

Proxy Voting Report

Jan 01, 2020 to Dec 31, 2020

Vote Against Management (VAM) Summary

Number of Meetings	Number of Proposals
95	1051
Number of Countries (Country of Origin)	Number of Countries (Country of Trade)
1	1
Number of Meetings With VAM	% of All Meetings Voted
42	44.2%
Number of Proposals With VAM	% of All Proposals Voted
120	11.6%
Number of Meetings With Votes For Mgmt	% of All Meetings Voted
94	98.9%
Number of Proposals With Votes For Mgmt	% of All Proposals Voted
914	88.4%
Number of Abstain Votes	% of All Proposals Voted
14	1.4%
Number of No Votes Cast	% of All Proposals Voted
0	NA

Votes Against Policy (VAP) Summary

Number of Meetings	Number of Proposals
95	1051
Number of Countries (Country of Origin)	Number of Countries (Country of Trade)
1	1
Number of Meetings With VAP	% of All Meetings Voted
2	2.1%
Number of Proposals With VAP	% of All Proposals Voted
25	2.4%
Number of Meetings With Votes For Policy	% of All Meetings Voted
95	100.0%
Number of Proposals With Votes For Policy	% of All Proposals Voted
1009	97.6%
Number of Abstain Votes	% of All Proposals Voted
14	1.4%
Number of No Votes Cast	% of All Proposals Voted

	0	NA
Number of Proposals with Votes with GL		% of All Proposals Voted
	1009	97.6%

Proposal Summary

Number of Meetings:	95
Number of Mgmt Proposals:	1034
Number of Shareholder Proposals:	0

Mgmt Proposals Voted FOR	% of All Mgmt Proposals
915	88.5%
Mgmt Proposals Voted Against/Withhold	% of All Mgmt Proposals
105	10.2%
Mgmt Proposals Voted Abstain	% of All Mgmt Proposals
14	1.4%
Mgmt Proposals With No Votes Cast	% of All Mgmt Proposals
0	NA
Mgmt Proposals Voted 1 Year	% of All Mgmt Proposals
0	NA
Mgmt Proposals Voted 2 Years	% of All Mgmt Proposals
0	NA
Mgmt Proposals Voted 3 Years	% of All Mgmt Proposals
0	NA

ShrHldr Proposal Voted FOR	% of All ShrHldr Proposals
0	NA
ShrHldr Proposals Voted Against/Withhold	% of All ShrHldr Proposals
0	NA
ShrHldr Proposals Voted Abstain	% of All ShrHldr Proposals
0	NA
ShrHldr Proposals With No Votes Cast	% of All ShrHldr Proposals
0	NA

Anhui Conch Cement Company Limited

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/25/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y01373110

Annual Meeting Agenda (05/29/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Directors' Report	For	For	For
2 Supervisors' Report	For	For	For
3 Accounts and Reports	For	For	For
4 Appointment of Auditor and Authority to Set Fees	For	For	For
5 Allocation of Profits/Dividends	For	For	For
6 Authority to Give Guarantees	For	For	For
7 Amendments to Procedural Rules for Shareholders' Meetings	For	Against	Against
8 Amendments to Articles	For	Against	Against
9 Authority to Issue Shares w/o Preemptive Rights	For	Against	Against

Avic Helicopter Co.Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/12/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y2981F108

Annual Meeting Agenda (06/18/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2 2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3 2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
4 2019 ANNUAL ACCOUNTS	For	For	For
5 2019 Profit Distribution Plan	For	For	For
6 2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
7 CONTINUING CONNECTED TRANSACTIONS	For	For	For
8 2019 INTERNAL CONTROL EVALUATION REPORT	For	For	For
9 2019 INTERNAL CONTROL AUDIT REPORT	For	For	For
10 REAPPOINTMENT OF AUDIT FIRM	For	For	For
11 Provision of Guarantee for a Wholly-owned Subsidiary's Application for Loans to a Company	For	For	For
12 AMENDMENTS TO THE ARTICLES OF ASSOCIATION	For	For	For
13 Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	For
14 Amendments to the Company's Rules of Procedure Governing the Supervisory Committee	For	For	For

15	Elect WANG Xuejun	For	For	For
16	Elect ZHANG Jichao	For	For	For
17	Elect XU Depeng	For	For	For
18	Elect GAN Liwei	For	For	For
19	Elect WANG Zhengxi	For	For	For
20	Elect RONG Jian	For	For	For
21	Elect WANG Meng	For	For	For
22	Elect TAO Guofei	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Avic Helicopter Co.Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/03/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2981F108

Special Meeting Agenda (09/09/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	ADJUSTMENT OF ALLOWANCE STANDARDS FOR INDEPENDENT DIRECTORS	For	For	For
2	ADJUSTMENT OF THE UPPER LIMIT FOR CONTINUING CONNECTED TRANSACTIONS	For	For	For
3	Amendments to the Rules of Procedure Governing Shareholders General Meetings	For	Abstain	Abstain
Vote Note: Insufficient information provided				
4	Amendments to the Company's Articles of Association	For	For	For

AVIC Jonhon Optron Technology Co Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/04/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y14228103

Special Meeting Agenda (09/10/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect WANG Xiufen	For	For	For
2	Elect ZHAI Guofu	For	For	For
3	Elect BAO Huifang	For	For	For
4	Authority to Give Guarantees to the Wholly-owned Subsidiary	For	For	For

China Construction Bank Corp.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/15/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1397N119

Annual Meeting Agenda (06/19/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Directors' Report	For	For	For
2	Supervisors' Report	For	For	For
3	Accounts and Reports	For	For	For
4	Allocation of Profits/Dividends	For	For	For
5	2020 Fixed Asset Investment Plan	For	For	For
6	Elect TIAN Guoli	For	For	For
7	Elect FENG Bing	For	For	For
8	Elect ZHANG Qi	For	For	For
9	Elect XU Jiandong	For	For	For
10	Elect Malcolm C. McCarthy	For	For	For
11	Elect YANG Fenglai as Supervisor	For	For	For
12	Elect LIU Huan as Supervisor	For	For	For
13	Elect BEN Shenglin as Supervisor	For	For	For
14	Appointment of Auditor and Authority to Set Fees	For	For	For
15	Limit on Charitable Donations	For	For	For
16	Capital Plan 2021-2023	For	For	For

China International Travel Service Corp

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 02/12/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y149A3100

Special Meeting Agenda (02/18/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 APPOINTMENT OF AUDIT FIRM	For	For	For
2	2019 APPOINTMENT OF INTERNAL CONTROL AUDIT FIRM	For	For	For
3	Elect LIU Defu	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
4	BY-ELECTION OF NON-INDEPENDENT DIRECTORS	For	For	For

China Merchants Bank

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/17/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y14896107

Annual Meeting Agenda (06/23/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Directors' Report	For	For	For
2 Supervisors' Report	For	For	For
3 Annual Report	For	For	For
4 Accounts and Reports	For	For	For
5 Allocation of Profits/Dividends	For	For	For
6 Appointment of Auditor and Authority to Set Fees	For	For	For
7 Report on 2019 Related Party Transactions	For	For	For
8 Mid-Term Capital Management Plan	For	For	For
9 Extension of the Validity Period of Financial Bonds	For	For	For
10 Authority to Issue Domestic and/or H Shares w/o Preemptive Rights	For	Against	Against

Vote Note:Excessive issuance; Issue price discount not disclosed

China Merchants Bank

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/03/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y14896107

Special Meeting Agenda (09/09/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Elect MIAO Jianmin	For	For	For

China Pacific Insurance (Group) Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/06/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1505Z111

Annual Meeting Agenda (05/12/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Non-Voting Meeting Note			
2 Directors' Report	For	For	For
3 Supervisors' Report	For	For	For
4 2019 Annual Report of A Shares	For	For	For
5 2019 Annual Report of H Shares	For	For	For
6 Accounts and Reports	For	For	For

7	Allocation of Profits/Dividends	For	For	For
8	Appointment of Auditor	For	For	For
9	Directors' Due Diligence Report	For	For	For
10	Report on Performance of Independent Directors	For	For	For
11	Authority to Issue Shares w/o Preemptive Rights	For	Against	Against
	Vote Note: Excessive issuance; Issue price discount not disclosed			
12	Amendments to Articles	For	Against	Against
	Vote Note: Shortened notice period could disenfranchise shareholders			
13	Amendments to Procedural Rules of Shareholder General Meetings	For	Against	Against
	Vote Note: Shortened notice period could disenfranchise shareholders			
14	Routine Related Party Transaction Agreement with Shanghai Rural Commercial Bank Co., Ltd.	For	For	For
15	Company Development Plan (2020-2022)	For	For	For
16	2020 External Donations	For	For	For
17	Elect KONG Qingwei	For	For	For
18	Elect FU Fan	For	For	For
19	Elect WANG Tayu	For	For	For
20	Elect WU Junhao	For	Against	Against
	Vote Note: Insufficient audit committee independence			
21	Elect ZHOU Donghui	For	For	For
22	Elect HUANG Dinan	For	For	For
23	Elect LU Qiaoling	For	For	For
24	Elect LIU Xiaodan	For	For	For
25	Elect CHEN Jizhong	For	For	For
26	Elect Elizabeth LAM Tyng Yih	For	For	For
27	Elect Jackson WOO Ka Biu	For	For	For
28	Elect JIANG Xuping	For	For	For
29	Elect LU Ning as Supervisor	For	Against	Against
	Vote Note: Supervisors are not sufficiently independent			
30	Elect LU Guofeng as Supervisor	For	Against	Against
	Vote Note: Supervisors are not sufficiently independent			
31	Elect ZHU Yonghong as Supervisor	For	For	For

China Pacific Insurance (Group) Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 08/17/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1505Z111

Special Meeting Agenda (08/21/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect CHEN Ran	For	For	For
2	Elect John Robert Dacey	For	Against	Against
	Vote Note: Board is not sufficiently independent			
3	Elect LIANG Hong	For	For	For
4	Amendments to Articles of Association	For	For	For
5	Establishment of a Subsidiary	For	For	For

China Petroleum & Chemical Corp

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/19/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y15010112

Special Meeting Agenda (03/25/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect ZHANG Yuzhuo	For	For	For

Daqin Railway Co., Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/14/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1997H108

Annual Meeting Agenda (05/20/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS AND 2020 BUDGET REPORT	For	For	For
4	2019 Profit Distribution Plan	For	For	For
5	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	REAPPOINTMENT OF FINANCIAL AUDIT FIRM	For	For	For
7	REAPPOINTMENT OF INTERNAL CONTROL AUDIT FIRM	For	For	For
8	The Company's Eligibility for Public Issuance of Convertible Bonds	For	For	For
9	Type of Securities to be Issued	For	For	Against
10	Issuing Scale	For	For	Against
11	Par Value and Issue Price	For	For	Against
12	Bond Duration	For	For	Against
13	Interest Rate	For	For	Against
14	Time Limit and Method for Repaying the Principal and Interest	For	For	Against
15	Conversion Period	For	For	Against
16	Determination and Adjustment of the Conversion Price	For	For	Against
17	Downward Adjustment of Conversion Price	For	For	Against
18	Determining Method for the Number of Converted Shares and Treatment Method in Case the Remaining Convertible Bonds Cannot Be Converted into One Common Share when Conversion Happens	For	For	Against

19	Redemption Clauses	For	For	Against
20	Resale Clauses	For	For	Against
21	Attribution of Related Dividends for Conversion Years	For	For	Against
22	Subscription Method and Issuing Targets	For	For	Against
23	Arrangement for Placement to Existing Shareholders	For	For	Against
24	Provisions Related to Bondholders and Bondholders Meetings	For	For	Against
25	Purpose of the Raised Funds	For	For	Against
26	Rating Agreement	For	For	Against
27	Guarantee Matters	For	For	Against
28	Deposit and Management of the Raised Funds	For	For	Against
29	The Valid Period of the Plan for the Issuance of Convertible Corporate Bonds	For	For	Against
30	PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	For	For	Against
31	The Funds Raised from Public Issuance of Corporate Convertible Bonds to Be Used in Acquisition of Use Right of State-owned Authorized Land and Connected Transaction Regarding the Conditional Agreement on Transfer of State-owned Authorized Land Use Right to Be Signed	For	For	Against
32	The Funds Raised from Public Issuance of Corporate Convertible Bonds to Be Used in Acquisition of 51 Percent Equity Stake in a Company and Connected Transactions Regarding Signing Conditional Equity Transfer Agreement	For	For	For
33	Feasibility Analysis Report on the Use of Funds to Be Raised from the Public Issuance of Convertible Corporate Bonds	For	For	Against
34	NO NEED TO PREPARE A REPORT ON USE OF PREVIOUSLY RAISED FUNDS	For	For	For
35	Diluted Immediate Return After the Public Issuance of Convertible Corporate Bonds and Filling Measures	For	For	For
36	FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
37	Rules Governing the Meetings of Bondholders of the Company's Convertible Bonds	For	For	For
38	Full Authorization to the Board to Handle Matters Regarding the Public Issuance of Convertible Corporate Bonds	For	For	For
39	Elect CHENG Xiandong	For	For	For
40	Elect Bi Shoufeng	For	For	For
41	Elect HAN Hongchen	For	For	For
42	Elect TIAN Huimin	For	For	For
43	Elect ZHANG Lirong	For	For	For
44	Elect YANG Wensheng	For	For	For
45	Elect LI Menggang	For	For	For
46	Elect YANG Wandong	For	For	For
47	Elect ZAN Zhihong	For	For	For
48	Elect CHEN Lei	For	For	For
49	Election of Supervisors	For	Abstain	Abstain
	Vote Note: Insufficient information provided			
50	Election of Supervisors	For	Abstain	Abstain
	Vote Note: Insufficient information provided			
51	Election of Supervisors	For	Abstain	Abstain
	Vote Note: Insufficient information provided			

Vote Note:Insufficient information provided**Fujian Star-net Communication Co. Ltd.****Voted****Ballot Status**

Confirmed

Decision Status Approved**Vote Deadline Date**
Country Of Trade
Ballot Sec ID05/14/2020
CN
CINS-Y2655W106**Share Blocking** No**Annual Meeting Agenda (05/20/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS	For	For	For
4	2019 Profit Distribution Plan	For	For	For
5	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	Purchase of Low-risk and Principal-guaranteed Wealth Management Products with Idle Proprietary Funds	For	For	For
7	2020 Appointment of Auditor	For	For	For
8	2020 PLAN FOR USE OF CREDIT AND PLEDGING OF BILLS	For	For	For
9	2019 Internal Control Evaluation Report	For	For	For
10	Amendments to Articles	For	For	For
11	Amendments to Procedural Rules for Shareholder Meetings	For	For	For
12	Non-Voting Meeting Note			

Fujian Star-net Communication Co. Ltd.**Voted****Ballot Status**

Confirmed

Decision Status Approved**Vote Deadline Date**
Country Of Trade
Ballot Sec ID09/22/2020
CN
CINS-Y2655W106**Share Blocking** No**Special Meeting Agenda (09/28/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	The Spin-off Listing of a Subsidiary on the ChiNext Board is in Compliance with Relevant Laws and Regulations	For	For	For
2	PLAN FOR THE SPIN-OFF LISTING OF A SUBSIDIARY ON THE CHINEXT BOARD	For	For	For
3	Preplan for the Spin-off Listing of a Subsidiary on the ChiNext Board (revised)	For	For	For

4	The Spin-off Listing of a Subsidiary on the ChiNext Board is in Compliance with Relevant Laws and Regulations of the Regulation on Domestic Listing of the Spin-off Listing of Subsidiaries of Listed Companies	For	For	For
5	The Spin-off Listing of a Subsidiary on the ChiNext Board is for the Protection of the Rights and Interest of Shareholders and Creditors	For	For	For
6	THE SUBSIDIARY IS CAPABLE OF CONDUCTING LAW-BASED REGULATION	For	For	For
7	Purpose, Commercial Reasonability, Necessity and Feasibility of the Spin-off Listing of a Subsidiary on the ChiNext Board	For	For	For
8	Maintaining Independent and Sustainable Profitability of the Company After the Spin-off Listing of a Subsidiary on the ChiNext Board	For	For	For
9	Statement on the Compliance and Completeness of the Legal Procedure of the Spin-off Listing of a Subsidiary on the ChiNext Board and the Validity of the Legal Documents Submitted	For	For	For
10	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Spin-off Listing of a Subsidiary on the ChiNext Board	For	For	For

Grandblue Environment Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/01/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6204L107

Annual Meeting Agenda (05/07/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS PLAN	For	For	For
4	2019 Profit Distribution Plan	For	For	For
5	Appointment of Auditor	For	For	For
6	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For

Grandblue Environment Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/19/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6204L107

Special Meeting Agenda (06/29/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect CHEN Guocan	For	For	For
2	Elect JIN Duo	For	For	For
3	Elect LI Zhibin	For	For	For
4	Elect SUN Mengjiao	For	For	For
5	Elect LI Liping	For	For	For
6	Elect YAN Ming	For	For	For
7	Elect YANG Ge	For	For	For
8	Elect ZHANG Jun	For	For	For
9	Elect LI Kantong	For	For	For
10	Elect ZHOU Weiming	For	For	For
11	Elect LUO Hong	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Gree Electrical Appliances Inc. Of Zhuhai

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/11/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2882R102

Special Meeting Agenda (03/17/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	APPLICATION FOR REGISTRATION AND ISSUANCE OF DEBT FINANCING INSTRUMENTS	For	For	For

Gree Electrical Appliances Inc. Of Zhuhai

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/26/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2882R102

Annual Meeting Agenda (06/01/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL FINANCIAL REPORT	For	For	For
4	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For

5	2019 Profit Distribution Plan: the Detailed Profit Distribution Plan Are As Follows: 1) Cash Dividend/10 Shares (tax Included):cny12.00000000 2) Bonus Issue from Profit (share/10 Shares):none 3) Bonus Issue from Capital Reserve (share/10 Shares):none	For	For	For
6	2020 APPOINTMENT OF AUDIT FIRM Vote Note: Failure to disclose breakdown of fees	For	Against	Against
7	2020 SPECIAL REPORT ON FOREIGN EXCHANGE DERIVATIVES TRADING	For	For	For
8	USE OF PROPRIETARY IDLE FUNDS FOR INVESTMENT AND FINANCIAL MANAGEMENT	For	For	For
9	ESTIMATION OF CONTINUING CONNECTED TRANSACTIONS	For	For	For
10	Amendments to the Company's Articles of Association	For	For	For

Gree Electrical Appliances Inc. Of Zhuhai

Voted Confirmed **Decision Status** Approved

Vote Deadline Date 10/27/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2882R102

Special Meeting Agenda (11/02/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	2020 Interim Profit Distribution Preplan	For	For	For
3	Elect CHENG Min	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Haier Smart Home Co Ltd

Voted Confirmed **Decision Status** Approved

Vote Deadline Date 03/25/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7166P102

Special Meeting Agenda (03/31/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments To The Company's Articles Of Association Vote Note: Shortened notice period could disenfranchise shareholders	For	Against	Against
2	Amendments To The Rules of Procedure Governing Shareholders General Meetings Vote Note: Shortened notice period could disenfranchise shareholders	For	Against	Against

Haier Smart Home Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/28/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y7166P102

Annual Meeting Agenda (06/03/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 ANNUAL ACCOUNTS	For	For	For
2	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
3	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
4	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
5	2019 INTERNAL CONTROL AUDIT REPORT	For	For	For
6	2019 Profit Distribution Plan	For	For	For
7	Appointment of Auditor	For	For	For
8	2020 Estimated Related Party Transactions	For	For	For
9	2020 Estimated Guarantees for Subsidiaries	For	Against	Against
	Vote Note: Guarantees exceed net assets			
10	Launching Foreign Exchange Business	For	For	For
11	Settlement of Projects Financed with Raised Funds and Permanently Supplementing the Working Capital with the Surplus Raised Funds	For	For	For
12	Purchase of Liability Insurance for Directors, Supervisors and Senior Management	For	For	For
13	Change of the Commitment on Some Assets Injection by a Company	For	For	For
14	ENTRUSTED MANAGEMENT OF A COMPANY	For	For	For
15	Amendments to Articles	For	For	For
16	Authority to Issue Debt Financing Instruments	For	For	For
17	Elect WANG Keqin as Independent Director	For	For	For

Haier Smart Home Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/26/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y7166P102

Other Meeting Agenda (09/01/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Overall Plan of the Transaction	For	For	For
2	Approval of Program Supervision	For	For	For
3	Transaction Counterparties	For	For	For
4	Underlying Assets	For	For	For

5	Valuation of the Underlying Assets and the Transaction Price	For	For	For
6	Stock Type and Par Value	For	For	For
7	Listing Place	For	For	For
8	Issuing Date	For	For	For
9	Issuing Targets	For	For	For
10	Pricing Base Date, Issue Price, and Pricing Method of H-Shares	For	For	For
11	Issuing Volume of H-Shares	For	For	For
12	Swap Ratio	For	For	For
13	Cash Payment of a Company	For	For	For
14	Disposal Method for Fractional Shares	For	For	For
15	Arrangement for the Accumulated Retained Profits	For	For	For
16	Lockup Period	For	For	For
17	Valid Period of the Resolution	For	For	For
18	Approve Adjustment Plan on Exchangeable Bonds Issued by Company Through its Overseas Wholly-owned Subsidiaries	For	For	For
19	Non-Voting Meeting Note			

Haier Smart Home Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 08/26/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y7166P102

Special Meeting Agenda (09/01/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Eligibility of Major Asset Restructuring	For	For	For
2	Overall Plan of the Transaction	For	For	For
3	Approval of Program Supervision	For	For	For
4	Transaction Counterparties	For	For	For
5	Underlying Assets	For	For	For
6	Valuation of the Underlying Assets and the Transaction Price	For	For	For
7	Stock Type and Par Value	For	For	For
8	Listing Place	For	For	For
9	Issuing Date	For	For	For
10	Issuing Targets	For	For	For
11	Pricing Base Date, Issue Price, and Pricing Method of H-Shares	For	For	For
12	Issuing Volume of H-Shares	For	For	For
13	Swap Ratio	For	For	For
14	Cash Payment of a Company	For	For	For
15	Disposal Method for Fractional Shares	For	For	For
16	Arrangement for the Accumulated Retained Profits	For	For	For
17	Lockup Period	For	For	For
18	Valid Period of the Resolution	For	For	For
19	Proposal on the Constitution of A Related Party Transaction	For	For	For
20	Proposal on the Constitution of A Major Asset Restructuring	For	For	For
21	Proposal on the Constitution of A Restructuring Listing	For	For	For

22	Report Draft and Its Summary Regarding the Related Party Transaction of Major Assets Purchase of the Company	For	For	For
23	Proposal on Compliance in relation to Relevant Laws and Regulations	For	For	For
24	Audit Reports and Proforma Reports on the Proposed Transaction	For	For	For
25	Audit Reports and Proforma Reports on the Underlying Assets of the Transaction	For	For	For
26	Assessment Report on the Underlying Assets of the Transaction	For	For	For
27	Proposal on the Independence of Assessment Institution	For	For	For
28	Proposal on Compliance in relation to Relevant Laws and Regulations	For	For	For
29	Proposed Dilution on Current Earnings Per Share of the Listed Company	For	For	For
30	Report on the Use of Previously Raised Proceeds	For	For	For
31	Shareholder Return Plan (2021-2023)	For	For	For
32	Amendments to Articles Pursuant to the Listing of H Shares	For	For	For
33	Amendments to Procedural Rules of Shareholder General Meetings Pursuant to the Listing of H Shares	For	For	For
34	Amendments to Procedural Rules of Board of Directors Pursuant to the Listing of H Shares	For	For	For
35	Amendments to Procedural Rules of Board of Supervisors Pursuant to the Listing of H Shares	For	For	For
36	Amendments to Applicable Proceeds Management Policy Pursuant to the Listing of H Shares	For	For	For
37	Amendments to Applicable Decision Making Policy on Related Party Transaction Pursuant to the Listing of H Shares	For	For	For
38	Amendments to Independent Directors Policy on Related Party Transaction Pursuant to the Listing of H Shares	For	For	For
39	Amendments to Investment Management Rules Pursuant to the Listing of H Shares	For	For	For
40	Amendments to External Guarantee Management System Pursuant to the Listing of H Shares	For	For	For
41	Amendments to Confidentiality and File Management System Pursuant to the Listing of H Shares	For	For	For
42	Related Party Transactions (2020-2022)	For	Against	Against
	Vote Note: Deposit services with a member of the group			
43	Board Authorization to Handle Matters Regarding Proposed Transaction	For	For	For
44	Approve Adjustment Plan on Exchangeable Bonds Issued by Company Through its Overseas Wholly-owned Subsidiaries	For	For	For
45	Transfer of 54.50% Equity Interest in Haier Kaosi Ecological Technological Co., Ltd.	For	For	For
46	Non-Voting Meeting Note			

Hongfa Technology Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/22/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y9716T105

Annual Meeting Agenda (05/28/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS AND 2020 FINANCIAL BUDGET REPORT	For	For	For
4	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
6	2019 Profit Distribution Plan	For	For	For
7	Reappointment of Financial and Internal Control Audit Firm and Payment of Audit Fees	For	For	For
8	ESTIMATED AMOUNT OF 2020 CONTINUING CONNECTED TRANSACTIONS	For	For	For
9	2020 Application for Comprehensive Credit Line to Banks by a Controlled Subsidiary	For	For	For
10	2020 Provision of Guarantee by a Controlled Subsidiary for the Bank Comprehensive Credit Line Applied for by Its Controlled Subsidiaries	For	For	For
11	2020 Provision of Loans by a Controlled Subsidiary to Its Controlled and Wholly-owned Subsidiaries and Some Distributors	For	Abstain	Abstain
Vote Note: Insufficient disclosure of transaction terms				
12	Amendments to the Company's Articles of Association	For	For	For
13	Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings	For	For	For
14	AMENDMENTS TO THE RULES OF PROCEDURE GOVERNING THE BOARD MEETINGS	For	For	For

HUAYU Automotive Systems Company Limited

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/08/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y3750U102

Annual Meeting Agenda (06/12/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For

3	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
4	2019 ANNUAL ACCOUNTS	For	For	For
5	2019 Profit Distribution Plan	For	For	For
6	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
7	2020 Estimated Continuing Connected Transactions	For	For	For
8	Subsidiaries Application for Comprehensive Credit Line to Banks and Provision of Guarantee	For	For	For
9	PROVISION OF GUARANTEE FOR A COMPANY	For	For	For
10	2020 Reappointment of Financial Audit Firm	For	For	For
11	2020 Reappointment of Internal Control Audit Firm	For	For	For

Hubei Feilihua Quartz Glass Co.Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/03/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y374C6105

Special Meeting Agenda (09/09/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Repurchase and Cancellation of Some Restricted Stocks	For	For	For

Inner Mongolia Yili Industrial Group Co. Ltd.
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/14/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y408DG116

Annual Meeting Agenda (05/20/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
2	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
3	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
4	2020 BUSINESS POLICIES AND INVESTMENT PLAN	For	For	For
5	2019 ANNUAL ACCOUNTS AND 2020 FINANCIAL BUDGET PLAN	For	For	For
6	2019 Profit Distribution Plan	For	For	For
7	Elect PAN Gang	For	For	For
8	Elect ZHAO Chengxia	For	For	For
9	Elect YAN Junrong	For	For	For
10	Elect WANG Xiaogang	For	For	For

11	Elect YANG Huicheng	For	For	For
12	Elect ZHANG Juncheng	For	For	For
13	Elect LV Gang	For	For	For
14	Elect PENG Heping	For	For	For
15	Elect JI Shao	For	For	For
16	Elect CAI Yuanming	For	For	For
17	Elect SHI Fang	For	For	For
18	Elect GAO Debu	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
19	Elect ZHANG Xinling	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
20	ALLOWANCE FOR DIRECTORS	For	For	For
21	ALLOWANCE FOR SUPERVISORS	For	For	For
22	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
23	Authorization to a Wholly-owned Subsidiary to Provide Guarantee for the Industrial Upstream and Downstream Partners in 2020	For	For	For
24	Registration and Issuance of Super and Short-term Commercial Papers and Medium-term Notes	For	For	For
25	A Wholly-owned Subsidiary's Provision of Guarantee for a Company	For	For	For
26	Cancellation of Some Stock Options, and Repurchase and Cancellation of Some Restricted Stocks	For	For	For
27	REPURCHASE AND CANCELLATION OF SOME RESTRICTED STOCKS IN 2019	For	For	For
28	Amendments to the Company's Articles of Association	For	For	For
29	REAPPOINTMENT OF AUDIT FIRM	For	For	For

Inner Mongolia Yili Industrial Group Co. Ltd.

Voted Confirmed **Decision Status** Approved

Vote Deadline Date 09/21/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y408DG116

Special Meeting Agenda (09/25/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	LONG-TERM SERVICE PLAN (DRAFT) AND ITS SUMMARY	For	Against	Against
Vote Note: Purchase price not disclosed; Potential conflict of interests				
2	MANAGEMENT RULES FOR THE LONG-TERM SERVICE PLAN (DRAFT)	For	Against	Against
Vote Note: Not in shareholders' best interests				
3	REPURCHASE AND CANCELLATION OF SOME 2019 RESTRICTED STOCKS	For	For	For
4	Amendments to the Articles of Association of the Company in Respect to the Registered Capital and Total Share Capital	For	For	For

Jiangsu Hengrui Medicine Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 01/10/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y4446S105

Special Meeting Agenda (01/16/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Elect SUN Piaoyang	For	For	For
2 Elect ZHOU Yunshu	For	For	For
3 Elect ZHANG Lianshan	For	For	For
4 Elect SUN Jieping	For	For	For
5 Elect DAI Hongbin	For	For	For
6 Elect GUO Congzhao	For	For	For
7 Elect LI Yuanchao	For	For	For
8 Elect WANG Qian	For	For	For
9 Elect XUE Shuang	For	For	For
10 Elect DONG Wei	For	For	For
11 Elect XIONG Guoqiang	For	Against	Against
Vote Note: Supervisors are not sufficiently independent			
12 REPURCHASE AND CANCELLATION OF SOME GRANTED STOCKS UNDER THE EQUITY INCENTIVE PLAN	For	For	For

Jiangsu Hengrui Medicine Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 04/10/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y4446S105

Annual Meeting Agenda (04/16/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2 2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3 2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4 2019 ANNUAL ACCOUNTS	For	For	For
5 2019 Profit Distribution Plan	For	For	For
6 Appointment of Auditor and Authority to Set Fees	For	For	For
7 Amendments to Articles	For	For	For
8 Adjustment of the Remuneration for independent Directors	For	For	For

Jiangsu Hengrui Medicine Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 08/12/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y4446S105**Special Meeting Agenda (08/18/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	2020 RESTRICTED STOCK INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	For	For	For
2	Constitution of Appraisal Management Measures for the 2020 Restricted Stock Incentive Plan	For	For	For
3	Authorization to the Board to Handle Matters Regarding the Equity Incentive	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 07/23/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7742H103**Special Meeting Agenda (07/29/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility for Non-public Offering	For	For	For
2	Stock Type and Par Value	For	For	For
3	Issuing Method and Issuing Time	For	For	For
4	Issuing Targets and Subscription Method	For	For	For
5	Issue Price and Pricing Principles	For	For	For
6	Issuing Volume	For	For	For
7	Amount and Use of the Raised Funds	For	For	For
8	Lockup Period	For	For	For
9	Listing Place	For	For	For
10	Arrangement for the Roll over Profit Before Completion of the Issuance	For	For	For
11	The Valid Period of the issuance	For	For	For
12	Approval of Preliminary Plan of Non-public Offering	For	For	For
13	Demonstration Analysis Report on the Plan for Non-public Offering	For	For	For
14	INTRODUCTION OF STRATEGIC INVESTORS	For	For	For
15	Strategic Cooperation and Conditional Share Subscription Agreement to Be Signed with Subscription Targets	For	For	For
16	Connected Transactions Regarding the Plan for Non-public Offering	For	For	For
17	Report on Deposit and Use of Previously Raised Funds	For	For	For

18	Diluted Immediate Return After the Non-public Share Offering, Filing Measures and Commitments of Relevant Parties	For	For	For
19	Shareholder Return Plan for the Next Three Years from 2020 to 2022	For	For	For
20	Authorization to the Board to Handle Matters Regarding the Non-public Offering	For	For	For
21	Establishment of Social Responsibility Committee	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 11/26/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7742H103

Special Meeting Agenda (12/02/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Change of the Company's Registered Capital	For	For	For
2	Amendments to Articles of Association	For	For	For
3	Amendments to the Company's Medium and Long Term Business Partner Stock Ownership Plan (draft) and Its Summary	For	Against	Against
	Vote Note: Purchase Price Not Disclosed; Potential conflict of interests			
4	Authorization to the Board to Handle Matters Regarding the Medium and Long Term Business Partner Stock Ownership Plan	For	Against	Against
	Vote Note: Not in shareholders" best interests			
5	A Controlled Subsidiary's Provision of Financing Guarantee for Its Controlled Subsidiary and Provision of Counter Guarantee for It by the Company	For	For	For

Joincare Pharmaceutical Group Industry Co. Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 12/15/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7742H103

Special Meeting Agenda (12/21/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	RESTRUCTURING OF EQUITIES IN A COMPANY AND OTHER COMPANIES	For	For	For

Kweichow Moutai Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/16/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y5070V116

Special Meeting Agenda (03/20/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect GAO Weidong	For	For	For
2	Elect YOU Yalin	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Kweichow Moutai Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/04/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y5070V116

Annual Meeting Agenda (06/10/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2019 ANNUAL ACCOUNTS	For	For	For
5	2020 FINANCIAL BUDGET REPORT	For	For	For
6	2019 Profit Distribution Plan: the Detailed Profit Distribution Plan Are As Follows: 1) Cash Dividend/10 Shares (tax Included):cny170.25000000 2) Bonus Issue from Profit (share/10 Shares):none 3) Bonus Issue from Capital Reserve (share/10 Shares):none	For	For	For
7	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
8	2020 APPOINTMENT OF FINANCIAL AUDIT FIRM AND INTERNAL CONTROL AUDIT FIRM	For	For	For
9	Elect GAO Weidong	For	For	For
10	Elect LI Jingren	For	For	For
11	Elect WANG Yan	For	For	For
12	Elect LI Botan	For	For	For
13	Elect LU Jinhai	For	For	For
14	Elect XU Dingbo	For	For	For
15	Elect ZHANG Jingzhong	For	For	For
16	Elect YOU Yalin	For	For	For
17	Elect CHE Xingyu	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Luxshare Precision Industry Co. Ltd.**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 05/07/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7744X106**Annual Meeting Agenda (05/13/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 Work Report of the Supervisory Committee	For	For	For
3	2019 ANNUAL ACCOUNTS	For	For	For
4	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5	2019 Profit Distribution Plan	For	For	For
6	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
7	REAPPOINTMENT OF AUDIT FIRM	For	For	For
8	PROVISION OF GUARANTEE FOR A WHOLLY-OWNED SUBSIDIARY	For	For	For
9	APPLICATION FOR COMPREHENSIVE CREDIT LINE TO BANKS	For	For	For
10	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH SOME IDLE PROPRIETARY FUNDS	For	For	For
11	APPLICATION FOR ISSUANCE OF SUPER AND SHORT-TERM COMMERCIAL PAPERS	For	For	For
12	APPLICATION FOR ISSUANCE OF MEDIUM-TERM NOTES	For	For	For
13	FORMULATION OF THE SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
14	Amendments to the Company's Articles of Association	For	For	For

Midea Group Co. Ltd**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 03/09/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6S40V103**Special Meeting Agenda (03/13/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	APPLICATION FOR REGISTRATION AND ISSUANCE OF DEBT FINANCING INSTRUMENTS	For	For	For

		Voted	Ballot Status	Confirmed	Decision Status
				Approved	
		Vote Deadline Date	05/18/2020	Share Blocking	No
		Country Of Trade	CN		
		Ballot Sec ID	CINS-Y6S40V103		
Annual Meeting Agenda (05/22/2020)		Mgmt Rec	Green Policy	Vote Cast	
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For	
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For	
3	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For	
4	2019 ANNUAL ACCOUNTS	For	For	For	
5	2019 Profit Distribution Plan	For	For	For	
6	THE 7TH PHASE STOCK OPTION INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	For	For	For	
7	Formulation of the Measures on Implementation and Appraisal of the 7th Phase Stock Option Incentive Plan	For	For	For	
8	Authorization to the Board to Handle Matters Regarding the Stock Option Incentive Plan	For	For	For	
9	2020 RESTRICTED STOCK INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	For	For	For	
10	Appraisal Measures for the Implementation of the 2020 Restricted Stock Incentive Plan	For	For	For	
11	Authorization to the Board to Handle Matters Regarding 2020 Restricted Stock Incentive Plan	For	For	For	
12	Key Management Team Stock Ownership Plan and the Midea Partners Plan 6th Phase Stock Ownership Plan and Its Summary (draft)	For	Against	Against	
Vote Note: Issue price discount not disclosed					
13	Authorization to the Board to Handle Matters Regarding the 6th Phase Midea Partner Stock Ownership Plan	For	Against	Against	
Vote Note: Not in best interests of shareholders					
14	Key Management Team Stock Ownership Plan and the Midea Partners Plan 3rd Phase Stock Ownership Plan and Its Summary (draft)	For	Against	Against	
Vote Note: Issue price discount not disclosed					
15	Authorization to the Board to Handle Matters Regarding the 3rd Phase Midea Partner Stock Ownership Plan	For	Against	Against	
Vote Note: Not in best interests of shareholders					
16	2020 PROVISION OF GUARANTEE FOR CONTROLLED SUBSIDIARIES	For	Against	Against	
Vote Note: Guarantees exceed net assets					
17	2020 RELEVANT CONNECTED TRANSACTIONS WITH A BANK	For	For	For	
18	REAPPOINTMENT OF AUDIT FIRM	For	Against	Against	
Vote Note: Failure to disclose breakdown of fees					

19	Amendments to the Company's Articles of Association (revised in April 2020)	For	For	For
20	2020 RAISED FUNDS MANAGEMENT MEASURES	For	For	For
21	Purchase of Liability Insurance for Directors, Supervisors and Senior Management from 2020 to 2022	For	For	For

Midea Group Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/16/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6S40V103

Special Meeting Agenda (06/22/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	Authority to Issue Multiple Types of Debt Instruments	For	Abstain	Abstain
Vote Note: Insufficient information provided				
3	Authority to Repurchase Shares Under 2017 Restricted Stock Incentive Plan	For	For	For
4	Authority to Repurchase Shares Under 2018 Restricted Stock Incentive Plan	For	For	For
5	Authority to Repurchase Shares Under 2019 Restricted Stock Incentive Plan	For	For	For

Midea Group Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 10/12/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6S40V103

Special Meeting Agenda (10/16/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Eligibility for Spin-off and Listing of A Subsidiary	For	For	For
2	Spin-off and Listing of A Subsidiary	For	For	For
3	Preplan for Spin-off and Listing of A Subsidiary	For	For	For
4	Regulation Compliance for Spin-off and Listing of A Subsidiary	For	For	For
5	The Spin-off and Listing of A Subsidiary is in the Interest of Shareholders and Creditors	For	For	For
6	Statement on Maintaining Independence and Sustainable Profitability of the Company	For	For	For
7	Operation Capability of the Subsidiary	For	For	For
8	Regulation Compliance for Spin-off and Listing of A Subsidiary	For	For	For

9	Feasibility of Spin-off and Listing of A Subsidiary	For	For	For
10	Employee Stock Ownership Plan of the Subsidiary	For	For	For
11	Authorization of Legal Formalities	For	For	For
12	Elect DONG Wentao	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

New Hope Liuhe Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 11/12/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y7933V101

Special Meeting Agenda (11/18/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility for Public Issuance of Convertible Bonds	For	For	For
2	Plan for Public Issuance of Convertible Corporate Bonds: Type of Securities to be Issued	For	For	For
3	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: ISSUING SCALE	For	For	For
4	Plan for Public Issuance of Convertible Corporate Bonds: Par Value and Issue Price	For	For	For
5	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: BOND DURATION	For	For	For
6	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: INTEREST RATE	For	For	For
7	Plan for Public Issuance of Convertible Corporate Bonds: Time and Method for Paying the Interest	For	For	For
8	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: GUARANTEE	For	For	For
9	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: CONVERSION PERIOD	For	For	For
10	Plan for Public Issuance of Convertible Corporate Bonds: Determination and Adjustment of the Conversion Price	For	For	For
11	Plan for Public Issuance of Convertible Corporate Bonds: Downward Adjustment of Conversion Price	For	For	For
12	Plan for Public Issuance of Convertible Corporate Bonds: Determining Method for the Number of Converted Shares	For	For	For
13	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: REDEMPTION CLAUSES	For	For	For
14	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: RESALE CLAUSES	For	For	For

15	Plan for Public Issuance of Convertible Corporate Bonds: Attribution of Related Dividends for Conversion Years	For	For	For
16	Plan for Public Issuance of Convertible Corporate Bonds: Issuing Targets and Method	For	For	For
17	Plan for Public Issuance of Convertible Corporate Bonds: Arrangement for Placement to Existing Shareholders	For	For	For
18	Plan for Public Issuance of Convertible Corporate Bonds: Bondholders and Bondholders' Meetings	For	For	For
19	Plan for Public Issuance of Convertible Corporate Bonds: Purpose of the Raised Funds	For	For	For
20	PLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS: RAISED FUNDS DEPOSIT ACCOUNT	For	For	For
21	Plan for Public Issuance of Convertible Corporate Bonds: The Valid Period of the Plan for Convertible Bond Issuance	For	For	For
22	PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	For	For	For
23	FEASIBILITY ANALYSIS REPORT ON PROJECTS TO BE FINANCED WITH RAISED FUNDS FROM THE PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	For	For	For
24	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	For	For	For
25	Diluted Immediate Return after the Public Issuance of Convertible Corporate Bonds, Filling Measures and Commitments of Relevant Parties	For	For	For
26	Rules Governing the Meetings of Bondholders of the Company's Convertible Bonds	For	For	For
27	Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Public Issuance of Convertible Corporate Bonds	For	For	For
28	Adjustment of the 2020 Estimated Continuing Connected Transactions and Addition of Connected Transactions	For	For	For

Ping AN Insurance (Group) Co. of China, Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 04/03/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y69790114

Annual Meeting Agenda (04/09/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Directors' Report	For	For	For
2	Supervisors' Report	For	For	For
3	Annual Report 2019	For	For	For
4	Accounts and Reports	For	For	For
5	Allocation of Profits/Dividends	For	For	For
6	Appointment of Auditor and Authority to Set Fees	For	For	For

7	Report of Independent Non-Executive Directors' Performance	For	For	For
8	Authority to Issue Debt Instruments	For	For	For
9	Authority to Issue Shares w/o Preemptive Rights	For	For	For
10	Amendments to Articles	For	For	For
11	Elect LU Min	For	For	For
12	Non-Voting Meeting Note			

Poly Developments and Holdings Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/01/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7000Q100

Annual Meeting Agenda (05/07/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2020 INVESTMENT PLAN	For	For	For
4	2019 Annual Accounts	For	For	For
5	2019 Profit Distribution Plan	For	For	For
6	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
7	Appointment of Auditor	For	For	For
8	Authority to Give Guarantees	For	Against	Against
	Vote Note: Guarantees exceed net assets			
9	Application for a Loans	For	Abstain	Abstain
	Vote Note: Insufficient disclosure of transaction terms			
10	Related Party Transactions	For	For	For
11	Authority to Issue Mid-term Notes	For	For	For
12	Purchase of Liability Insurance for Directors, Supervisors and Senior Management	For	Abstain	Abstain
	Vote Note: Insufficient information provided			

Poly Developments and Holdings Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 11/11/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7000Q100

Special Meeting Agenda (11/17/2020)

Mgmt Rec **Green Policy** **Vote Cast**

1	AMENDMENTS TO THE RAISED FUNDS MANAGEMENT MEASURES	For	Abstain	Abstain
Vote Note: Insufficient information provided				
2	CHANGE OF AUDIT FIRM	For	For	For
3	PROVISION OF CREDIT ENHANCING MEASURES FOR THE ISSUANCE OF A PROJECT	For	For	For
4	CHANGE OF THE REMAINING QUOTA OF PERPETUAL MEDIUM-TERM NOTES INTO MEDIUM-TERM NOTES	For	For	For

Quectel Wireless Solutions Co Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 05/14/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y6299R100

Annual Meeting Agenda (05/20/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS AND 2020 FINANCIAL BUDGET REPORT	For	For	For
4	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5	2019 Profit Distribution Plan	For	For	For
6	Amendments to the Company's Articles of Association	For	For	For
7	PAYMENT OF 2019 AUDIT FEES	For	For	For
8	2020 REMUNERATION FOR DIRECTORS	For	For	For
9	2020 REMUNERATION FOR SUPERVISORS	For	For	For
10	2020 ESTIMATED EXTERNAL GUARANTEE FOR SUBSIDIARIES	For	For	For
11	2020 ESTIMATED COMPREHENSIVE CREDIT LINE	For	For	For
12	2020 LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	For	For	For
13	REAPPOINTMENT OF AUDIT FIRM	For	For	For
14	Elect XIN Jian	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

Quectel Wireless Solutions Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 10/20/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y6299R100

Special Meeting Agenda (10/27/2020)

	Mgmt Rec	Green Policy	Vote Cast
1			
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Sany Heavy Industry Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/08/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y75268105

Annual Meeting Agenda (06/12/2020)

	Mgmt Rec	Green Policy	Vote Cast
1			
2			
3			
4			
5			
6			

7	2019 REMUNERATION APPRAISAL FOR DIRECTORS AND SUPERVISORS	For	For	For
8	PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	For	For
9	APPLICATION FOR BANK CREDIT LINE	For	For	For
10	2020 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	For
11	2020 REAPPOINTMENT OF AUDIT FIRM	For	For	For
12	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
13	LAUNCHING FINANCIAL DERIVATIVES BUSINESS	For	For	For
14	LAUNCHING FUTURES HEDGING BUSINESS BY SUBSIDIARIES	For	For	For
15	LAUNCHING BILL POOL BUSINESS	For	For	For
16	REGISTRATION AND ISSUANCE OF MEDIUM-TERM NOTES	For	For	For
17	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
18	PURCHASE OF WEALTH MANAGEMENT PRODUCTS WITH IDLE PROPRIETARY FUNDS	For	For	For
19	Cancellation of Some Stock Options, and Repurchase and Cancellation of Some Restricted Stocks	For	For	For
20	Registration and Issuance of Account Receivable Creditors Rights Asset-backed	For	For	For

Sany Heavy Industry Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 08/31/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y75268105

Special Meeting Agenda (09/04/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	Connected Transaction Regarding Set Up and Application for Issuance of Accounts Receivable Asset-Backed Securities	For	For	For
3	CONNECTED TRANSACTION REGARDING SET UP A COLLECTIVE CAPITAL TRUST PLAN	For	For	For

Sany Heavy Industry Co

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 12/08/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y75268105

Special Meeting Agenda (12/14/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Approve Increase in 2020 Mortgage and Financial Leasing Quota and 2021 Forecast Mortgage and Financing Leasing Business	For	For	For
2	Approve Carry Out Deposit, Loan and Financial Management in Related Banks	For	For	For
3	Approve Related Party Transaction	For	For	For
4	Approve Provision of Guarantee	For	Against	Against
Vote Note: Guarantees exceed net assets				
5	Approve Employee Share Purchase Plan	For	Against	Against
Vote Note: Purchase Price with Substantial Discount Rate				
6	Approve Management Method of Employee Share Purchase Plan	For	Against	Against
Vote Note: Not in shareholders" best interests				
7	Approve Authorization of the Board to Handle All Matters Related to Employee Share Purchase Plan	For	Against	Against
Vote Note: Not in shareholders" best interests				

SDIC Power Holdings Co. Ltd

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/12/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y3746G100

Annual Meeting Agenda (06/18/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS	For	For	For
4	2020 BUSINESS PLAN	For	For	For
5	2019 Profit Distribution Plan: the Detailed Profit Distribution Plan Are As Follows: 1) Cash Dividend/10 Shares (tax Included):cny2.45300000 2) Bonus Issue from Profit (share/10 Shares):none 3) Bonus Issue from Capital Reserve (share/10 Shares):none	For	For	For
6	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
7	2020 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	Against	Against
Vote Note: Deposit services with a member of the group				

SDIC Power Holdings Co. Ltd**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 07/22/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100**Special Meeting Agenda (07/28/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	Authority to Give Guarantees	For	For	For
2	Appointment of Auditor	For	For	For

SDIC Power Holdings Co. Ltd**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 08/05/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100**Special Meeting Agenda (08/11/2020)**

		Mgmt Rec	Green Policy	Vote Cast
1	Amendments to Procedural Rules for Board Meetings	For	For	For
2	Amendments to Procedural Rules for Supervisor Meetings	For	For	For
3	Extension of the Valid Period of the GDR Issuance and Listing on the London Stock Exchange	For	For	For
4	Extension of the Valid Period of Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the GDR Issuance and Listing on the London Stock Exchange	For	For	For
5	Amendments to Articles	For	Against	Against
	Vote Note: Shortened notice period could disenfranchise shareholders			
6	Amendments to Procedural Rules for Shareholder Meetings	For	Against	Against
	Vote Note: Shortened notice period could disenfranchise shareholders			
7	Amendments to Procedural Rules for Board Meetings	For	For	For
8	Amendments to Procedural Rules for Supervisor Meetings	For	For	For

SDIC Power Holdings Co. Ltd**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 09/21/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y3746G100**Special Meeting Agenda (09/25/2020)**

	Mgmt Rec	Green Policy	Vote Cast
1 2019 WORK REPORT OF THE REMUNERATION AND APPRAISAL COMMITTEE OF THE BOARD	For	For	For

Shengyi Technology Co Ltd**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 03/04/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102**Special Meeting Agenda (03/10/2020)**

	Mgmt Rec	Green Policy	Vote Cast
1 Amendments to Articles	For	For	For
2 Elect LI Jing	For	For	For

Shengyi Technology Co Ltd**Voted** **Ballot Status** Confirmed **Decision Status** Approved**Vote Deadline Date** 04/15/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102**Annual Meeting Agenda (04/21/2020)**

	Mgmt Rec	Green Policy	Vote Cast
1 2019 ANNUAL ACCOUNTS	For	For	For
2 2019 Profit Distribution Plan	For	For	For
3 2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4 2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
5 2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
6 2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
7 Appointment of Auditor and Authority to Set Fees	For	For	For
8 Appointment of Internal Control Audit Firm and Authority to Set Fees	For	For	For
9 Related Party Transactions	For	For	For

10	Related Party Transactions	For	For	For
11	Authority to Issue Mid-term Notes	For	For	For
12	Full Authorization to the Board to Handle Matters Regarding the Issuance of Mid-term Notes	For	For	For
13	Non-Voting Meeting Note			

Shengyi Technology Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/01/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y20965102

Special Meeting Agenda (05/07/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Spin-off of Subsidiaries to be Listed on the Science and Technology Board in Compliance with Relevant Laws and Regulations	For	For	For
2	Plan for Spin-off the Subsidiary Shengyi Electronics Co., Ltd. to the Science and Technology Board Listing	For	For	For
3	Preplan for Spin-off the Subsidiary Shengyi Electronics Co., Ltd. to the Science and Technology Board Listing(Revised Draft)	For	For	For
4	Company's Spin-off of Its Subsidiaries to the Science and Technology Board Continued to Comply with the "Several Provisions on the Pilot Domestic Listing of Subsidiaries of Listed Companies"	For	For	For
5	Spin-off the Listing of Its Subsidiaries to the Science and Technology Board is Conducive to Safeguarding the Legitimate Rights and Interests of Shareholders and Creditors	For	For	For
6	Company's Ability to Maintain Independence and Continuous Operation	For	For	For
7	Shengyi Electronics Co., Ltd. has the Corresponding Standard Operation Capabilities	For	For	For
8	Completeness and Compliance of Implementation of Legal Proceedings of the Transactions and Validity of the Submitted Legal Documents Regarding this Transaction	For	For	For
9	Purpose, Commercial Rationality, Necessity and Feasibility Analysis of this Spin-off	For	For	For
10	Authorization to the Board and Its Authorized Persons to Handle All Related Matters	For	For	For
11	Amendments to Articles	For	For	For

Shenzhen Expressway Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/25/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y7741G114

Special Meeting Agenda (03/31/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Type and nominal value of Shares	For	For	For
2 Method and timing of issuance	For	For	For
3 Method of subscription	For	For	For
4 SUBSCRIBERS	For	For	For
5 Size of the Issuance	For	For	For
6 Method of pricing, pricing benchmark date, issue price and adjustment of issue price	For	For	For
7 Use of proceeds	For	For	For
8 The arrangement for accumulated undistributed profits	For	For	For
9 Place of listing	For	For	For
10 Validity period	For	For	For
11 Grant of authority	For	For	For
12 Connected Transaction under Non-public Issuance of H Shares	For	For	For

Shenzhen Expressway Co. Ltd.

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 03/25/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y7741G114

Other Meeting Agenda (03/31/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Type and nominal value of Shares	For	For	For
2 Method and timing of issuance	For	For	For
3 Method of subscription	For	For	For
4 Subscribers	For	For	For
5 Size of the Issuance	For	For	For
6 Method of pricing, pricing benchmark date, issue price and adjustment of issue price	For	For	For
7 Use of proceeds	For	For	For
8 The arrangement for accumulated undistributed profits	For	For	For
9 Place of listing	For	For	For
10 Validity period	For	For	For
11 Grant of authority	For	For	For

Vote Deadline Date 06/17/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y7741G114

Other Meeting Agenda (06/23/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Authority to Repurchase H Shares	For	For	For

Vote Deadline Date 06/17/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y7741G114

Annual Meeting Agenda (06/23/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Non-Voting Meeting Note			
2 Directors' Report	For	For	For
3 Supervisors' Report	For	For	For
4 Accounts and Reports	For	For	For
5 Allocation of Profits/Dividends	For	For	For
6 2020 Financial Budget	For	For	For
7 Appointment of Auditor and Authority to Set Fees	For	For	For
8 Authority to Give Guarantees for Subsidiaries	For	Abstain	Abstain
Vote Note: Insufficient information provided			
9 Donation for Anti-Epidemic Campaign to Hubei	For	For	For
10 Issue size and method	For	For	For
11 Type of the Debentures	For	For	For
12 Maturity of the Debentures	For	For	For
13 Target Subscribers and arrangement for placement to shareholders	For	For	For
14 Interest rate	For	For	For
15 Use of proceeds	For	For	For
16 Listing	For	For	For
17 Guarantee	For	For	For
18 Validity of the resolution	For	For	For
19 Authorisation arrangement	For	For	For
20 Authority to Repurchase H Shares	For	For	For
21 Elect LIN Ji Tong as a Supervisor	For	Against	Against
Vote Note: Supervisors are not sufficiently independent			
22 Elect WANG Zeng Jin as a Director	For	For	For

Shenzhen Expressway Co. Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/23/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y7741G114

Special Meeting Agenda (09/29/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Merger by Absorption	For	For	For
2	Elect CHEN Zhi Sheng	For	For	For

Shenzhen Sunlord Electronics Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 01/13/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y77435108

Special Meeting Agenda (01/17/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Authority to Give Guarantees	For	For	For
2	Change of Auditor Firm	For	For	For

Shenzhen Sunlord Electronics Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/31/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y77435108

Annual Meeting Agenda (04/07/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 Work Report of the Board of Independent Directors	For	For	For
3	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
4	2019 ANNUAL ACCOUNTS	For	For	For
5	2019 Profit Distribution Plan	For	For	For
6	SPECIAL REPORT ON THE DEPOSIT AND USE OF RAISED FUNDS IN 2019	For	For	For
7	Special Statement on Fulfillment of Performance Commitments in the Equity Acquisition	For	For	For
8	REAPPOINTMENT OF AUDIT FIRM	For	For	For
9	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
10	REMUNERATION FOR DIRECTORS	For	For	For
11	REMUNERATION FOR SUPERVISORS	For	For	For

12	2019 Continuing Connected Transactions Statistics and 2020 Estimated Continuing Connected Transactions	For	For	For
13	INVESTMENT IN CONSTRUCTION BY THE COMPANY AND ITS WHOLLY-OWNED SUBSIDIARIES	For	For	For

Shenzhen Sunlord Electronics Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 04/07/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y77435108

Special Meeting Agenda (04/15/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	PROVISION OF GUARANTEE FOR A CONTROLLED SUBORDINATED COMPANY	For	For	For
2	Amendments to the Company's Articles of Association	For	For	For
3	AMENDMENTS TO THE RULES OF PROCEDURE GOVERNING THE BOARD MEETINGS	For	For	For

Spring Airlines Co.Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 02/28/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y8131G102

Special Meeting Agenda (03/05/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility For Public Issuance Of Corporate Bonds to Eligible Investors	For	For	For
2	Issuing Volume and Par Value	For	For	For
3	Issuing Targets and Method	For	For	For
4	Bond Type and Duration	For	For	For
5	Interest Rate	For	For	For
6	Guarantee Method	For	For	For
7	Redemption or Resale Clauses	For	For	For
8	Purpose of the Raised Funds	For	For	For
9	Raised Funds Dedicated Account	For	For	For
10	The Company's Credit Conditions And Repayment Guarantee Measures	For	For	For
11	Underwriting and Listing of the Bond	For	For	For
12	The Valid Period of the Resolution	For	For	For
13	Full Authorization To The Board To Handle Matters Regarding The Issuance Of Corporate Bonds	For	For	For

Annual Meeting Agenda (06/09/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 FINANCIAL REPORT	For	For	For
4	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5	2019 Profit Distribution Plan: the Detailed Profit Distribution Plan Are As Follows: 1) Cash Dividend/10 Shares (tax Included):cny2.00000000 2) Bonus Issue from Profit (share/10 Shares):none 3) Bonus Issue from Capital Reserve (share/10 Shares):none	For	For	For
6	2019 REMUNERATION DISTRIBUTION PLAN FOR DIRECTORS AND SENIOR MANAGEMENT	For	For	For
7	2019 REMUNERATION DISTRIBUTION PLAN FOR SUPERVISORS	For	For	For
8	2020 ESTIMATED AMOUNT OF CONTINUING CONNECTED TRANSACTIONS	For	For	For
9	2020 ESTIMATED AMOUNT OF EXTERNAL GUARANTEE	For	Against	Against
Vote Note: Guarantees exceed net assets				
10	2020 APPOINTMENT OF FINANCIAL AUDIT FIRM AND INTERNAL CONTROL AUDIT FIRM	For	For	For
11	GENERAL AUTHORIZATION FOR THE ISSUANCE OF OVERSEAS DEBT FINANCING INSTRUMENTS	For	For	For
12	Elect WANG Zhenghua	For	For	For
13	Elect ZHANG Xiuzhi	For	For	For
14	Elect WANG Yu	For	For	For
15	Elect WANG Zhijie	For	For	For
16	Elect YANG Suying	For	For	For
17	Elect QIAN Shizheng	For	For	For
18	Elect CHEN Naiwei	For	For	For
19	Elect JIN Ming	For	For	For
20	Elect XU Guoping	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
21	Elect TANG Fang	For	For	For

Vote Deadline Date 04/21/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9520G109

Annual Meeting Agenda (04/27/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 ANNUAL ACCOUNTS	For	For	For
2	Allocation of Profits/Dividends	For	For	For
3	Implementing Results of 2019 Investment Plan and 2020 Investment Report	For	For	For
4	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
5	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
6	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
7	2019 WORK REPORT OF INDEPENDENT DIRECTORS	For	For	For
8	Authority to Set Auditor Fees	For	For	For
9	Appointment of Auditor	For	For	For
10	Related Party Transactions	For	For	For
11	Authority to Give Guarantees for Subsidiaries	For	Against	Against
	Vote Note: Guarantees exceed net assets			
12	Authority to Give Guarantees for Joint Ventures	For	Against	Against
	Vote Note: Guarantees exceed net assets			
13	Amendments to Articles	For	For	For
14	Authority to Issue Short-term Commercial Papers	For	For	For
15	Elect SUN Xiao as External Supervisor	For	For	For
16	Elect LIU Zhijun a Supervisor	For	Against	Against
	Vote Note: Supervisors are not sufficiently independent			
17	Elect CHEN Dianxin	For	For	For
18	Elect RONG Feng	For	For	For
19	Elect KOU Guangwu	For	For	For
20	Elect QI Guishan	For	For	For
21	Elect ZHANG Wanbin	For	For	For
22	Elect HUA Weiqi	For	For	For
23	Elect ZHANG Xiaorong	For	For	For
24	Elect GUO Xingtian	For	For	For
25	Elect BAO Yongjian	For	For	For
26	Elect LIAO Zengtai	For	For	For
27	Elect LI Zhongxiang	For	For	For

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 06/19/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y9531A117

Annual Meeting Agenda (06/29/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Non-Voting Meeting Note			
2 Annual Report	For	For	For
3 Directors' Report	For	For	For
4 Supervisors' Report	For	For	For
5 Accounts and Reports	For	For	For
6 Final Financial Report	For	For	For
7 Financial Budget Report	For	For	For
8 Allocation of Profits/Dividends	For	For	For
9 Allocation of Interim Dividends	For	For	For
10 Appointment of Auditor and Authority to Set Fees	For	Abstain	Abstain
Vote Note: Insufficient information provided			
11 Appointment of Internal Control Auditor	For	For	For
12 Amendments to Articles of Association	For	Against	Against
Vote Note: Shortened notice period could disenfranchise shareholders			
13 Amendments to Procedural Rules for General Meetings	For	Against	Against
Vote Note: Not in shareholders" best interests			
14 Amendments to Procedural Rules for Board Meetings	For	For	For
15 Elect ZHANG Liangfu	For	For	For
16 Elect JIANG Yan	For	For	For
17 Elect YU Zhuoping	For	For	For
18 Elect ZHAO Huifang	For	For	For

Voted Ballot Status Confirmed Decision Status Approved

Vote Deadline Date 07/27/2020 Share Blocking No
 Country Of Trade CN
 Ballot Sec ID CINS-Y9531A117

Special Meeting Agenda (07/31/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Authority to Give Guarantees	For	For	For

Weichai Power Co

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 11/09/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9531A117

Special Meeting Agenda (11/13/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Supplemental Agreement to Weichai Sale and Processing Services Agreement	For	For	For
2	Supplemental Agreement to Weichai Holdings Utilities Services Agreement and Chongqing Weichai Utilities Services Agreement	For	For	For
3	Supplemental Agreement to Weichai Heavy Machinery Purchase and Processing Services Agreement	For	For	For
4	Supplemental Agreement to Weichai Power Diesel Engines Supply Framework Agreement and the Weichai Yangzhou Diesel Engines Supply Framework Agreement	For	For	For
5	Supplemental Agreement to Transmissions Supply Framework Agreement	For	For	For
6	Supplemental Agreement to Axles Supply Framework Agreement	For	For	For
7	Supplemental Agreement to Weichai Westport Supply Agreement	For	For	For
8	Supplemental Agreement to Weichai Westport Purchase Agreement	For	For	For
9	Supplemental Agreement to Weichai Westport Logistics Agreement	For	For	For
10	Supplemental Agreement to Weichai Westport Leasing Agreement	For	For	For
11	Authority to Give Guarantees	For	For	For
12	Amendments to Articles	For	For	For

Wens Foodstuff Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/17/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2R30P108

Special Meeting Agenda (03/23/2020)

		Mgmt Rec	Green Policy	Vote Cast
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1	AMENDMENTS TO THE EXTERNAL DONATION MANAGEMENT MEASURES	For	Abstain	Abstain
Vote Note: Insufficient information provided				
2	EXTERNAL DONATION	For	For	For
3	CHARITABLE DONATION TO A FOUNDATION	For	For	For
4	Charitable Donation to a Foundation	For	For	For
5	CONFIRMATION OF PREVIOUS ENTRUSTED WEALTH MANAGEMENT	For	For	For
6	Cash Management with Temporarily Idle Surplus Raised Funds	For	For	For

Wens Foodstuff Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/11/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2R30P108

Annual Meeting Agenda (05/15/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
4	2019 ANNUAL ACCOUNTS	For	For	For
5	INTERNAL CONTROL SELF-EVALUATION REPORT	For	For	For
6	Special Report on the Use of Previously Raised Funds	For	For	For
7	2019 Profit Distribution Plan	For	For	For
8	2020 ESTIMATED CONTINUING CONNECTED TRANSACTIONS	For	For	For
9	Provision of Guarantee for Payment for Raw Materials Purchased by Controlled Companies	For	For	For
10	DETERMINATION OF REMUNERATION OR ALLOWANCE FOR DIRECTORS AND SUPERVISORS	For	For	For
11	Purchase of Liability Insurance for Directors, Supervisors and Senior Management	For	For	For
12	REAPPOINTMENT OF AUDIT FIRM	For	For	For

Wens Foodstuff Group Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 07/07/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y2R30P108

Special Meeting Agenda (07/13/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 The Company's Eligibility for Public Issuance of Convertible Bonds	For	For	For
2 Type of Securities to Be Issued	For	For	For
3 Issuing Scale	For	For	For
4 Par Value and Issue Price	For	For	For
5 Bond Duration	For	For	For
6 Interest Rate	For	For	For
7 Time Limit and Method for Repaying the Principal and Interest	For	For	For
8 Conversion Period	For	For	For
9 Determination and Adjustment of the Conversion Price	For	For	For
10 Downward Adjustment of Conversion Price	For	For	For
11 Determining Method for the Number of Converted Shares	For	For	For
12 Redemption Clauses	For	For	For
13 Resale Clauses	For	For	For
14 Attribution of Related Dividends for Conversion Years	For	For	For
15 Issuing Targets and Method	For	For	For
16 Arrangement for Placement to Existing Shareholders	For	For	For
17 Matters Regarding Bondholders Meetings	For	For	For
18 Purpose of the Raised Funds	For	For	For
19 Guarantee Matters	For	For	For
20 Deposit and Management of the Raised Funds	For	For	For
21 The Valid Period of the Issuance Plan	For	For	For
22 PREPLAN FOR PUBLIC ISSUANCE OF CONVERTIBLE CORPORATE BONDS	For	For	For
23 Demonstration Analysis Report on Public Issuance of Convertible Corporate Bonds	For	For	For
24 Feasibility Analysis Report on the Use of Funds to Be Raised from the Public Issuance of Convertible Corporate Bonds	For	For	For
25 REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	For	For	For
26 Risk Warning on Diluted Immediate Return After the Public Issuance of Convertible Corporate Bonds and Filing Measures, and Commitments of Relevant Parties	For	For	For
27 Full Authorization to the Board and Its Authorized Persons to Handle Matters Regarding the Public Issuance of Convertible Corporate Bonds	For	For	For
28 SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
29 Rules Governing the Meetings of Bondholders of the Company's Convertible Bonds	For	For	For
30 Repurchase and Cancellation of Some Restricted Stocks Under the First Phase Restricted Stocks Incentive Plan	For	For	For
31 Amendments to the Company's Articles of Association	For	For	For
32 Amendments to the Company's Rules of Procedure Governing Shareholders General Meetings	For	For	For
33 Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	For
34 Amendments to the Company's Rules of Procedure Governing Meetings of the Supervisory Committee	For	For	For

35	Amendments to the Raised Funds Management Measures	For	For	For
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Wens Foodstuff Group Co Ltd

Voted	Ballot Status	Confirmed	Decision Status	Approved
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Vote Deadline Date	09/01/2020	Share Blocking	No
Country Of Trade	CN		
Ballot Sec ID	CINS-Y2R30P108		

Special Meeting Agenda (09/07/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	Authority to Give Guarantees	For	For	For
3	Allocation of Interim Profits/Dividends	For	For	For
4	Approval of Use of Surplus from Previous Raised Funds	For	For	For
5	Authority to Issue Overseas USD -dominated Bonds by the Company	For	For	For

Wens Foodstuff Group Co Ltd

Voted	Ballot Status	Confirmed	Decision Status	Approved
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Vote Deadline Date	11/10/2020	Share Blocking	No
Country Of Trade	CN		
Ballot Sec ID	CINS-Y2R30P108		

Special Meeting Agenda (11/16/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility for Public Issuance of Corporate Bonds	For	For	For
2	Par Value, Issue Price and Issuing Volume	For	For	For
3	Bond Type and Duration	For	For	For
4	Interest Rate and Its Determining Method, and Method for Payment of Principal and Interest	For	For	For
5	Issuing Method	For	For	For
6	Issuing Targets and Arrangement for Placement to Existing Shareholders	For	For	For
7	Purpose of the Raised Funds	For	For	For
8	Methods of Guarantee	For	For	For
9	Listing of the Bonds	For	For	For
10	Repayment Guarantee Measures	For	For	For
11	The Valid Period of the Resolution	For	For	For
12	APPLICATION FOR REGISTRATION AND ISSUANCE OF MEDIUM-TERM NOTES	For	For	For
13	Provision of Guarantee for Payment for Raw Materials to Controlling Shareholders	For	For	For
14	Amendments to the Company's Articles of Association	For	For	For

15	Amendments to the Company's Rules of Procedure Governing the Shareholder Meetings	For	For	For
16	Amendments to the Company's Rules of Procedure Governing the Board Meetings	For	For	For
17	Amendments to the Company's Rules of Procedure Governing Meetings of the Supervisory Committee	For	For	For
18	Amendments to the Work System for Independent Directors	For	For	For
19	Amendments to the Connected Transactions Management System	For	For	For
20	Amendments to the External Guarantee Decision-making System	For	For	For
21	Amendments to the Investment Management System	For	For	For
22	Amendments to the Information Disclosure Management System	For	For	For
23	External Donation Management Measures	For	For	For

XCMG Construction Machinery Co., Ltd.

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/22/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9T17R112

Annual Meeting Agenda (05/28/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS PLAN	For	For	For
4	2019 Profit Distribution Plan	For	For	For
5	2020 FINANCIAL BUDGET REPORT	For	For	For
6	Amendments to the Company's Articles of Association	For	For	For
7	APPOINTMENT OF 2020 AUDIT FIRM AND PAYMENT OF AUDIT FEES	For	For	For
8	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For

Yunda Holding Co. Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/11/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y62996106

Special Meeting Agenda (03/17/2020)

		Mgmt Rec	Green Policy	Vote Cast
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1	Elect NIE Tengyun	For	For	For
2	Elect CHEN Liying	For	Against	Against
Vote Note: Insider on audit committee; Insufficient audit committee independence; Insider on compensation committee				
3	Elect NIE Zhangqing	For	For	For
4	Elect ZHOU Bogen	For	For	For
5	Elect LAI Shiqiang	For	For	For
6	Elect YANG Zhoulong	For	For	For
7	Elect FU Qin	For	For	For
8	Elect ZHANG Darui	For	For	For
9	Elect LIU Yu	For	For	For
10	Elect LOU Guanghua	For	For	For
11	Elect ZHANG Guanqun	For	For	For
12	Elect XIAO Anhua	For	For	For
13	Elect LAI Xuejun	For	For	For
14	Elect TANG Caixia	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
15	Amendments to the Company's Articles of Association	For	For	For
16	ALLOWANCE FOR INDEPENDENT DIRECTORS	For	For	For

Yunda Holding Co. Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 03/27/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y62996106

Special Meeting Agenda (04/02/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	The Third Phase Restricted Stock Incentive Plan (Draft) and Its Summary	For	For	For
2	Appraisal Management Measures for the Implementation of the Third Phase Restricted Stock Incentive Plan	For	For	For
3	Authorization to the Board to Handle Matters Regarding the Third Phase Restricted Stock Incentive Plan	For	For	For

Yunda Holding Co. Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 05/19/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y62996106

Annual Meeting Agenda (05/25/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 Annual Report	For	For	For

2	Directors' Report	For	For	For
3	Supervisors' Report	For	For	For
4	Accounts and Reports	For	For	For
5	Authority to Give Guarantees	For	For	For
6	Allocation of Profits/Dividends	For	For	For
7	Wealth Management Using Idle Funds	For	For	For
8	Cash Management Using Temporary Idle Funds	For	For	For
9	Authority to Give Guarantees	For	For	For
10	Approval of Provision of Financial Aid	For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 04/02/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Annual Meeting Agenda (04/08/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
2	2019 WORK REPORT OF THE SUPERVISORY COMMITTEE	For	For	For
3	2019 ANNUAL ACCOUNTS	For	For	For
4	2019 Profit Distribution Plan	For	For	For
5	2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
6	Appointment of Auditor	For	For	For
7	2020 Estimated Related Party Transactions	For	For	For
8	Approval of Line of Credit	For	For	For
9	2020 Guarantee Quota	For	Against	Against

Vote Note:Guarantees exceed net assets

10	Statement on the Fulfillment of Performance Commitments In 2019 Regarding the Related Party Transactions of Assets Purchase via Share Offering and Matching Fund Raising	For	For	For
11	Elect Paul Xiaoming LEE	For	For	For
12	Elect LI Xiaohua	For	Against	Against

Vote Note:Insider on audit committee; Insufficient audit committee independence

13	Elect YAN MA	For	For	For
14	Elect XU Ming	For	For	For
15	Elect FENG Jie	For	For	For
16	Elect Alex CHENG	For	For	For
17	Elect TANG Changjiang	For	For	For
18	Elect ZHENG Haiying	For	For	For
19	Elect LU Jiankai	For	For	For
20	Elect ZHANG Tao	For	Against	Against

Vote Note:Supervisors are not sufficiently independent

21	Elect CHEN Tao	For	For	For
22	Setting up the Special Committees of the Board	For	Against	Against

Vote Note:Affiliate/Insider on a committee; Not in shareholders' best interests

23 Non-Voting Meeting Note

Vote Deadline Date 04/03/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (04/09/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	The Company's Eligibility for Non-public Share Offering	For	Against	Against
	Vote Note: Plan is not in best interests of shareholders			
2	Stock Type and Par Value	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
3	Issuing Method and Date	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
4	Issuing Targets and Subscription Method	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
5	Issue Price, Pricing Principles and Pricing Base Date	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
6	Issuing Volume	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
7	Lockup Period	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
8	Arrangement for the Accumulated Retained Profits Before the Issuance	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
9	The Valid Period of the Resolution	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
10	Listing Place	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
11	Purpose of the Raised Funds	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
12	Change of the Implementing Parties and Investing Method of Projects Financed with Raised Funds	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
13	PREPLAN FOR 2020 NON-PUBLIC SHARE OFFERING	For	Against	Against
	Vote Note: Potential dilution exceeds recommended threshold			
14	Feasibility Analysis Report on the Use of Funds to Be Raised from the Non-public Share Offering	For	Against	Against
	Vote Note: Not in shareholders' best interests			
15	REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	For	For	For

16	Diluted Immediate Return After the Non-public Share Offering, Filing Measures and Commitments of Relevant Parties	For	Against	Against
Vote Note: Not in shareholders" best interests				
17	SHAREHOLDER RETURN PLAN FOR THE NEXT THREE YEARS FROM 2020 TO 2022	For	For	For
18	SETTING UP A RAISED FUNDS DEDICATED ACCOUNT	For	Against	Against
Vote Note: Not in shareholders" best interests				
19	Authorization to the Board to Handle Matters Regarding the Non-public Share Offering	For	Against	Against
Vote Note: Not in shareholders" best interests				

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 06/04/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (06/10/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	SPECIAL REPORT ON THE USE OF PREVIOUSLY RAISED FUNDS	For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 07/02/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (07/08/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Provide Financial Support to Holding Subsidiaries	For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 07/24/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (07/30/2020)

- 1 Authority to Repurchase Shares
- 2 Change of Registered Capital and Amendments to Articles

Mgmt Rec	Green Policy	Vote Cast
For	For	For
For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/08/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (09/14/2020)

- 1 2020 Estimated Quota of Deposits in and Loans from Related Banks and Guarantee

Mgmt Rec	Green Policy	Vote Cast
For	For	For

Yunnan Energy New Material Co Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/18/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y9881M109

Special Meeting Agenda (09/24/2020)

- 1 CASH MANAGEMENT WITH SOME IDLE RAISED FUNDS
- 2 TEMPORARILY SUPPLEMENTING THE WORKING CAPITAL WITH SOME IDLE RAISED FUNDS

Mgmt Rec	Green Policy	Vote Cast
For	For	For
For	For	For

Vote Deadline Date 05/06/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y988AP102

Annual Meeting Agenda (05/12/2020)

	Mgmt Rec	Green Policy	Vote Cast
1 Non-Voting Meeting Note			
2 2019 ANNUAL REPORT AND ITS SUMMARY	For	For	For
3 2019 WORK REPORT OF THE BOARD OF DIRECTORS	For	For	For
4 2019 Work Report of the Supervisory Committee	For	For	For
5 2019 ANNUAL ACCOUNTS	For	For	For
6 2019 Profit Distribution Plan: the Detailed Profit Distribution Plan Are As Follows: 1) Cash Dividend/10 Shares (tax Included):cny1.33000000 2) Bonus Issue from Profit (share/10 Shares):none 3) Bonus Issue from Capital Reserve (share/10 Shares):none	For	For	For
7 CONFIRMATION OF 2019 REMUNERATION FOR DIRECTORS AND SUPERVISORS	For	For	For
8 2020 REAPPOINTMENT OF AUDIT FIRM	For	Against	Against
Vote Note: Failure to disclose breakdown of fees			
9 LAUNCHING FOREIGN EXCHANGE HEDGING BUSINESS	For	For	For
10 Application for Credit Loan and Financing Business Quota by Pledging Bill Pool to Banks	For	For	For
11 PROVISION OF GUARANTEE FOR SUBSIDIARIES	For	Against	Against
Vote Note: Guarantees exceed net assets			
12 Repurchase and Cancellation of Some Locked Restricted Stocks Granted to Plan Participants	For	For	For
13 Decrease of the Company's Registered Capital and Amendments to the Company's Articles of Association	For	For	For
14 2020 RESTRICTED STOCK INCENTIVE PLAN (DRAFT) AND ITS SUMMARY	For	For	For
15 APPRAISAL MANAGEMENT MEASURES FOR THE IMPLEMENTATION OF 2020 RESTRICTED STOCK INCENTIVE PLAN	For	For	For
16 Authorization to the Board to Handle Matters Regarding the Equity Incentive Plan	For	For	For

Vote Deadline Date 07/20/2020 Share Blocking No
Country Of Trade CN
Ballot Sec ID CINS-Y988AP102

Special Meeting Agenda (07/24/2020)

Mgmt Rec	Green Policy	Vote Cast
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1	Connected Transaction regarding Agreement on Equity Transfer of Wholly-owned Subsidiaries	For	For	For
2	PROVISION OF GUARANTEE FOR WHOLLY-OWNED SUBSIDIARIES	For	Against	Against
Vote Note: Guarantees exceed net assets				
3	PROVISION OF GUARANTEE FOR CONTROLLED SUBSIDIARIES	For	For	For

Zhejiang Dahua Technology Co. Ltd.
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 08/06/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y988AP102

Special Meeting Agenda (08/12/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Elect FU Liquan	For	For	For
2	Elect WU Jun	For	For	For
3	Elect ZHANG Xingming	For	For	For
4	Elect ZHU Jiangming	For	For	For
5	Elect CHEN Ailing	For	For	For
6	Elect YANG Huayong	For	For	For
7	Elect LIU Hanlin	For	For	For
8	Elect ZHANG Yulin	For	For	For
9	Elect ZHENG Jieping as a Supervisor	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
10	ADJUSTMENT OF REMUNERATION FOR INDEPENDENT DIRECTORS	For	For	For
11	Repurchase and Cancellation of Some Locked Restricted Stocks Granted to Plan Participants	For	For	For
12	Decrease of the Company's Registered Capital and Amendments to the Company's Articles of Association	For	For	For
13	AMENDMENTS TO THE EXTERNAL GUARANTEE MANAGEMENT SYSTEM	For	Abstain	Abstain
Vote Note: Insufficient information provided				

Zhejiang Satellite Petrochemical Co. Ltd
Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 11/03/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y98961116

Special Meeting Agenda (11/09/2020)

	Mgmt Rec	Green Policy	Vote Cast
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1	A TRANSPORTATION SERVICE AGREEMENT TO BE SIGNED	For	For	For
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Zhejiang Satellite Petrochemical Co. Ltd

Voted Confirmed **Decision Status** Approved

Vote Deadline Date 12/21/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y98961116

Special Meeting Agenda (12/25/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Non-Voting Meeting Note			
2	Re-elect YANG Weidong	For	For	For
3	Re-elect MA Guolin	For	For	For
4	Re-elect YANG Yuying	For	For	For
5	Elect ZHU Xiaodong	For	For	For
6	Re-elect GAO Changyou	For	For	For
7	Re-elect PAN Yushuang	For	For	For
8	Re-elect FEI Jinhong	For	For	For
9	Re-elect TANG Wenrong	For	Against	Against
Vote Note: Supervisors are not sufficiently independent				
10	Re-elect HU Xiaolong	For	For	For
11	Adjustments to 2018 Employee Restricted Share Plan (Prior Agenda)	Do Not Vote	Do Not Vote	For

Zoomlion Heavy Industry Science and Technology Co., Ltd

Voted Confirmed **Decision Status** Approved

Vote Deadline Date 08/06/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1293Y102

Special Meeting Agenda (08/12/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Fulfillment of the conditions for the Proposed Non-public Issuance of A-Shares	For	For	For
2	class and nominal value of shares to be issued	For	For	For
3	issue method and time	For	For	For
4	target subscribers	For	For	For
5	subscription method	For	For	For
6	price determination date and issue price	For	For	For
7	subscription price and issue size	For	For	For
8	lock-up period	For	For	For
9	arrangement of accumulated profit distribution prior to completion of the issue	For	For	For
10	listing venue	For	For	For
11	use of proceeds	For	For	For
12	validity period	For	For	For

13	Proposal for the Proposed Non-public Issuance of A-shares	For	For	For
14	Maanshan Huaijin Cornerstone Equity Investment Partnership (Limited Partnership) Strategic Cooperation Agreement	For	For	For
15	Taiping Life Insurance Co., Ltd. Strategic Cooperation Agreement	For	For	For
16	Hainan Chengyisheng Strategic Cooperation Agreement	For	For	For
17	Ningbo Shituo Enterprise Management Co., Ltd. Strategic Cooperation Agreement	For	For	For
18	Subscription Agreements	For	For	For
19	Related Party Transactions	For	For	For
20	Feasibility Report on the use of Proceeds	For	For	For
21	Exemption from the Preparation of a Report on the use of Proceeds from Previous Fund Raising Activities	For	For	For
22	Measures on Making up Diluted Returns for the current period due to the Proposed Non-public Issuance	For	For	For
23	Undertakings in Relation to the Measures on Making up Diluted Returns for the current period due to the Proposed Non-public Issuance	For	For	For
24	Shareholders' Return Plan (2020-2022)	For	For	For
25	Board Authorization to Handle all Matters Relating to the Non-public Issuance of A-shares	For	For	For

Zoomlion Heavy Industry Science and Technology Co., Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 09/10/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1293Y102

Special Meeting Agenda (09/16/2020)

		Mgmt Rec	Green Policy	Vote Cast
1	Allocation of Interim dividend	For	For	For
2	Merger by Absorption	For	For	For

Zoomlion Heavy Industry Science and Technology Co., Ltd

Voted **Ballot Status** Confirmed **Decision Status** Approved

Vote Deadline Date 10/20/2020 **Share Blocking** No
Country Of Trade CN
Ballot Sec ID CINS-Y1293Y102

Special Meeting Agenda (10/27/2020)

		Mgmt Rec	Green Policy	Vote Cast
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1	Class and Nominal Value of Shares To Be Issued	For	For	For
2	Issue Method and Time	For	For	For
3	Target Subscribers and Subscription Method	For	For	For
4	Issue Price and Pricing Principles	For	For	For
5	Issue Size	For	For	For
6	Lock-up Period	For	For	For
7	Arrangement of Accumulated Profit Distribution prior to Completion of Issue	For	For	For
8	Listing Venue	For	For	For
9	Use of Proceeds	For	For	For
10	Validity Period	For	For	For
11	Proposal for Adjusted Non-public Issuance	For	For	For
12	Feasibility Report on Use of Proceeds of Adjusted Non-public Issuance	For	For	For
13	Measures on Making up Diluted Returns Due to Adjusted Non-public Issuance	For	For	For
14	Board Authorization to Handle All Matters Relevant to Adjusted Non-public Issuance	For	For	For
