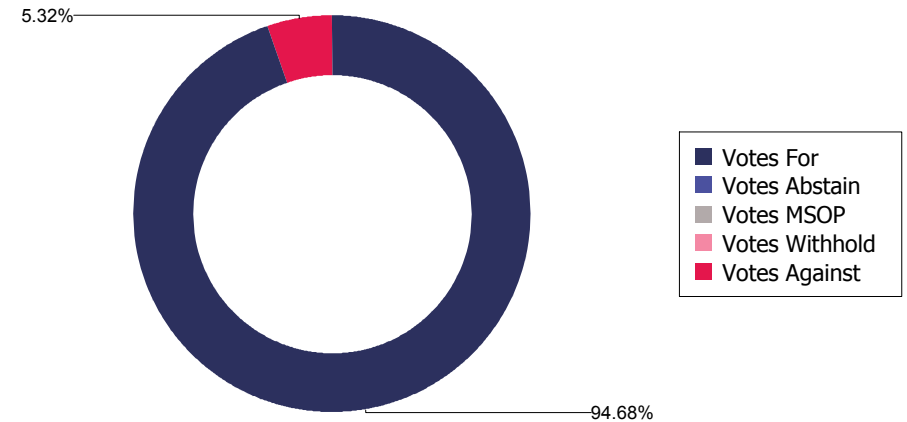
Proposal Overview

Category	Number	Percentage
Number of votable items	395	
Number of items voted	395	100.00%
Number of votes FOR	374	94.68%
Number of votes AGAINST	21	5.32%
Number of votes ABSTAIN	0	0.00%
Number of votes WITHHOLD	0	0.00%
Number of votes on MSOP	0	0.00%
Number of votes One Year	0	0.00%
Number of votes Two Years	0	0.00%
Number of votes Three Years	0	0.00%
Number of votes With Policy	380	96.20%
Number of votes Against Policy	0	0.00%
Number of votes With Mgmt	374	94.68%
Number of votes Against Mgmt	21	5.32%
Number of votes on Shareholder Proposals	43	10.89%

Voting Statistics



Vote Cast Statistics

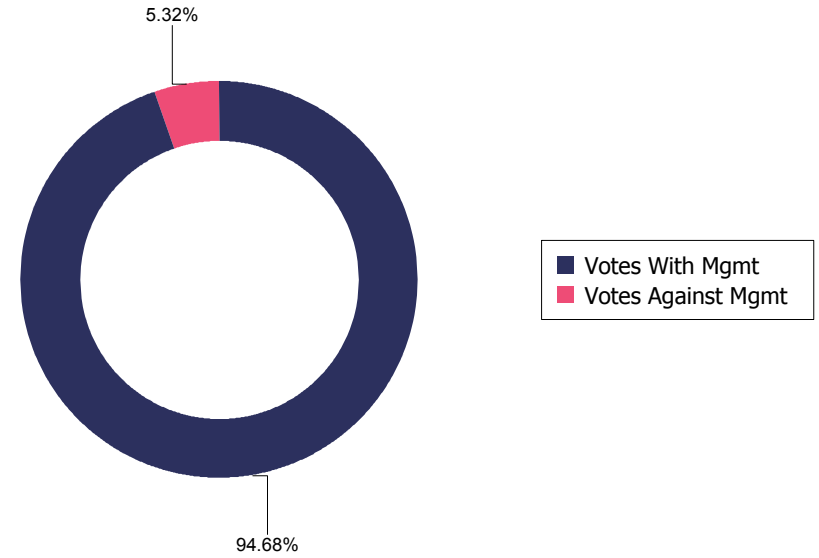


Note: Instructions of Do Not Vote are not considered voted, and in cases of different votes submitted across ballots for a given meeting, votes cast are distinctly counted by type per proposal where total votes submitted by type may be higher than unique proposals voted.

Vote Alignment with Policy

No graphical representation provided.

Vote Alignment with Management



Market Breakdown

Market	Votable Meetings	Voted Meetings	Percentage
China	75	75	100.00%

Meetings Voted by Market

No graphical representation provided.

Market Voting Statistics

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Henan Shuanghui Investment & Development Co., Ltd.	03-Dec-19	China	Amend Articles/Bylaws/Charter -- Non-Routine	Routine/Business	Approve Amendments to Articles of Association	1
Sany Heavy Industry Co., Ltd.	30-Dec-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Bank of Shanghai Co., Ltd.	12-Dec-19	China	Approve Dividend Distribution Policy	Routine/Business	Approve Capital Management Plan	1
Shennan Circuits Co., Ltd.	19-Nov-19	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
Wuhu Shunrong Sanqi Interactive Entertainment Co., Ltd.	24-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds for Securities Investment and Entrusted Asset Management	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of Board to Handle All Related Matters	1
Sany Heavy Industry Co., Ltd.	15-Nov-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Idle Funds to Invest in Financial Products	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Shenzhen Goodix Technology Co., Ltd.	19-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds for Cash Management	1
Shennan Circuits Co., Ltd.	19-Nov-19	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Change of Registered Address and Amendments to Articles of Association	1
Yealink Network Technology Corp. Ltd.	13-Nov-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Additional Investment in Financial Products	1
Jiangxi Zhengbang Technology Co., Ltd.	04-Dec-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Provision Plan	1
Zhejiang Dahua Technology Co. Ltd.	21-Nov-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Adjustment on Guarantee Provision for Subsidiary	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Close Relative of Fu Guangming and Fu Fenfang as Incentive Targets for Performance Shares	1
Shenzhen Kangtai Biological Products Co., Ltd.	20-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds to Invest in Financial Products	1
XCMG Construction Machinery Co., Ltd.	26-Dec-19	China	Amend Articles/Bylaws/Charter -- Non-Routine	Routine/Business	Approve Amendments to Articles of Association	1
Chinese Universe Publishing & Media Group Co., Ltd.	27-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Funds to Purchase Financial Products	1
Jiangxi Zhengbang Technology Co., Ltd.	08-Nov-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Provision to Subsidiaries	1

Analysis of Votes: AGAINST/ABSTAIN/WITHHOLD (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of Items Voted AGST/ABST/WITHH
Bank of Shanghai Co., Ltd.	12-Dec-19	China	Approve Dividend Distribution Policy	Routine/Business	Approve Shareholder Return Plan	1
Zhejiang Dahua Technology Co. Ltd.	21-Nov-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary on Performance Share Incentive Plan (Revised)	1

Analysis of Votes Against Policy

There are no votes against policy.

Analysis of Votes Against Management

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Henan Shuanghui Investment & Development Co., Ltd.	03-Dec-19	China	Amend Articles/Bylaws/Charter -- Non-Routine	Routine/Business	Approve Amendments to Articles of Association	1
Sany Heavy Industry Co., Ltd.	30-Dec-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Provision of Guarantee	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Methods to Assess the Performance of Plan Participants	1
Bank of Shanghai Co., Ltd.	12-Dec-19	China	Approve Dividend Distribution Policy	Routine/Business	Approve Capital Management Plan	1
Shennan Circuits Co., Ltd.	19-Nov-19	China	Amend Articles of Association Regarding Party Committee	Directors Related	Amend Rules and Procedures Regarding Meetings of Board of Directors	1
Wuhu Shunrong Sanqi Interactive Entertainment Co., Ltd.	24-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds for Securities Investment and Entrusted Asset Management	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Authorization of Board to Handle All Related Matters	1
Sany Heavy Industry Co., Ltd.	15-Nov-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Idle Funds to Invest in Financial Products	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary of Performance Shares Incentive Plan	1
Shenzhen Goodix Technology Co., Ltd.	19-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds for Cash Management	1
Shennan Circuits Co., Ltd.	19-Nov-19	China	Amend Articles of Association Regarding Party Committee	Directors Related	Approve Change of Registered Address and Amendments to Articles of Association	1
Yealink Network Technology Corp. Ltd.	13-Nov-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Additional Investment in Financial Products	1
Jiangxi Zhengbang Technology Co., Ltd.	04-Dec-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Provision Plan	1

Analysis of Votes Against Management (Continued)

Company Name	Meeting Date	Market	Proposal Code Description	Proposal Type Category	Proposal Text	# of items voted AGST Mgmt
Zhejiang Dahua Technology Co. Ltd.	21-Nov-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Adjustment on Guarantee Provision for Subsidiary	1
Fujian Sunner Development Co., Ltd.	27-Dec-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Close Relative of Fu Guangming and Fu Fenfang as Incentive Targets for Performance Shares	1
Shenzhen Kangtai Biological Products Co., Ltd.	20-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Own Funds to Invest in Financial Products	1
XCMG Construction Machinery Co., Ltd.	26-Dec-19	China	Amend Articles/Bylaws/Charter -- Non-Routine	Routine/Business	Approve Amendments to Articles of Association	1
Chinese Universe Publishing & Media Group Co., Ltd.	27-Dec-19	China	Investment in Financial Products	Reorg. and Mergers	Approve Use of Idle Funds to Purchase Financial Products	1
Jiangxi Zhengbang Technology Co., Ltd.	08-Nov-19	China	Approve/Amend Loan Guarantee to Subsidiary	Reorg. and Mergers	Approve Guarantee Provision to Subsidiaries	1
Bank of Shanghai Co., Ltd.	12-Dec-19	China	Approve Dividend Distribution Policy	Routine/Business	Approve Shareholder Return Plan	1
Zhejiang Dahua Technology Co. Ltd.	21-Nov-19	China	Approve Restricted Stock Plan	Non-Salary Comp.	Approve Draft and Summary on Performance Share Incentive Plan (Revised)	1

Unvoted Meetings**There are no unvoted meetings.**