

AQR Capital Management, LLC

Methods and Topics of AQR's Engagement with Company Management

Data from October 1, 2021 - December 31, 2021

Source: AQR

All data and categories shown are subject to change without notice.

Private and Confidential

Please see the important disclosures below.

Q4 2021 Engageme	nt Report	<u>Program</u>		<u>Medium</u>
ESG	32	■ESG ■Proxy		■ Email ■ Call
Proxy	0	32		27
Email	27			
Call	5			5
Proactive	32	-		
Reactive	0			
Companies	31	# of Companies		<u>Status</u>
Ongoing	29	31		Ongoing Complete
Ongoing Complete	3			
				29
Proxy Related	0			
Human Rights	0			
Anti-Corruption	0			3
Environment	28			
Labour Rights	1			
Other	3			
			Focus Area	
			28	

Human Rights

Proxy Related

Disclosures:

The information set forth herein has been developed internally and/or obtained or derived from sources believed by AQR Capita Management, LLC ("AQR") to be reliable. However, AQR does not make any representation or warranty, express or implied, as to the information's accuracy or completeness, nor does AQR recommend that the attached information serve as the basis of any investment decision. This document has been provided to you solely for information purposes. This document is intended exclusively for the use of the person to whom it has been delivered by AQR and it is not to be reproduced or redistributed to any other person. This document is subject to further review and revision. For one-on-one use only.

Anti-Corruption

Environment

Labour Rights

Sustainable investing is qualitative and subjective by nature, and there is no guarantee that the environmental, social and governance ("ESG") criteria utilized, judgment exercised, or techniques employed, by AQR will be successful, or that they will reflect the beliefs or values of any one particular investor. Certain information used to evaluate ESG factors or a company's commitment to, or implementation of, responsible practices is obtained through voluntary or third-party reporting, which may not be accurate or complete. ESG investing can limit the investment opportunities available to a portfolio, such as the exclusion of certain securities or issuers for nonfinancial reasons and, therefore, the portfolio may perform differently than or underperform other similar portfolios that do not apply ESG factors.

Request ID: 335998